



Designation: C1067 – 20

Standard Practice for Conducting a Ruggedness Evaluation or Screening Program for Test Methods for Construction Materials¹

This standard is issued under the fixed designation C1067; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ϵ) indicates an editorial change since the last revision or reapproval.

1. Scope*

1.1 This practice covers a procedure for evaluating the ruggedness of a test method by determining the effects of different experimental factors on the variation of test results. The procedure is intended for use during the development of a test method before the interlaboratory study is executed, such as those described in Practices C802 and E691.

1.2 This practice covers, in general terms, techniques for planning, collecting data, and analyzing results from a few laboratories. Appendix X1 provides the details of the procedure with an example and Appendix X2 provides additional information on the methodology.

1.3 The practice is not intended to give information pertinent to estimating multilaboratory precision.

1.4 The system of units for this practice is not specified. Dimensional quantities in the practice are presented only in illustrations of calculation methods.

1.5 *This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety, health, and environmental practices and determine the applicability of regulatory limitations prior to use.*

1.6 *This international standard was developed in accordance with internationally recognized principles on standardization established in the Decision on Principles for the Development of International Standards, Guides and Recommendations issued by the World Trade Organization Technical Barriers to Trade (TBT) Committee.*

2. Referenced Documents

2.1 ASTM Standards:²

¹ This practice is under the jurisdiction of ASTM Committee C09 on Concrete and Concrete Aggregates and is the direct responsibility of Subcommittee C09.94 on Evaluation of Data (Joint C09 and C01).

Current edition approved April 15, 2020. Published August 2020. Originally approved in 1987. Last previous edition approved in 2012 as C1067 – 12. DOI: 10.1520/C1067-20.

² For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

C670 Practice for Preparing Precision and Bias Statements for Test Methods for Construction Materials

C802 Practice for Conducting an Interlaboratory Test Program to Determine the Precision of Test Methods for Construction Materials

E456 Terminology Relating to Quality and Statistics

E691 Practice for Conducting an Interlaboratory Study to Determine the Precision of a Test Method

E1169 Practice for Conducting Ruggedness Tests

3. Terminology

3.1 Definitions:

3.1.1 For definitions of statistical terms used in this standard, refer to Terminology E456.

3.2 Definitions of Terms Specific to This Standard:

3.2.1 *determination, n*—numerical value of a characteristic of a test specimen measured in accordance with the given test method.

3.2.2 *effect, n*—of a factor, the difference in the measured characteristics at each level of a factor averaged over all levels of other factors in the experiment.

3.2.3 *factor, n*—a condition or element in the test procedure or laboratory environment that can be controlled and that is a potential source of variation of test results.

3.2.4 *level, n*—the value or setting of a factor associated with a determination.

3.2.5 *replication, n*—the act of obtaining, under specified conditions, two or more determinations on identical specimens.

3.2.5.1 *Discussion*—Replicate determinations are typically required to be obtained by the same operator, using the same apparatus, on specimens that are as similar as possible, and during a short time interval.

3.2.6 *ruggedness, n*—the degree to which a test method is able to produce test results that are not influenced, to a statistically significant degree, by small changes in testing procedure or environment.

3.2.6.1 *Discussion*—Statistical significance is evaluated by comparing the observed variation in test results due to a factor with the expected variation due to chance alone.

3.2.7 *screening, n*—a planned experiment using a low number of determinations to detect among many factors those that

*A Summary of Changes section appears at the end of this standard

have a statistically significant effect on variation of test results compared with chance variation.

3.2.7.1 *Discussion*—In this practice, the influence of seven factors is evaluated using a replicated set of eight determinations, that is, a total of 16 determinations.

4. Summary of Practice

4.1 The practice requires that the user develop, from theoretical or practical knowledge, or both, a list of factors that plausibly would cause statistically significant variation in test results if the factors were not controlled. The technique is limited to the analysis of the effects of seven factors and requires $\frac{1}{16}$ of the determinations that would be required to evaluate seven factors in a full factorial study (see [Appendix X2](#) for additional explanation). Procedures exist for analysis of smaller and larger numbers of factors (see [Guide E1169](#)), but seven is a convenient number for many test methods for construction materials. The seven-factor analysis requires 16 determinations by each laboratory. The procedure can be executed usefully by a single laboratory, but sometimes additional information can be obtained if it is repeated in one or two additional laboratories.

4.2 The procedure requires that two levels of each factor be identified, and 16 determinations be obtained with prescribed combinations of factor levels. The levels assigned to a factor may be quantitative or qualitative (for example, 20°C versus 25°C or brass versus steel).

