

AS/NZS IEC 60601.2.20:2022
IEC 60601-2-20:2020 RLV



Australian/New Zealand Standard™

Medical electrical equipment

Part 2.20: Particular requirements for the basic safety and essential performance of infant transport incubators



AS/NZS IEC 60601.2.20:2022

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Medical electrical equipment

Part 2.20: Particular requirements for the basic safety and essential performance of infant transport incubators

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Preface

This Standard was prepared by the Joint Standards Australia/Standards New Zealand Committee HE-003, Medical Electrical Equipment, to supersede AS 60601.2.20:2018.

The objective of this document is to specify requirements for the basic safety and essential performance of infant transport incubator equipment, also referred to as ME equipment.

If a clause or subclause is specifically intended to be applicable to ME equipment only, or to ME systems only, the title and content of that clause or subclause will say so. If that is not the case, the clause or subclause applies both to ME equipment and to ME systems, as relevant.

Hazards inherent in the intended physiological function of ME equipment or ME systems within the scope of this document are not covered by specific requirements in this document, except in Clauses 7.2.13 and 8.4.1 of the general Standard.

This particular document specifies safety requirements for infant transport incubators, but alternate methods of compliance with a specific clause, by demonstrating equivalent safety, will not be judged as non-compliant if the manufacturer has demonstrated in his risk management file that the risk presented by the hazard has been found to be of an acceptable level when weighed against the benefit of treatment from the device.

This particular document does not apply to the following:

- (a) Devices supplying heat via blankets, pads, or mattresses in medical use; for information, see AS/NZS IEC 60601.2.35.
- (b) Infant incubators which are not infant transport incubator; for information see IEC 60601-2-19.
- (c) Infant radiant warmers; for information, see AS/NZS IEC 60601.2.21.
- (d) Infant phototherapy; for information, see AS 60601.2.5.

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- (i) In the source text “this part of IEC 60601” should read “this document”.
- (ii) A full point substitutes for a comma when referring to a decimal marker.

Australian or Australian/New Zealand Standards that are identical adoptions of international normative references may be used interchangeably. Refer to the online catalogue for information on specific Standards.

The terms “normative” and “informative” are used in Standards to define the application of the appendices or annexes to which they apply. A “normative” appendix or annex is an integral part of a Standard, whereas an “informative” appendix or annex is only for information and guidance.

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INTERNATIONAL ELECTROTECHNICAL COMMISSION

MEDICAL ELECTRICAL EQUIPMENT –

Part 2-20: Particular requirements for the basic safety and essential performance of infant transport incubators

FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
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This redline version of the official IEC Standard allows the user to identify the changes made to the previous edition. A vertical bar appears in the margin wherever a change has been made. Additions are in green text, deletions are in strikethrough red text.

International standard IEC 60601-2-20 has been prepared by IEC Subcommittee 62D Electromedical equipment, of IEC Technical Committee 62: Electrical equipment in medical practice.

This third edition cancels and replaces the second edition published in 2009 and Amendment 1:2016. This edition constitutes a technical revision.

This edition includes the following significant technical change with respect to the previous edition: re-dating of normative references.

The text of this International Standard is based on the following documents:

FDIS	Report on voting
62D/1763/FDIS	62D/1773/RVD

Full information on the voting for the approval of this International Standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

In this document, the following print types are used:

- requirements and definitions: roman type;
- *test specifications: italic type;*
- informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative text of tables is also in a smaller type;
- TERMS DEFINED IN CLAUSE 3 OF THE GENERAL STANDARD, IN THIS PARTICULAR STANDARD OR AS NOTED: SMALL CAPITALS.

In referring to the structure of this document, the term

- "clause" means one of the seventeen numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 7 includes subclauses 7.1, 7.2, etc.);
- "subclause" means a numbered subdivision of a clause (e.g. 7.1, 7.2 and 7.2.1 are all subclauses of Clause 7).

References to clauses within this document are preceded by the term "Clause" followed by the clause number. References to subclauses within this particular standard are by number only.

In this document, the conjunctive "or" is used as an "inclusive or" so a statement is true if any combination of the conditions is true.

The verbal forms used in this document conform to usage described in Clause 7 of the ISO/IEC Directives, Part 2. For the purposes of this document, the auxiliary verb:

- "shall" means that compliance with a requirement or a test is mandatory for compliance with this document;
- "should" means that compliance with a requirement or a test is recommended but is not mandatory for compliance with this document;
- "may" is used to describe a permissible way to achieve compliance with a requirement or test.

An asterisk (*) as the first character of a title or at the beginning of a paragraph or table title indicates that there is guidance or rationale related to that item in Annex AA.

