This international standard was developed in accordance with internationally recognized principles on standardization established in the Decision on Principles for the Development of International Standards, Guides and Recommendations issued by the World Trade Organization Technical Barriers to Trade (TBT) Committee.

Designation: D6618 – 23

Standard Test Method for Evaluation of Engine Oils in Diesel Four-Stroke Cycle Supercharged 1M-PC Single Cylinder Oil Test Engine[1]

This standard is issued under the fixed designation D6618; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ε) indicates an editorial change since the last revision or reapproval.

INTRODUCTION

This test method can be used by any properly equipped laboratory, without outside assistance. However, the ASTM Test Monitoring Center (TMC)[2] provides reference oils and an assessment of the test results obtained on those oils by the laboratory. By this means, the laboratory will know whether their use of the test method gives results statistically similar to those obtained by other laboratories. Furthermore, various agencies require that a laboratory utilize the TMC services in seeking qualification of oils against specifications. For example, the U.S. Army imposes such a requirement, in connection with several Army engine lubricating oil specifications.

Accordingly, this test method is written for use by laboratories that utilize the TMC services. Laboratories that choose not to use those services may simply ignore those portions of the test method that refer to the TMC.

This test method may be modified by means of Information Letters issued by the TMC. In addition, the TMC may issue supplementary memoranda related to the test method.

1. Scope*

1.1 This test method covers a four-stroke cycle diesel engine test procedure for evaluating engine oils for certain high-temperature performance characteristics, particularly ring sticking, ring and cylinder wear, and accumulation of piston deposits. Such oils include both single viscosity SAE grade and multiviscosity SAE grade oils used in diesel engines. It is commonly known as the 1M-PC test (PC for Pre-Chamber) and is used in several API oil categories, notably the CF and CF-2 and the military category described in MIL-PRF-2104 (see Note 1).

NOTE 1—Companion test methods used to evaluate other engine oil performance characteristics for API oil categories CF and CF-2 are discussed in SAE J304. The companion tests used by the military can be found in MIL-PRF-2104.

1.2 The values stated in SI units are to be regarded as standard.

1.2.1 *Exception*—The values in parentheses are provided for information only.

1.3 *This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety, health, and environmental practices and determine the applicability of regulatory limitations prior to use.*

1.4 This test method is arranged as follows:

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[1] This test method is under the jurisdiction of ASTM Committee D02 on Petroleum Products, Liquid Fuels, and Lubricants and is the direct responsibility of Subcommittee D02.B0.02 on Heavy Duty Engine Oils. The test engine sequences were originally developed in 1956 by ASTM Committee D02. Subsequently, the procedures were published in an ASTM Special Technical Publication.

Current edition approved July 1, 2023. Published July 2023. Originally approved in 2000. Last previous edition approved in 2016 as D6618 – 16. DOI: 10.1520/D6618-23.

[2] ASTM Test Monitoring Center, 203 Armstrong Drive Freeport, PA 16229. The TMC issues Information Letters that supplement this test method. This edition incorporates revisions contained in all information letters through No. 23–1.

*A Summary of Changes section appears at the end of this standard

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1.5 *This international standard was developed in accordance with internationally recognized principles on standardization established in the Decision on Principles for the Development of International Standards, Guides and Recommendations issued by the World Trade Organization Technical Barriers to Trade (TBT) Committee.*

2. Referenced Documents

2.1 *ASTM Standards:*[3]

D86 Test Method for Distillation of Petroleum Products and Liquid Fuels at Atmospheric Pressure

D93 Test Methods for Flash Point by Pensky-Martens Closed Cup Tester

D97 Test Method for Pour Point of Petroleum Products

D130 Test Method for Corrosiveness to Copper from Petroleum Products by Copper Strip Test

D235 Specification for Mineral Spirits (Petroleum Spirits) (Hydrocarbon Dry Cleaning Solvent)

D445 Test Method for Kinematic Viscosity of Transparent and Opaque Liquids (and Calculation of Dynamic Viscosity)