4.3 After data are acquired, a statistical procedure is applied to establish which of the factors under study have a statistically significant effect on test results.

5. Significance and Use

5.1 The purpose of a ruggedness evaluation, or screening program, is to determine the sensitivity of the test method to changes in levels of pertinent operating factors using a small number of tests. Normally, operating conditions for a test method are defined along with allowable tolerances. A ruggedness analysis determines the effect of “worst-case” variation in operating conditions within the specified tolerances. If the ruggedness evaluation indicates that the factors have a statistically significant effect on test results, the method can be revised with smaller tolerances on operating conditions to reduce variation among test results.

5.2 This practice evaluates the effects of seven factors using eight testing conditions (treatments). The disadvantage of this approach is that it only estimates the main effects of the factors and does not detect the effects of interactions among factors. For this reason, this is a screening program and additional investigation is required to determine whether there are interaction effects.

5.3 A major reason for poor precision in test methods is the lack of adequate control over the sources of variation in testing procedures or testing environments. These sources of variation often are not controlled adequately because they were not identified during the development of the test procedures as having a large effect on test results. This practice provides a

systematic procedure to establish the required degree of control for different testing parameters.

5.4 All new test methods must be subjected to an interlaboratory program to develop a precision and bias statement. These programs can be expensive and time-consuming, and the result may show that the method is too variable and should not be published without further revision. Interlaboratory studies may give the subcommittee an indication that the method is too variable, but they do not usually give a clear picture of the causes of the high variation. Application of this practice using one or two laboratories before finalizing the test method and conducting the interlaboratory study is an economical way to determine these causes.

5.5 Many existing test methods were developed before there was a requirement for precision and bias statements. Since this became a requirement, most of these test methods have developed precision and bias statements, and the result is that many have been found to suffer from relatively large amount of variation. This practice provides a relatively simple and economical way to investigate the causes of variation in test methods, so that a subcommittee will have some guidance as to which parts of the test method need to be revised.

5.6 The procedure can be used for a screening program within a single laboratory, but involvement of at least three laboratories is recommended, particularly if the single laboratory were to be the one that developed the test method. This is particularly important for new test methods. The originating laboratory is so much a part of the development of the test method that it is difficult for it to be objective in spotting any problems in the clarity of the test method instructions. Two additional laboratories will probably contribute fresh critical review of the validity of the test method and provide assistance in clarifying the instructions of the test method if needed. This practice, however, is not intended to provide information on multilaboratory precision, but it does provide some information on single-operator precision, which could be used to develop a temporary repeatability statement until the interlaboratory study is completed.

6. Materials

6.1 The number and types of material shall cover the range of material properties to which the test method is applicable. The test method may not apply to material types or property values outside the range evaluated. Three to five materials with different values of the measured property will usually be sufficient.

6.1.1 Some preliminary testing may help the laboratories involved determine the materials that will be used in the screening program.

7. Procedure

7.1 Determine the number of laboratories that will participate in the screening program and which materials each will use. The maximum amount of information is obtained if all laboratories include all materials in their part of the program, however, cost can be reduced if each laboratory uses a different material. In this case, caution must be exercised in interpreting

the results because laboratory-dependent effects cannot be separated from material-dependent effects.

TABLE 1 Pattern of Assigning Levels^A to Seven Factors

Factor	Determination Number							
	1(9) ^B	2(10)	3(11)	4(12)	5(13)	6(14)	7(15)	8(16)
A	–	–	–	–	+	+	+	+
B	–	–	+	+	–	–	+	+
C	–	+	–	+	–	+	–	+
D	+	+	–	–	–	–	+	+
E	+	–	+	–	–	+	–	+
F	+	–	–	+	+	–	–	+
G	–	+	+	–	+	–	–	+

^A The plus sign (+) indicates one level for the factor and the minus sign (–) indicates the other level.

^B The numbers in parentheses refer to the determinations in replicate set 2.

7.2 Factors that are likely to have the greatest effect on the variability of the test results are selected for study. Levels of these factors are determined by selecting the minimum and maximum levels that would plausibly occur in the execution of the test method if there were no particular efforts to control them. Levels often represent quantitative factors, such as temperature or pressure, but they may also represent qualitative factors, such as old versus new or wet versus dry. Only two levels are allowed for each factor. In this practice, factors are assigned letter designations, A through G, and the two levels of each factor are designated with plus (+) and minus (–) signs, as shown in Table 1.

