

Australian/New Zealand Standard™

Medical electrical equipment

Part 2.21: Particular requirements for the basic safety and essential performance of infant radiant warmers



AS/NZS IEC 60601.2.21:2015

This Joint Australian/New Zealand Standard was prepared by Joint Technical Committee HE-003, Medical Electrical Equipment. It was approved on behalf of the Council of Standards Australia on 12 October 2015 and on behalf of the Council of Standards New Zealand on 2 October 2015.

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The following are represented on Committee HE-003:

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Australian Dental Association
Australian Society of Anaesthetists
Canterbury District Health Board
College of Biomedical Engineering Engineers Australia
Department of Defence
Engineers Australia
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Australian/New Zealand Standard™

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Originated as AS/NZS 3200.2.21:1994.
Jointly revised and redesignated as AS/NZS IEC 60601.2.21:2015.

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PREFACE

This Standard was prepared by the Joint Standards Australia/Standards New Zealand Committee HE-003, Medical Electrical Equipment, to supersede AS/NZS 3200.2.21:1994, *Approval and test specification—Medical electrical equipment, Part 2.21: Particular requirements for safety—Infant radiant warmers*.

The objective of this Standard is to establish particular basic safety and essential performance requirements for endoscopic equipment. The requirements of this Standard supplement the general requirements specified in AS/NZS IEC 60601.1.

This Standard is intended to be read in conjunction with AS/NZS IEC 60601.1:2015, which is an identical adoption of IEC 60601-1, Ed.3.1 (2012) and is referred to in the source text as ‘the general standard’.

This Standard is identical with, and has been reproduced from IEC 60601-2-21, Ed.2.0 (2009), *Medical electrical equipment, Part 2-21: Particular requirements for the basic safety and essential performance of infant radiant warmers*, and its Corrigendum 1 (2013), which has been added at the end of the source text.

As this Standard is reproduced from an International Standard, the following applies:

- (a) In the source text ‘this International Standard’ should read ‘this Australian/New Zealand Standard’.
- (b) A full point substitutes for a comma when referring to a decimal marker.

None of the normative references in the source document have been adopted as Australian or Australian/New Zealand Standards.

The term ‘informative’ has been used in this Standard to define the application of the annex to which it applies. An ‘informative’ annex is only for information and guidance.

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IEC FOREWORD

In this standard, the following print types are used:

- Requirements and definitions: roman type.
- *Test specifications: italic type.*
- Informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative text of tables is also in a smaller type.
- TERMS DEFINED IN CLAUSE 3 OF THE GENERAL STANDARD, IN THIS PARTICULAR STANDARD OR AS NOTED: SMALL CAPITALS.

In referring to the structure of this standard, the term

- “clause” means one of the seventeen numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 7 includes subclauses 7.1, 7.2, etc.);
- “subclause” means a numbered subdivision of a clause (e.g. 7.1, 7.2 and 7.2.1 are all subclauses of Clause 7).

References to clauses within this standard are preceded by the term “Clause” followed by the clause number. References to subclauses within this particular standard are by number only.

In this standard, the conjunctive “or” is used as an “inclusive or” so a statement is true if any combination of the conditions is true.

The verbal forms used in this standard conform to usage described in Annex H of the ISO/IEC Directives, Part 2. For the purposes of this standard, the auxiliary verb:

- “shall” means that compliance with a requirement or a test is mandatory for compliance with this standard;
- “should” means that compliance with a requirement or a test is recommended but is not mandatory for compliance with this standard;
- “may” is used to describe a permissible way to achieve compliance with a requirement or test.

An asterisk (*) as the first character of a title or at the beginning of a paragraph or table title indicates that there is guidance or rationale related to that item in Annex AA.

INTRODUCTION

The minimum safety requirements specified in this particular standard are considered to provide for a practical degree of safety in the operation of INFANT RADIANT WARMER equipment.

This particular standard amends and supplements IEC 60601-1:2005, *Medical electrical equipment – Part 1: General requirements for basic safety and essential performance*, hereinafter referred to as the general standard.

The requirements are followed by specifications for the relevant tests.

A general guidance and rationale for the requirements of this particular standard are given in Annex AA.