D482 Test Method for Ash from Petroleum Products

D524 Test Method for Ramsbottom Carbon Residue of Petroleum Products

D613 Test Method for Cetane Number of Diesel Fuel Oil

D664 Test Method for Acid Number of Petroleum Products by Potentiometric Titration

D1319 Test Method for Hydrocarbon Types in Liquid Petroleum Products by Fluorescent Indicator Adsorption

D1796 Test Method for Water and Sediment in Fuel Oils by the Centrifuge Method (Laboratory Procedure)

D2422 Classification of Industrial Fluid Lubricants by Viscosity System

D2425 Test Method for Hydrocarbon Types in Middle Distillates by Mass Spectrometry

D2500 Test Method for Cloud Point of Petroleum Products and Liquid Fuels

D2622 Test Method for Sulfur in Petroleum Products by Wavelength Dispersive X-ray Fluorescence Spectrometry

D4052 Test Method for Density, Relative Density, and API Gravity of Liquids by Digital Density Meter

D4175 Terminology Relating to Petroleum Products, Liquid Fuels, and Lubricants

D4294 Test Method for Sulfur in Petroleum and Petroleum Products by Energy Dispersive X-ray Fluorescence Spectrometry

D4485 Specification for Performance of Active API Service Category Engine Oils

D4863 Test Method for Determination of Lubricity of Two-Stroke-Cycle Gasoline Engine Lubricants (Withdrawn 2022)[4]

D5302 Test Method for Evaluation of Automotive Engine Oils for Inhibition of Deposit Formation and Wear in a Spark-Ignition Internal Combustion Engine Fueled with

[3] For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

[4] The last approved version of this historical standard is referenced on www.astm.org.

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Gasoline and Operated Under Low-Temperature, Light-Duty Conditions (Withdrawn 2003)[4]

D5844 Test Method for Evaluation of Automotive Engine Oils for Inhibition of Rusting (Sequence IID) (Withdrawn 2003)[4]

D5862 Test Method for Evaluation of Engine Oils in Two-Stroke Cycle Turbo-Supercharged 6V92TA Diesel Engine (Withdrawn 2009)[4]

D6202 Test Method for Automotive Engine Oils on the Fuel Economy of Passenger Cars and Light-Duty Trucks in the Sequence VIA Spark Ignition Engine (Withdrawn 2009)[4]

2.2 *SAE Standard:*[5]

SAE J304 Engine Oil Tests

2.3 *Military Standard:*[6]

MIL-PRF-2104 Lubricating Oil, Internal Combustion Engine, Combat/Tactical Service

2.4 *Other ASTM Document:*

ASTM Deposit Rating Manual 20 (formerly CRC Manual 20)[7]

3. Terminology

3.1 *Definitions:*

3.1.1 *calibrate, v*—to determine the indication or output of a measuring device with respect to that of a standard. **D4175**

3.1.2 *candidate oil, n*—an oil that is intended to have the performance characteristics necessary to satisfy a specification and is tested against that specification. **D5844**

3.1.3 *clogging, n*—the restriction of a flow path due to the accumulation of material along the flow path boundaries. **D5844**

3.1.4 *engine oil, n*—a liquid that reduces friction or wear, or both, between the moving parts within an engine; removes heat, particularly from the underside of pistons; and serves as a combustion gas sealant for the piston rings. **D5862**

3.1.4.1 *Discussion*—It may contain additives to enhance certain properties. Inhibition of engine rusting, deposit formation, valve train wear, oil oxidation, and foaming are examples.

3.1.5 *non-reference oil, n*—any oil other than a reference oil: such as a research formulation, commercial oil, or candidate oil. **D5844**

3.1.6 *purchaser, n—of an ASTM test*, a person or organization that pays for the conduct of an ASTM test method on a specified product. **D6202**

3.1.6.1 *Discussion*—The preferred term is *purchaser*. Deprecated terms that have been used are *client*, *requester*, *sponsor*, and *customer*.