A list of all parts of the IEC 60601 series, published under the general title *Medical electrical equipment*, can be found on the IEC website.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under "<http://webstore.iec.ch>" in the data related to the specific document. At this date, the document will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

NOTE The attention of users of this document is drawn to the fact that equipment manufacturers and testing organizations may need a transitional period following publication of a new, amended or revised IEC publication in which to make products in accordance with the new requirements and to equip themselves for conducting new or revised tests. It is the recommendation of the committee that the content of this publication be adopted for implementation nationally not earlier than 3 years from the date of publication.

IMPORTANT – The 'colour inside' logo on the cover page of this publication indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.

INTRODUCTION

The minimum safety requirements specified in this particular standard are considered to provide for a practical degree of safety in the operation of INFANT TRANSPORT INCUBATOR equipment.

This particular standard amends and supplements IEC 60601-1:~~2005~~, *Medical electrical equipment – Part 1: General requirements for basic safety and essential performance*, hereinafter referred to as the "general standard".

The requirements are followed by specifications for the relevant tests.

A general guidance and rationale for the requirements of this particular standard are given in Annex AA. It is considered that knowledge of the reasons for these requirements will not only facilitate the proper application of this particular standard but will, in due course, expedite any revision necessitated by changes in clinical practice or as a result of developments in technology. However, Annex AA does not form part of the requirements of this document.

MEDICAL ELECTRICAL EQUIPMENT –

Part 2-20: Particular requirements for the basic safety and essential performance of infant transport incubators

201.1 Scope, object and related standards

Clause 1 of the general standard¹ applies, except as follows:

201.1.1 Scope

Replacement:

This part of IEC 60601 applies to the BASIC SAFETY and ESSENTIAL PERFORMANCE of INFANT TRANSPORT INCUBATOR equipment, as defined in 201.3.244208, also referred to as ME EQUIPMENT.

If a clause or subclause is specifically intended to be applicable to ME EQUIPMENT only, or to ME SYSTEMS only, the title and content of that clause or subclause will say so. If that is not the case, the clause or subclause applies both to ME EQUIPMENT and to ME SYSTEMS, as relevant.

HAZARDS inherent in the intended physiological function of ME EQUIPMENT or ME SYSTEMS within the scope of this document are not covered by specific requirements in this document, except in 7.2.13 and 8.4.1 of the general standard.

NOTE See also 4.2 of the general standard.

This particular standard specifies safety requirements for INFANT TRANSPORT INCUBATORS, but alternate methods of compliance with a specific clause, by demonstrating equivalent safety, will not be judged as non-compliant, if the MANUFACTURER has demonstrated in his RISK MANAGEMENT FILE that the RISK presented by the HAZARD has been found to be of an acceptable level when weighed against the benefit of treatment from the device.

This particular standard does not apply to:

- devices supplying heat via BLANKETS, PADS or MATTRESSES in medical use; for information, see ~~IEC 80601-2-35~~ IEC 60601-2-35 [1]²;
- INFANT INCUBATORS which are not INFANT TRANSPORT INCUBATOR; for information see IEC 60601-2-19 [2];
- INFANT RADIANT WARMERS; for information, see IEC 60601-2-21 [3];
- INFANT PHOTOTHERAPY; for information, see IEC 60601-2-50 [4].

201.1.2 Object

Replacement:

The object of this particular standard is to establish particular BASIC SAFETY and ESSENTIAL PERFORMANCE requirements for INFANT TRANSPORT INCUBATORS as defined in 201.3.244208,

¹ The general standard is IEC 60601-1 and IEC 60601-1:2005/AMD1:2012, *Medical electrical equipment – Part 1: General requirements for basic safety and essential performance*.

² Figures between square brackets refer to the Bibliography.

which minimize HAZARDS to the PATIENT and OPERATOR, and to specify tests by which compliance with the requirements can be verified.

201.1.3 ~~*~~ Collateral standards

Addition:

This particular standard refers to those applicable collateral standards that are listed in Clause 2 of the general standard and Clause 201.2 of this particular standard.

IEC 60601-1-2:2014 and ~~IEC 60601-1-10~~ IEC 60601-1-12:2014 apply as modified in Clauses 202 and ~~210 respectively~~ 212. IEC 60601-1-3 and IEC 60601-1-10 ~~does~~ not apply. All other published collateral standards in the IEC 60601-1 series apply as published.

201.1.4 * Particular standards

Replacement:

In the IEC 60601 series, particular standards may modify, replace or delete requirements contained in the general standard and collateral standards as appropriate for the particular ME EQUIPMENT under consideration, and may add other BASIC SAFETY and ESSENTIAL PERFORMANCE requirements.