It is considered that knowledge of the reasons for these requirements will not only facilitate the proper application of this particular standard but will, in due course, expedite any revision necessitated by changes in clinical practice or as a result of developments in technology. However, this annex does not form part of the requirements of this standard.

AUSTRALIAN/NEW ZEALAND STANDARD

Medical electrical equipment**Part 2.21:**

Particular requirements for the basic safety and essential performance of infant radiant warmers

201.1 Scope, object and related standards

Clause 1 of the general standard¹⁾ applies, except as follows:

201.1.1 Scope

Replacement:

This International Standard applies to the BASIC SAFETY and ESSENTIAL PERFORMANCE of INFANT RADIANT WARMERS as defined in 201.3.204, also referred to as ME EQUIPMENT.

If a clause or subclause is specifically intended to be applicable to ME EQUIPMENT only, or to ME SYSTEMS only, the title and content of that clause or subclause will say so. If that is not the case, the clause or subclause applies both to ME EQUIPMENT and to ME SYSTEMS, as relevant.

HAZARDS inherent in the intended physiological function of ME EQUIPMENT or ME SYSTEMS within the scope of this standard are not covered by specific requirements in this standard except in 7.2.13 and 8.4.1 of the general standard.

NOTE See also 4.2 of the general standard.

This particular standard specifies the safety requirements for INFANT RADIANT WARMERS, but alternate methods of compliance with a specific clause, by demonstrating equivalent safety, will not be judged as non-compliant, if the MANUFACTURER has demonstrated in his RISK MANAGEMENT FILE that the RISK presented by the HAZARD has been found to be of an acceptable level when weighed against the benefit of treatment from the device.

This particular standard does not apply to:

- devices supplying heat via BLANKETS, PADS or MATTRESSES in medical use; for information see IEC 80601-2-35;
- INFANT INCUBATORS; for information see IEC 60601-2-19;
- INFANT TRANSPORT INCUBATORS, for information see IEC 60601-2-20;
- INFANT PHOTOTHERAPY EQUIPMENT, for information see IEC 60601-2-50.

201.1.2 Object

Replacement:

The object of this particular standard is to establish particular BASIC SAFETY and ESSENTIAL PERFORMANCE requirements for INFANT RADIANT WARMERS as defined in 201.3.204, which minimize HAZARDS to PATIENT and OPERATOR, and to specify tests by which compliance with the requirements can be verified.

¹⁾ The general standard is IEC 60601-1:2005, *Medical electrical equipment – Part 1: General requirements for basic safety and essential performance*.

201.1.3 *Collateral standards

Addition:

This particular standard refers to those applicable collateral standards that are listed in Clause 2 of the general standard and Clause 2 of this particular standard.

IEC 60601-1-2 and IEC 60601-1-10 apply as modified in Clauses 202 and 210 respectively. IEC 60601-1-3 does not apply. All other published collateral standards in the IEC 60601-1 series apply as published.

201.1.4 Particular standards

Replacement:

In the IEC 60601 series, particular standards may modify, replace or delete requirements contained in the general standard and collateral standards as appropriate for the particular ME EQUIPMENT under consideration, and may add other BASIC SAFETY and ESSENTIAL PERFORMANCE requirements.

A requirement of a particular standard takes priority over the general standard.

For brevity, IEC 60601-1 is referred to in this particular standard as the general standard. Collateral standards are referred to by their document number.

The numbering of clauses and subclauses of this particular standard corresponds to that of the general standard with the prefix "201" (e.g. 201.1 in this standard addresses the content of Clause 1 of the general standard) or applicable collateral standard with the prefix "20x" where x is the final digit(s) of the collateral standard document number (e.g. 202.4 in this particular standard addresses the content of Clause 4 of the 60601-1-2 collateral standard, 203.4 in this particular standard addresses the content of Clause 4 of the 60601-1-3 collateral standard, etc.). The changes to the text of the general standard are specified by the use of the following words:

"Replacement" means that the clause or subclause of the general standard or applicable collateral standard is replaced completely by the text of this particular standard.

"Addition" means that the text of this particular standard is additional to the requirements of the general standard or applicable collateral standard.

"Amendment" means that the clause or subclause of the general standard or applicable collateral standard is amended as indicated by the text of this particular standard.