3.1.7 *reference oil, n*—an oil of known performance characteristics, used as a basis for comparison **D5844**

3.1.7.1 *Discussion*—Reference oils are used to calibrate testing facilities, to compare the performance of other oils, or to evaluate other materials (such as seals) that interact with oils.

3.1.8 *scuff, scuffing, n—in lubrication*, damage caused by instantaneous localized welding between surfaces in relative motion which does not result in immobilization of the parts. **D4863**

3.1.9 *wear, n*—the loss of material from, or relocation of material on, a surface. **D5302**

3.1.9.1 *Discussion*—Wear generally occurs between two surfaces moving relative to each other and is the result of mechanical or chemical action or by a combination of mechanical and chemical actions.

3.2 *Definitions of Terms Specific to This Standard:*

3.2.1 *calibration test, n*—an engine test conducted on a reference oil under carefully prescribed conditions whose result is used to determine the suitability of the engine stand/laboratory to conduct such tests on non-reference oils.

3.2.1.1 *Discussion*—In this test method, it can also refer to tests conducted on parts to ensure their suitability for use in reference or non-reference tests.

3.2.2 *test, n*—any test time accumulated in accordance with this test method.

4. Summary of Test Method

4.1 Prior to each test run, the power section of the engine (excluding piston assembly) is completely disassembled, solvent-cleaned, measured, and rebuilt in strict accordance with furnished specifications. A new piston, piston ring assembly, and cylinder liner are installed each test. The engine crankcase is solvent-cleaned, and worn or defective parts are replaced. The test stand is equipped with appropriate accessories for controlling speed, fuel rate, and various engine operating conditions. A suitable system for supercharging the engine with humidified and heated air shall also be provided.

4.2 Test operation involves the control of the supercharged, single-cylinder diesel test engine for a total of 120 h at a fixed speed and fuel rate, using the test oil as a lubricant. A 1 h engine break-in precedes each test. At the conclusion of the test, the piston, rings, and cylinder liner are examined. Note the degree of cylinder liner and piston ring wear, the amount and nature of piston deposits present, and whether any rings are stuck.

5. Significance and Use

5.1 The test method is designed to relate to high-speed, supercharged diesel engine operation and, in particular, to the deposit control characteristics and antiwear properties of diesel crankcase lubricating oils.

5.2 The test method is useful for the evaluation of diesel engine oil quality and crankcase oil specification acceptance. This test method, along with others, defines the minimum performance level of the API categories CF and CF-2 (detailed information about passing limits for these categories is included in Specification D4485). It is also used in MIL-PRF-2104.

[5] Available from SAE International (SAE), 400 Commonwealth Dr., Warrendale, PA 15096, http://www.sae.org.

[6] Available from Standardization Documents Order Desk, Building 4, Section D, 700 Robbins Avenue, Philadelphia, PA 19111-5904, Attn: NPODS.

[7] For Stock #TMCMNL20, visit the ASTM website, www.astm.org, or contact ASTM International Customer Service at service@astm.org.

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5.3 The results are significant only when *all details* of the procedure are followed. The basic engine used in this test method has a precombustion chamber (as compared to direct injection) and is most useful in predicting performance of engines similarly equipped. This factor should be considered when extrapolating test results. It has been found useful in predicting results with high sulfur fuels (that is, greater than 0.5 % by mass) and with certain preemission controlled engines. It has also been found useful when correlated with deposit control in two-stroke cycle diesel engines.

6. Apparatus

6.1 *Test Engine*—A single-cylinder Caterpillar diesel oil test engine having a 2.2 L (134.1 in.^{3}) displacement is required. Bore and stroke are 13.0 cm (5.125 in.) and 16.5 cm (6.5 in.) respectively. The engine arrangement is shown in Fig. A1.1. The supply of test engines and parts is discussed in 6.22. The engine is equipped with the accessories or equipment listed in 6.2 through 6.24.

6.2 *Air Pressure*—Use a supercharging blower or other device arranged to control air pressure.