7.3 Assign combinations of factor levels to each determination according to Table 1. The eight determinations will be replicated; therefore, the full study on each material will require 16 determinations. Run the 16 determinations in random order.

7.4 To analyze the results, construct a 16 row by 16 column matrix composed of ± 1 values as shown in Table 2. Each of the 16 columns corresponds to one of the determinations. The values in row 1 are all +1. The +1 and –1 values in rows 2 to 8 for the first replicate set correspond to the high and low settings of the factors A through G as given in Table 1. The

pattern in rows 1 to 8 of the first replicate set is repeated for rows 1 to 8 of the second replicate set and for rows 9 to 16 of the first replicate set. For rows 9 to 16 of the second replicate set, the signs are reversed from those in the first set. The plus and minus signs in Table 2 are applied to the values of the 16 determinations to create a table of signed determinations and various sums of the signed determinations are calculated (see Note 1). For each row of the table of signed determinations, calculate the Z and W statistics using Eq 1 and 2.

$$Z_r = \sum_{i=1}^{16} \alpha_{ri} d_i \quad (1)$$

$$W_r = \frac{Z_r^2}{16} \quad (2)$$

where:

- r = row number as shown in Table 2, where $r = 1$ to 16,
- i = determination number ranging from 1 to 16,
- α_{ri} = +1 or –1 as defined in Table 2 for each row number and determination number, and
- d_i = measured value of determination number i as defined in Table 1.

NOTE 1—See Table X1.4 for an example of the resulting table of signed determinations after the +1 and –1 values shown in Table 2 are applied to the 16 determinations. Eq 1 represents the sum for each row of the table of signed determinations.

7.5 The Z-statistic for row 1 (Z_1) represents the sum of the 16 determinations and $Z_1/16$ is the overall average of the 16 determinations. The Z-statistics for rows 2 through 8 (Z_2 through Z_8) are related to the effects of each of the seven factors (see Note 2). These values of Z represent the differences between the sum of the determinations at the high level of the factor and the sum of the determinations at the low level of the factor. The Z-values are divided by eight to obtain the effect of each factor averaged of over the levels of the other factors. For example, $Z_3/8$ is the average effect of factor B as it is varied from the low level to the high level.

NOTE 2—A positive value for an effect of a factor means that the response increases as the factor level is changed from its low level to its high level. The opposite is the case for a negative effect. Recall that an effect of a factor is the difference between the average of the determinations at the high setting minus the average at the low setting of the factor.

TABLE 2 Matrix of Signs to be Applied to 16 Determinations (d_1 to d_{16}) to Calculate Z- and W-Statistics

Sign Applied to Each Determination in Computing Z_i																		
Row ⁴	Eight Determinations for Replicate Set 1								Eight Determinations for Replicate Set 2								Z	W
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16		
1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	Z_1	W_1
2	-1	-1	-1	-1	1	1	1	1	-1	-1	-1	-1	1	1	1	1	Z_2	W_2
3	-1	-1	1	1	-1	-1	1	1	-1	-1	1	1	-1	-1	1	1	Z_3	W_3
4	-1	1	-1	1	-1	1	-1	1	-1	1	-1	1	-1	1	-1	1	Z_4	W_4
5	1	1	-1	-1	-1	-1	1	1	1	1	-1	-1	-1	-1	1	1	Z_5	W_5
6	1	-1	1	-1	-1	1	-1	1	1	-1	1	-1	-1	1	-1	1	Z_6	W_6
7	1	-1	-1	1	1	-1	-1	1	1	-1	-1	1	1	-1	-1	1	Z_7	W_7
8	-1	1	1	-1	1	-1	-1	1	-1	1	1	-1	1	-1	-1	1	Z_8	W_8
9	1	1	1	1	1	1	1	1	-1	-1	-1	-1	-1	-1	-1	-1	Z_9	W_9
10	-1	-1	-1	-1	1	1	1	1	1	1	1	1	-1	-1	-1	-1	Z_{10}	W_{10}
11	-1	-1	1	1	-1	-1	1	1	1	1	-1	-1	1	1	-1	-1	Z_{11}	W_{11}
12	-1	1	-1	1	-1	1	-1	1	1	-1	1	-1	1	-1	1	-1	Z_{12}	W_{12}
13	1	1	-1	-1	-1	-1	1	1	-1	-1	1	1	1	1	-1	-1	Z_{13}	W_{13}
14	1	-1	1	-1	-1	1	-1	1	-1	1	-1	1	1	-1	1	-1	Z_{14}	W_{14}
15	1	-1	-1	1	1	-1	-1	1	-1	1	1	-1	-1	1	1	-1	Z_{15}	W_{15}
16	-1	1	1	-1	1	-1	-1	1	1	-1	-1	1	-1	1	1	-1	Z_{16}	W_{16}

^A Rows 2 to 8 and rows 10 to 16 are associated with the levels of the factors A–G.