Subclauses, figures or tables which are additional to those of the general standard are numbered starting from 201.101. However, due to the fact that definitions in the general standard are numbered 3.1 through 3.139, additional definitions in this standard are numbered beginning from 201.3.201. Additional annexes are lettered AA, BB, etc., and additional items aa), bb), etc.

Subclauses, figures or tables which are additional to those of a collateral standard are numbered starting from 20x, where "x" is the number of the collateral standard, e.g. 202 for IEC 60601-1-2, 203 for IEC 60601-1-3, etc.

The term "this standard" is used to make reference to the general standard, any applicable collateral standards and this particular standard taken together.

Where there is no corresponding clause or subclause in this particular standard, the clause or subclause of the general standard or applicable collateral standard, although possibly not

relevant, applies without modification; where it is intended that any part of the general standard or applicable collateral standard, although possibly relevant, is not to be applied, a statement to that effect is given in this particular standard.

201.2 Normative references

Clause 2 of the general standard applies, except as follows:

Amendment:

IEC 60601-1-2:2007, *Medical electrical equipment – Part 1-2: General requirements for basic safety and essential performance – Collateral standard: Electromagnetic compatibility – Requirements and tests*

Addition:

IEC 60601-1-10:2007, *Medical electrical equipment – Part 1-10: General requirements for basic safety and essential performance – Collateral Standard: Requirements for the development of physiologic closed-loop controllers*

NOTE Informative references are listed in the bibliography beginning on page 32.

201.3 Terms and definitions

For the purposes of this document, the terms and definitions given in the general standard apply, except as follows:

NOTE An index of defined terms is found beginning on page 34.

Addition:

201.3.201

BABY CONTROLLED RADIANT WARMER

mode of operation in which the power output varies automatically in order to maintain the temperature as measured by a SKIN TEMPERATURE SENSOR according to the CONTROL TEMPERATURE set by the OPERATOR

NOTE An INFANT RADIANT WARMER operating as a BABY CONTROLLED RADIANT WARMER is a PHYSIOLOGIC CLOSED-LOOP CONTROLLER as defined in IEC 60601-1-10.

201.3.202

CONTROL TEMPERATURE

temperature selected at the temperature control

201.3.203

INFANT

PATIENT up to 3 months and with a weight of less than 10 kg

201.3.204

INFANT RADIANT WARMER

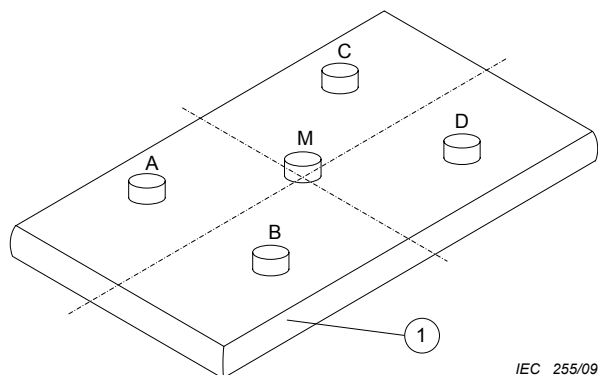
electrically powered device with a radiant heating source intended to maintain the thermal balance of an INFANT by direct radiation of energy in the infrared region of the electromagnetic spectrum

201.3.205**MANUAL MODE**

mode of operation in which the heater output is either at a fixed level or a proportion of its maximum output set by the OPERATOR

201.3.206**MID-POINT AVERAGE TEMPERATURE** **T_M**

TEST DEVICE AVERAGE TEMPERATURE of the TEST DEVICE positioned at the mid-point of the INFANT RADIANT WARMER mattress (See Figure 201.101)

**Key**

1 = Mattress

Figure 201.101 – Layout of TEST DEVICES

201.3.207***PREWARM MODE**

mode of operation in which the heater output is maintained at a preset level (set by the MANUFACTURER) for the purpose of pre-warming the INFANT RADIANT WARMER and maintaining the level of warmth of the INFANT RADIANT WARMER prior to an INFANT being placed on the device