A requirement of a particular standard takes priority over the general standard.

For brevity, IEC 60601-1 and IEC 60601-1:2005/AMD1:2012 ~~is~~ are referred to in this particular standard as the general standard. Collateral standards are referred to by their document number.

The numbering of clauses and subclauses of this particular standard corresponds to that of the general standard with the prefix "201" (e.g. 201.1 in this document addresses the content of Clause 1 of the general standard) or applicable collateral standard with the prefix "20x" where x is the final digit(s) of the collateral standard document number (e.g. 202.4 in this particular standard addresses the content of Clause 4 of the IEC 60601-1-2 collateral standard, 203.4 in this particular standard addresses the content of Clause 4 of the IEC 60601-1-3 collateral standard, etc.). The changes to the text of the general standard and applicable collateral standards are specified by the use of the following words:

"Replacement" means that the clause or subclause of the general standard or applicable collateral standard is replaced completely by the text of this particular standard.

"Addition" means that the text of this particular standard is additional to the requirements of the general standard or applicable collateral standard.

"Amendment" means that the clause or subclause of the general standard or applicable collateral standard is amended as indicated by the text of this particular standard.

Subclauses, figures or tables which are additional to those of the general standard are numbered starting from 201.101. However, due to the fact that definitions in the general standard are numbered 3.1 through ~~3.139~~ 3.147, additional definitions in this document are numbered beginning from 201.3.201. Additional annexes are lettered AA, BB, etc., and additional items aa), bb), etc.

Subclauses, figures or tables which are additional to those of a collateral standard are numbered starting from 20x, where "x" is the number of the collateral standard, e.g. 202 for IEC 60601-1-2, 203 for IEC 60601-1-3, etc.

The term "this document" is used to make reference to the general standard, any applicable collateral standards and this particular standard taken together.

Where there is no corresponding clause or subclause in this particular standard, the clause or subclause of the general standard or applicable collateral standard, although possibly not relevant, applies without modification; where it is intended that any part of the general standard or applicable collateral standard, although possibly relevant, is not to be applied, a statement to that effect is given in this particular standard.

SKIN TEMPERATURE SENSORS which are applied to operate a BABY CONTROLLED TRANSPORT INCUBATOR including the displayed value are not considered to be a CLINICAL THERMOMETER in the sense of the particular standard ISO 80601-2-56.

201.2 Normative references

NOTE Informative references are listed in the Bibliography.

Clause 2 of the general standard applies, except as follows:

Amendment:

~~IEC 60601-1-2:2007, Medical electrical equipment – Part 1-2: General requirements for basic safety and essential performance – Collateral standard: Electromagnetic compatibility – Requirements and tests~~

Addition:

~~IEC 60601-1-10:2007, Medical electrical equipment – Part 1-10: General requirements for basic safety and essential performance – Collateral Standard: Requirements for the development of physiologic closed-loop controllers~~

IEC 60601-1:2005, Medical electrical equipment – Part 1: General requirements for basic safety and essential performance
IEC 60601-1:2005/AMD1:2012

ISO 32, Gas cylinders for medical use – Marking for identification of content

ISO 407, Small medical gas cylinders – Pin-index yoke-type valve connections

Replacement:

IEC 60601-1-2:2014, Medical electrical equipment – Part 1-2: General requirements for basic safety and essential performance – Collateral standard: Electromagnetic disturbances – Requirements and tests

201.3 Terms and definitions

For the purposes of this document, the terms and definitions given in IEC 60601-1:2005, ~~apply, except as follows:~~ and IEC 60601-1:2005/AMD1:2012 and the following apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- IEC Electropedia: available at <http://www.electropedia.org/>
- ISO Online browsing platform: available at <http://www.iso.org/obp>

NOTE An index of defined terms is found beginning on page 47.

Addition:

201.3.201

AIR CONTROLLED TRANSPORT INCUBATOR

INCUBATOR in which the air temperature is automatically controlled by an air temperature sensor close to a value set by the OPERATOR

201.3.202

AVERAGE TEMPERATURE

average of temperature readings taken at regular intervals at any specified point in the COMPARTMENT achieved during STEADY TEMPERATURE CONDITION

201.3.203

AVERAGE TRANSPORT INCUBATOR TEMPERATURE

average of the INFANT TRANSPORT INCUBATOR TEMPERATURE readings taken at regular intervals achieved during STEADY TEMPERATURE CONDITION *(see Figure 201.102)*