6.3 *Air Intake System*—Use the 1Y38 surge chamber and the air heater mechanism (see Annex A1) or its equivalent.

6.4 *Humidity*—Use a system to control humidity to the specified test conditions.

6.5 *Cooling System*—Use a closed, pressurized, circulating cooling system having an engine-driven centrifugal water pump.

6.6 *Speed/Load Controls*—Use a dynamometer or suitable loading device to control engine speed and measure load.

6.7 *Starting*—Use a suitable starting arrangement capable of 420 N·m (310 lbf·ft) breakaway and 373 N·m (275 lbf·ft) sustained torque at approximately 200 r/min.

6.8 *Exhaust System*—Use an exhaust system using piping and an exhaust barrel as specified in Annex A1. A restriction valve down stream of the barrel maintains the exhaust gases at a given back pressure as specified in the test conditions.

6.9 *Data Acquisition*—Configure all stands to acquire data automatically for speed, fuel flow, intake air pressure, intake air temperature, coolant temperature, oil-to-bearing temperature, and oil-to-jet pressure (as a minimum) with closed loop control on speed, intake air temperature, coolant temperature, and oil-to-bearing temperature (as a minimum).

6.10 *Cylinder Head and Cylinder Assemblies*—Only cylinder head and cylinder assemblies that have previously passed a calibration test are acceptable for non-reference testing.

6.11 *Piston Cooling Nozzle:*

6.11.1 *Oil Jet Pressure Measurement*—The following is required to allow for measurement of the piston cooling nozzle pressure:

6.11.1.1 Replace the 3B9407 fitting with a ¼ in. tee fitting, and reconnect the 1Y6 oil line.

6.11.1.2 Modify the 1Y8199 oil pan to provide access for the pressure pickup.

6.11.1.3 Use oil pressure gauge 8M2743, or equivalent.

6.11.1.4 Only piston cooling jets that have been flow-checked by the specified industry standard are approved for use. See footnote 11 for supplier. Fig. A1.2 shows the suggested modification of the 1Y8199 oil pan and necessary hardware for the cooling nozzle pressure pickup. All test engines with serial numbers greater than 2511252 will be provided with the pressure pickup modification.

6.11.2 *Piston Cooling Jet Supplier*—To improve precision, Perkin Elmer Automotive Research and Southwest Research Institute (SWRI) have agreed to provide flow-checked 1M-PC P-tubes to the industry. Perkin Elmer Automotive Research will flow and serialize the units and determine if they are within specification and will maintain records, while SWRI will coordinate the redistribution. Send P-tubes to be inspected to Perkin Elmer Automotive Research.[8]

6.11.2.1 The P-tubes will be flowed, using EF-411 oil at 37.8 °C ± 0.6 °C (100 °F ± 1 °F) and 165.5 kPa ± 0.5 kPa (24 psi ± 0.5 psi) as measured at the location shown in Fig. A1.2. The acceptable flow range is 1.89 L/min to 2.27 L/min (0.50 gal/min to 0.60 gal/min).

6.11.2.2 To maintain impartiality in selecting P-tubes, only acceptable assemblies will be forwarded to SWRI as unmarked units. These units will be randomly selected for redistribution. In cases in which the only units available are from a single order, only those units will be returned. Assemblies that fall outside of the specifications will not be returned. Instead, Perkin Elmer Automotive Research will generate a nonconformance report with an additional copy to be sent to the laboratory that supplied the P-tube. The failed units will be returned to Caterpillar for credit. Perkin Elmer Automotive Research will indicate on the nonconformance report that the appropriate credit be issued to the originating laboratory. Additional piston cooling assemblies will need to be supplied by the requesting laboratory and submitted to Perkin Elmer Automotive Research.

6.11.2.3 Perkin Elmer Automotive Research will enclose a statement with each unit inspected, disclaiming any liability for subsequent performance of the part. No attempt will be made to ensure that the tubing is properly configured or that any other physical property makes it suitable for use. Units damaged during shipment will not be tested, unless specifically requested. Include a packing list and separate purchase orders to Perkin Elmer Automotive Research and SWRI[9] with each shipment. Please specify a name and address where the parts are to be returned.