201.3.208**SKIN TEMPERATURE SENSOR**

sensing device intended to measure the INFANT'S SKIN TEMPERATURE

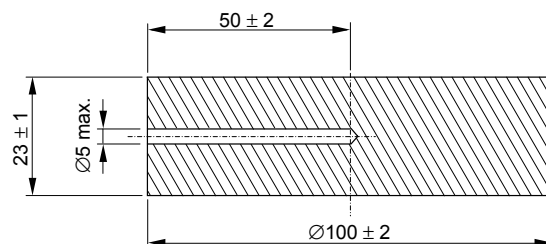
201.3.209**STEADY TEMPERATURE CONDITION**

condition which is reached when the temperature, measured at the centre of the TEST DEVICE positioned on the mid-point of the INFANT RADIANT WARMER mattress, does not vary by more than 1 °C over a period of 1 h

201.3.210**TEST DEVICE**

totally matt blackened disc used as a reproducible receiver of radiant energy during testing of the INFANT RADIANT WARMER (see Figure 201.102)

Dimensions in millimeters



IEC 372/09

Key

1 = Mattress

Surface finish: non-reflective black paint

Disc mass 500 g ± 10 g

Disc material: aluminum of density within the range 2,6 g/cm³ and 2,9 g/cm³**Figure 201.102 – TEST DEVICE****201.3.211****TEST DEVICE AVERAGE TEMPERATURE** $(T_1, T_2, T_3, T_4 \text{ OR } T_M)$

average temperature reading taken during a STEADY TEMPERATURE CONDITION at regular intervals at the centre of a TEST DEVICE

NOTE T_M is expressed in °C.**201.3.212****TEST LOAD**

array of five TEST DEVICES used in a specified configuration (see Figure 201.101) for performance tests of the INFANT RADIANT WARMER

201.4 General requirements

Clause 4 of the general standard applies, except as follows:

201.4.1 Conditions for application to ME EQUIPMENT OR ME SYSTEMS*Addition:*

For ME EQUIPMENT or ME SYSTEMS, which combines alternative heat sources, for instance incubators with integrated RADIANT WARMERS, devices supplying heat via BLANKETS, PADS or MATTRESSES etc., safety requirements of other relevant particular standards shall be considered. Further the safety requirements of this particular standard shall be fulfilled with the combination of the other equipment, which is approved by the MANUFACTURER, as stated in the ACCOMPANYING DOCUMENTS according to Clause 16 (ME SYSTEMS).

Compliance is checked by the test of Clause 201.11 and subclause 201.15.4.2.1 of the relevant particular standards (e.g. IEC 60601-2-19 etc).

201.4.3 ESSENTIAL PERFORMANCE*Addition:*

201.4.3.101 * Additional ESSENTIAL PERFORMANCE requirements

Additional ESSENTIAL PERFORMANCE requirements are found in the subclauses listed in Table 201.101.

Table 201.101 – Additional ESSENTIAL PERFORMANCE requirements

Requirement	Subclause
ESSENTIAL PERFORMANCE requirement 1	201.12.1.103, and generation of a visual and audible alarm in compliance with 201.15.4.2.1

201.5 General requirements for testing of ME EQUIPMENT

Clause 5 of the general standard applies, except as follows:

201.5.3 * Ambient temperature, humidity, atmospheric pressure

Addition to item a):

The ME EQUIPMENT shall comply with the requirements of this standard when operating within the following conditions:

- an ambient temperature within the range 18 °C to 30 °C;
- an ambient air velocity is less than 0,3 m/s.

If not otherwise specified in this particular standard, all tests shall be carried out at an ambient temperature within the range of 21 °C to 26 °C.

201.5.4 Other conditions

Addition:

If not otherwise specified, the CONTROL TEMPERATURE shall be 36 °C ± 1 °C and shall always exceed the ambient temperature by at least 3 °C.

201.6 Classification of ME EQUIPMENT and ME SYSTEMS

Clause 6 of the general standard applies.

201.7 ME EQUIPMENT identification, marking and documents

Clause 7 of the general standard applies, except as follows:

201.7.2 Marking on the outside of ME EQUIPMENT or ME EQUIPMENT parts
(see also Table C.1 of the general standard)

Additional subclauses:

201.7.2.101 *Oxygen monitor

An INFANT RADIANT WARMER not equipped with an integral oxygen monitor and which provides means for oxygen administration shall be marked in a prominent position with a text which states: "Use an oxygen monitor when oxygen is administered".