SEE Figure 201.101

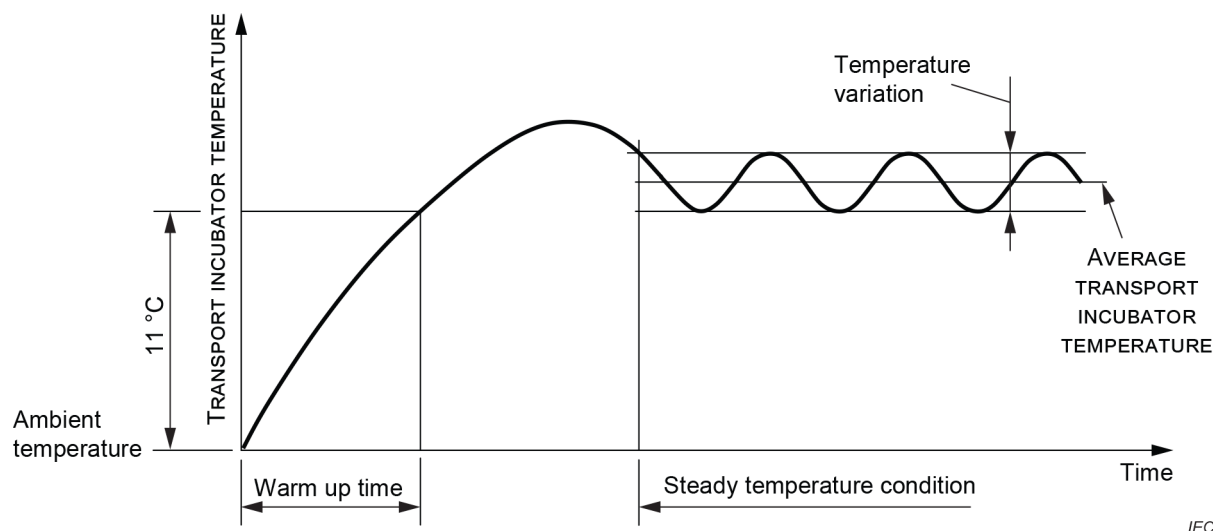


Figure 201.101 – Variation of INCUBATOR TEMPERATURE

201.3.204

BABY CONTROLLED TRANSPORT INCUBATOR

AIR CONTROLLED TRANSPORT INCUBATOR which has the additional capability of automatically controlling the INCUBATOR air temperature in order to maintain the temperature as measured by a SKIN TEMPERATURE SENSOR according to the CONTROL TEMPERATURE set by the OPERATOR

~~NOTE An INFANT TRANSPORT INCUBATOR operating as a BABY CONTROLLED INCUBATOR is a PHYSIOLOGIC CLOSED-LOOP CONTROLLER as defined in IEC 60601-1-10.~~

201.3.205

COMPARTMENT

environmentally-controlled enclosure intended to contain an INFANT and with transparent section(s) which allows for viewing of the INFANT

201.3.206

CONTROL TEMPERATURE

temperature selected at the temperature control

201.3.207**INFANT**

PATIENT up to the age of three months and a weight less than 10 kg

*** 201.3.208****INFANT TRANSPORT INCUBATOR**

TRANSPORTABLE ME EQUIPMENT that is equipped with a COMPARTMENT and a TRANSPORTABLE ELECTRICAL POWER SOURCE with the means to control the environment of the INFANT primarily by heated air within the COMPARTMENT

201.3.209**SKIN TEMPERATURE**

temperature of the skin of the INFANT at a point on which the SKIN TEMPERATURE SENSOR is placed

201.3.210**SKIN TEMPERATURE SENSOR**

sensing device intended to measure the INFANT's SKIN TEMPERATURE

201.3.211**STEADY TEMPERATURE CONDITION**

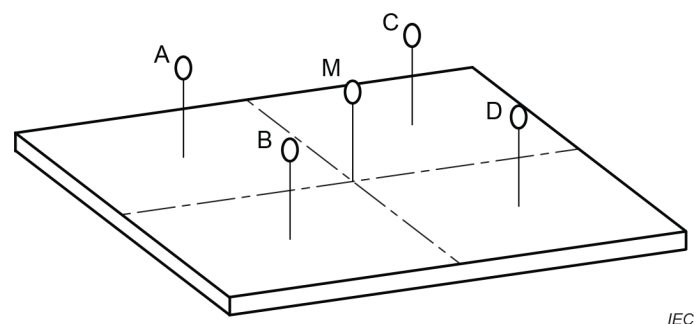
condition reached when the TRANSPORT INCUBATOR TEMPERATURE does not vary by more than 1 °C over a period of 1 h ~~(see Figure 201.102)~~