6.12 *Engine Oil Level Gauge*—Lower the bayonet gauge housing 5 cm (2.0 in.) to provide for more accurate oil level readings. Parts required for this modification are shown in Fig. A1.3.

6.13 *Crankcase Pressure Control Valve*—Install a pressure control valve (1Y479) at the crankcase breather outlet to stabilize crankcase pressure. Installation is shown in Fig. A1.4.

[8] Send P-tubes to be inspected to Perkin Elmer Automotive Research, 5404 Bandera Road, San Antonio, TX 78238.

[9] Southwest Research Institute, 6220 Culebra Road, P.O. Drawer 28510, San Antonio, TX 78228-0510.

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6.14 *Oil Cooler Inlet Temperature*—Record the temperature of the oil cooler inlet by installing a thermocouple in the pipe-tapped hole provided on the rear side of the oil-cooler cover adjacent to the oil inlet port. Care should be taken to provide sufficient thermocouple insertion depth to provide a mid-stream oil temperature.

6.15 *Engine Oil System*—Use the *last chance* screen 1Y3549. Modify the oil pump as shown in Fig. A1.10. Add the external oil pump bypass line for safety and convenience factors to adjust oil pressure on engine break-in and warm-up.

6.16 *Cooling System*—Replace the 7.6 cm (3 in.) standard cooling tower with the 12.7 cm (5 in.) pressurized cooling tower as shown in Fig. A1.6. Modify the cooling system to accommodate the pressurized cooling tower, bypass flow control and flow meter as shown in Fig. A1.7 and Fig. A1.8. Use a Barco Venturi Meter #BR 12705-16-31.[10,11] Use brass or stainless steel pipe that has chamfered ends (45°) into and out of the venturi meter [15.2 cm (6 in.) minimum into and 5.1 cm (2 in.) minimum out]. Orient the high pressure tap (the first seen by the flow) horizontally.

6.17 *Fuel System*—Use a standardized engine fuel system to ensure that fuel-line pressure transients are held to acceptable values and to minimize cranking times. Use a Micro Motion flow meter[12,11] having a range no greater than 0 kg/h to 90.7 kg/h (0 lb/h to 200 lb/h) to measure fuel flow rate.

6.17.1 The line lengths, line sizes, and fuel system components are shown in Fig. A1.5. Use this system without modification, with the possible exception that the fuel shut-off solenoid[13,11] is eliminated if the line length from the engine-mounted filter to the injector pump is standardized at 107 cm ± 1 cm (42.25 in. ± 0.5 in.). Also, an external fuel pump may be used in place of the engine-mounted fuel pump. Control the fuel rate with either manual or automated fuel rack manipulation.

6.18 *Intake Air System*—Install a dry element oil and particle filter between the air supply source and each engine to be run. Use an air filter capable of 10 µm (or smaller) filtration. (Oil bath filters are not acceptable in this location.) Make air filter replacements as required to minimize pressure losses and with sufficient frequency to maintain the air heater barrel as free as possible form oil and dust particles. The 1Y38 surge chamber and air heater assembly required is shown in Annex A1.

6.18.1 Suitable equipment is required to maintain the specified moisture content, temperature, and pressure of the inlet air to the cylinder head. The accuracy of the humidification system is to be within ±0.648 g (±10 grains) of the humidity-measuring, chilled-mirror dew point hydrometer (see 9.6.2).

6.19 *Exhaust System*—Uniformity in exhaust system pressure patterns within a laboratory and from laboratory-to-laboratory is required to minimize a major test variable. The dimensions and distance of the exhaust piping from the exhaust elbow to the barrel, as well as the volume of the exhaust barrel, are specified in Figs. A1.30-A1.34. Note the exhaust barrel may be insulated or water cooled. The downstream distance of the restriction valve from the exhaust barrel is not specified.