7.6 The W values are various mean squares. W_1 is the mean of the square of the sum of all determinations and is not used in this analysis. The values W_2 to W_8 are the mean squares for each factor and are compared with the random error (see [Note 3](#)). The W values for rows 9 through 16 (W_9 to W_{16}) are used to calculate the error variance (s^2) according to [Eq 3](#) (see [Note 4](#)).

$$s^2 = \frac{\sum_{r=9}^{16} W_r}{8} \quad (3)$$

NOTE 3—[Appendix X2](#) provides additional information of the meaning of the term “mean squares.”

NOTE 4—The error variance s^2 is the pooled variance of the two replicate determinations for each of the eight conditions (treatments).

7.7 To establish whether a factor has a statistically significant effect on the results, compute the F statistic for each factor using [Eq 4](#).

$$F_f = \frac{W_r}{s^2} \quad (4)$$

where:

F_f = value of F -statistic for factor f (A through G) for the corresponding row (2 through 8) of [Table 2](#).

[Table 3](#) summarizes the calculations given by [Eq 3](#) and [Eq 4](#).

7.8 An F_f value that is ≥ 5.32 represents a statistically significant effect for factor f at a probability of not greater than 5 % for drawing an erroneous conclusion.

TABLE 3 Summary of Statistics for Seven Factors and Random Error

Factor	W	F
A	W_2	$F_A = W_2/s^2$
B	W_3	$F_B = W_3/s^2$
C	W_4	$F_C = W_4/s^2$
D	W_5	$F_D = W_5/s^2$
E	W_6	$F_E = W_6/s^2$
F	W_7	$F_F = W_7/s^2$
G	W_8	$F_G = W_8/s^2$
	W_9	
	W_{10}	
	W_{11}	
	W_{12}	
	W_{13}	
	W_{14}	
	W_{15}	
	W_{16}	
		$s^2 = \frac{\sum_{r=9}^{16} W_r}{8}$

7.9 An example of an analysis of data representing results on 4 materials from 3 laboratories is presented in [Appendix X1](#).

7.10 If desired, one of the alternative methods discussed in [X2.5](#) of [Appendix X2](#) is permitted for determining which factors have statistically significant effects.

8. Keywords

8.1 analysis of variance; precision; ruggedness; screening; test method; variation

APPENDIXES

(Nonmandatory Information)

X1. EXAMPLE OF A RUGGEDNESS PROGRAM

X1.1 This appendix describes the procedure for conducting a ruggedness evaluation using as an example a proposed test method for measuring the viscosity of asphalt. Three laboratories participated in the program.

X1.2 As the first step in the ruggedness evaluation, each of the laboratories critically examined the procedure in the proposed test method. The objectives of the examination were as follows:

1. To determine if the instructions were clear, concise, and complete,
2. To decide which factors were likely to influence test results and therefore should be included in the study,
3. To select materials that covered the range of the property of interest for the range of physical forms of the materials to be tested, and
4. To determine the proper levels to be evaluated for each of the chosen factors.

X1.3 In this example, representatives of the three laboratories, after familiarizing themselves with the proposed test method, met and tried to improve the instructions for the viscosity measurement. They selected factors and levels that

they believed could affect the measured viscosities. In a preliminary investigation, one of the laboratories measured viscosity at 24°C, 25°C, and 26°C and found that there was about a 10 % variation with a change of 1°C. This was considered too large so 24.6 and 25.4°C were selected as the lower and upper temperature levels for the ruggedness evaluation. In the same manner, the effects of the other factors were examined and the two levels to be used for each factor were selected. The seven factors selected for the program and their levels are shown in [Table X1.1](#). The levels of the factors were assigned to each of the eight determinations in accordance with [Table 1](#) from the body of this practice. [Table X1.2](#) shows the testing conditions (or treatments) for each of the replicated determinations.

X1.4 Four materials were selected to cover the range of viscosities to be measured by the test method. For each testing condition, the viscosities were determined by each of the three laboratories with one replication. Thus each laboratory conducted 16 determinations for each material, for a total of 64 determinations. For each material, the 16 determinations were acquired in random order. This is a critical part of the program to guard against systematic variations in the test conditions.