SEE Figure 201.101

201.3.212**TRANSPORT INCUBATOR TEMPERATURE**

temperature of the air at a point 10 cm above the centre of the MATTRESS surface in the COMPARTMENT ~~(see Figure 201.101, point M)~~

SEE Figure 201.102, point M

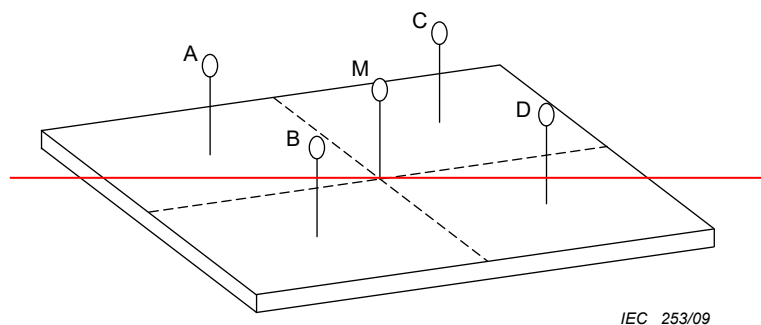
**Key**

M TRANSPORT INCUBATOR TEMPERATURE sensor

A, B, C, D air temperature sensor

The measuring points A to D and M are in a plane parallel to and at a distance of 10 cm from the MATTRESS.

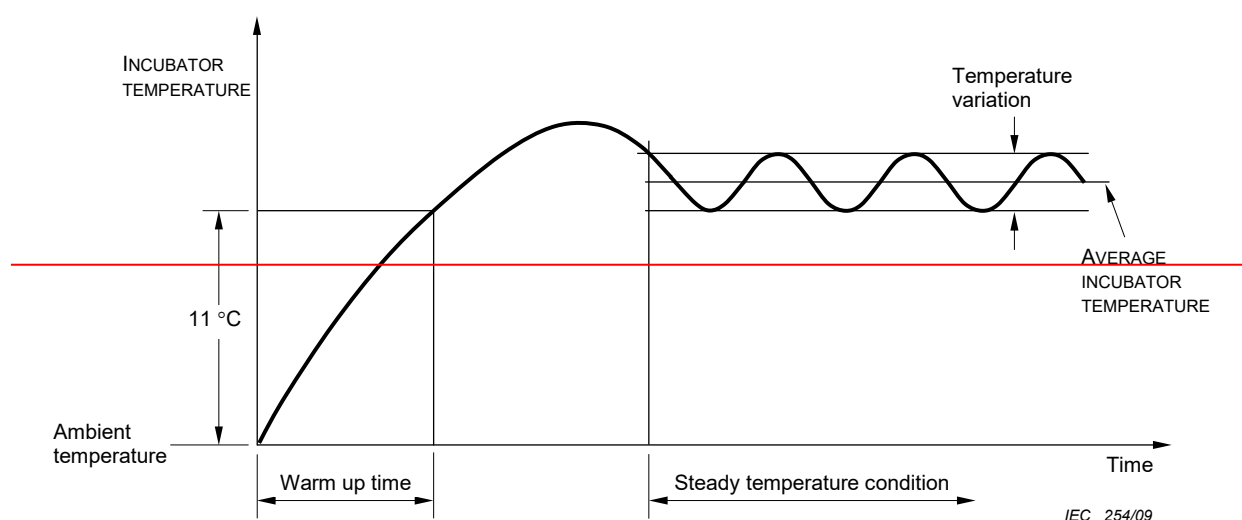
Figure 201.102 – Positioning of air temperature sensors

**Key**

M = INCUBATOR TEMPERATURE sensor

A, B, C, D = Air temperature sensor

The measuring points A to D and M are in a plane parallel to and at a distance of 10 cm from the MATTRESS.

Figure 201.101 – Positioning of air temperature sensors**Figure 201.102 – Variation of INCUBATOR TEMPERATURE****201.3.213****TRANSPORTABLE ELECTRICAL POWER SOURCE**

rechargeable battery and battery charger intended to provide the electrical power necessary to operate the INFANT TRANSPORT INCUBATOR

201.4 General requirements

Clause 4 of the general standard applies, except as follows:

201.4.1 Conditions for application to ME EQUIPMENT or ME SYSTEMS

Addition:

For ME EQUIPMENT which combines alternative heat sources, for instance INCUBATORS with integrated INFANT RADIANT WARMERS, devices supplying heat via BLANKETS, PADS or MATTRESSES, etc., the safety requirements of the particular standards for these items apply.