6.19.1 Set the exhaust pressure at specified conditions as given in Table 1 by varying the restriction valve. Measure the pressure in the exhaust barrel as shown in Fig. A1.31. The location of the 1Y467 or equivalent exhaust thermocouple is shown in Fig. A1.30.

6.20 *Blowby Meter,* a displacement type gas meter or equivalent fitted with an oil separator and surge chamber. A fitting on the crankcase breather (see Fig. A1.4) permits attachment of the meter to the engine by using appropriate lengths of hose or pipe, or both, suitable to the laboratory's needs.

[10] Available from J. P. Bushnell, 3436 Lindell Blvd., St. Louis, MO.

[11] The sole source of supply of the apparatus known to the committee at this time is noted in the adjoining footnote. If you are aware of alternative suppliers, please provide this information to ASTM Headquarters. Your comments will receive careful consideration at a meeting of the responsible technical committee,[1] which you may attend.

[12] Available from Micro Motion, Inc., 7070 Winchester Circle, Boulder, CO 80301.

[13] Available from Asco, Florham Park, New Jersey 07932.

TABLE 1 1M-PC Operating Conditions[A,B]

Speed, r/min	1800 ± 10
Fuel flow, kg/h (lb/h)	8.13 ± 0.07 (17.92 ± 0.15)
Temperature, water from cylinder head,° C (°F)	87.8 ± 2.8 (190 ± 5)
Flow rate, engine coolant, L/min (gal/min)	57.9 ± 3.8 (15.3 ± 1.0)
Temperature, oil to bearings, °C (°F)	96.1 ± 2.8 (205± 5)
Temperature, inlet air to engine, °C (°F)	123.9 ± 2.8 (255± 5)
Temperature, exhaust, °C (°F)	573 ± 28 (1063 ± 50)
Pressure, fuel to injection pump, kPa (psi)	137.9 ± 13.8 (20 ± 2)
Pressure, exhaust, kPa (in. Hg Abs.)	106.7 ± 1.7 (31.5 ± 0.05)
Pressure, oil at jet cooling nozzle, kPa (psi)[C]	165.5 ± 13.8 (24± 2)
Pressure, oil to bearings maximum, kPa (psi)[C]	220.6 (32)
Pressure, air to engine, kPa (in. Hg Abs.)	179.0 ± 1.0 (53 ± 0.3)
Vacuum, crankcase, kPa (in. H_2O)	0.25 ± 0.12 (1.0± 0.5)
Humidity, air to engine, g/kg of dry air (grains/lb)	17.8 ± 1.7 (125 ± 12)
Flow rate, engine air, approximate m^3/min (ft^3/min) at 15.6 °C (60 °F), 101.3 kPa Abs. (14.7 psi Abs.)	0.2 (94)

[A] Count test time from the moment the conditions in this table are obtained (30 min maximum are allowed for stabilization).

[B] Only speed and fuel flow are controlled. Load is used as a verification of engine build and operation.

[C] Oil pressure operating specifications apply only to 15W-40 oils. Attempt to maintain these limits for all oils. When oils other than 15W-40 oils fall outside these limits, explain these deviations from the limits in the comments section of the test report.

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6.21 *Thermocouples*—Specified thermocouples (or equivalents) are required for obtaining temperatures at the following locations: air-to-engine (1Y468), exhaust temperature (1Y467), and water inlet, water outlet, oil-to-bearings (1Y466).

6.21.1 Install thermocouples 1Y468, 1Y467, and 1Y466 only at the temperature-sensing locations provided with the 1Y73 engine arrangement. Locate the immersion depth for water inlet, water outlet, and oil-to-bearing temperature sensors so that the tip of the sensor is midstream of the fluid measured. Immersion depth for the air and exhaust temperature sensors are measured as follows (variation from these dimensions is not permitted):

6.21.1.1 Air temperature sensor depth: 27 mm ± 2 mm (1 1/16 in. ± 1/16 in.)

6.21.1.2 Exhaust temperature sensor depth: 65 mm ± 2 mm (2 9/16 in. ± 1/16 in.)

6.22 *Parts:*

6.22.1 *Procurement of Parts*—Information concerning procurement of Caterpillar test engines and replacement parts and approval of equivalent parts substitutions allowed in this test method is obtained by contacting Caterpillar Inc.[14,11] Other parts and their sources referred to throughout the procedure are found in the footnotes. Use all Caterpillar parts on a first-in-first-out basis.

6.22.2 All parts for the 1Y73 engine and the 1Y73 Conversion Kit that are nonconforming by reason of faulty manufacture should be discussed with the Engine System Technology Department (ESTD) at Caterpillar Inc.[14,11]

6.22.2.1 The test labs should contact ESTD when they believe a part is nonconforming:

6.22.2.2 ESTD will determine if they want the part returned, or provide warranty without viewing the part.

6.22.2.3 If ESTD determines that the part is nonconforming without viewing the part, the test labs will be asked to return the part to their Caterpillar dealer. ESTD will contact the dealer with the information that the part is being returned and provide warranty for it.

6.22.2.4 If ESTD wants to view the part, they will issue a Return Goods Authorization Number (RGA) to the test lab and send the part and the form to Caterpillar Inc.[15,11]

6.22.2.5 If ESTD determines that the part is nonconforming, they will contact the dealer for the test lab and have the dealer provide warranty.

6.22.2.6 A sample of the RGA Claim Form is shown in Fig. 1. It should include return goods authorization number, part name, hours on the part, part number, quantity, purchase order number, date purchased, test lab that purchased the part, contact person's name, phone, fax, and address, dealer's name that sold the part, and measurements or photographs, or both, to document the nonconformance.

6.23 *Instrumentation,* capable of meeting (or exceeding) the calibration tolerances, measuring resolutions, and maximum *system* time constants shown in Tables 2-4.

6.24 *Crankcase Paint*—Inspect crankcases regularly to ensure proper paint coating. Coat crankcases as necessary, using either of two approved coatings.[16,11]

7. Reagents and Materials

7.1 *Fuel*—The specified test fuel is Haltermann Products 0.4 % Sulfur Diesel Test Fuel.[17,11] All fuel shall meet the fuel specifications as shown in Annex A3 and shall be referenced through the ASTM TMC. Approximately 1137 L (300 gal) are required for each test. Include the fuel analysis for the last batch used for the test in the final report. The fuel supplier provides the analysis. If more than one batch is used, note this is in the comments section of the Unscheduled Downtime & Maintenance Summary form of the test report with the appropriate percentages of run time.

7.2 *Test Oil*—Approximately 30 L to 34 L (8 gal to 9 gal) of test oil are required for each test.

7.3 *Engine Coolant,* a mixture of 118 mL (4 fluid oz) Part Number 3P2044 coolant additive (Pencool 2000[18,11]) per 4 L (1 gal) of mineral-free water. Mineral-free water is defined as having a mineral content no higher than 34.2 ppm (2 grains/gal) total dissolved solids. A fresh coolant mixture is used for each new test.

7.4 *Cleaning Materials:*

7.4.1 *Solvent*—Use only mineral spirits meeting the requirements of Specification D235, Type II, Class C for Aromatic Content (0 % to 2 %) by vol, Flash Point (142 °F/61 °C, min) and Color (not darker than +25 on Saybolt Scale or 25 on Pt-Co Scale). (**Warning—**Combustible. Health hazard.) Obtain a Certificate of Analysis for each batch of solvent from the supplier.

7.4.2 *Dispersant Engine Cleaner*—Use Dispersant Engine Cleaner[19,11] (order by this name) in solution with mineral spirits where called for in the engine flush procedure.

7.4.3 *General Cleaning Agents*—Use sodium bi-sulfate (Na_2SO_4) and tri-sodium phosphate (Na_3PO_4) in solution with water in the cooling system flush procedure. (**Warning—**Eye and throat irritants; repeated exposure can cause dermatitis. Wear protective gloves, face mask, or chemical type goggles.)

8. Safety

8.1 The operating of engine tests can expose personnel and facilities to a number of safety hazards. It is recommended that only personnel who are thoroughly trained and experienced in engine testing should undertake the design, installation and operation of engine test stands. Each laboratory conducting engine tests should have its test installation inspected and

[14] Caterpillar Inc., Engine System Technology Department, P.O. Box 610, Mossville, IL 61552.

[15] Caterpillar Inc., Tech Center TC-L, Wing 4, Room 405, 14009 Old Galena Rd., Mossville, IL 61552.

[16] Crankcase paint in one gallon cans as Yellow Primer Paint Cat Part #IE2083A, Primer #A123590, Serial #BIM0115877, B.A.S.F. Part #U27TD005 is available from B.A.S.F. Coating and Cocorant Division, P.O. Box 1297, Morganton, NC 28655; and as Glyptal 1201 Red Enamel, Brownell Outlet, 84 Executive Avenue, Edison, NJ 08817.

[17] Available from Howell Hydrocarbons and Chemicals, Inc., 1201 South Sheldon Road, P.O. Box 429, Channel View, TX 77530.

[18] Available directly from Nalco, 4639 Corona Drive, Suite 61, Corpus Christi, TX 78441.

[19] Available from The Lubrizol Corporation, 29400 Lakeland Blvd., Cleveland, OH 44092.

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RETURN GOODS AUTHORIZATION CLAIM FORM

RETURN GOODS AUTHORIZATION CLAIM FORM

Return Goods Authorization Number: _____.

Claim Date: _____.

Contact: Caterpillar Inc
Engine System Tech Dev.
P.O. Box 610
Mossville, II 61552
Phone: 309-578-2131
Fax: 309-578-6457
Attn: R.A. Riviere

Part Number / Quantity: _____/_____.

Part Name / Hrs On Part: _____/_____.

Date Part Purchased: _____.

Engine Serial Number: _____.

Test Lab

Name: _____.

Address: _____.

Contact Person's Name: _____.

Phone Number: _____.

Fax Number: _____.

Name of Dealer That Sold Part: _____.

INCLUDE DOCUMENTATION AND PHOTOS OF NONCONFORMING PART

FIG. 1 Return Goods Authorization Claim Form

approved by its safety department. Provide personnel working on the engines with the proper tools, be alert to common sense safety practices, and avoid contact with moving or hot engine parts. Guards should be installed around all external moving or hot parts. When engines are operating at high speeds, heavy duty guards are required and personnel should be cautioned against working alongside the engine and coupling shaft. Provide barrier protection for personnel. All fuel, oil lines, and electrical wiring should be properly routed, guarded, and kept in good order. Scraped knuckles, minor burns, and cuts are

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TABLE 2 Calibration Tolerances

Parameter	Tolerance	
Speed, r/min	2	
Load	NA due to differences within industry. TMC to verify each lab during visits.	
Fuel flow	Absolute error ≤ 0.125 %	
Humidity	NA. Already specified. Checked during running conditions as outlined in the test procedure (see form attached)	
Temperatures	**°C**	**°F**
Coolant out	0.25	0.5
Coolant in	0.25	0.5
Oil to bearing	0.5	1.0
Intake air	0.5	1.0
Exhaust	1.0	2.0
Pressures		
Oil to bearing, psig	0.7 kPa	0.1
Oil to jet, psig	0.7 kPa	0.1
Inlet air, in Hg	0.3 kPa	0.1
Exhaust, in Hg	0.3 kPa	0.1
Fuel at filter housing., psig	0.7 kPa	0.1
Crankcase vacuum, in H_2O	0.02 kPa	0.1

common if proper safety precautions are not taken. Safety masks or glasses should always be worn by personnel working on the engines, and no loose or flowing clothing should be worn near running engines.

8.2 Keep the external parts on the engine and the floor area around the engines clean and free of oil and fuel spills. In addition, keep working areas free of all tripping hazards. In case of injury, no matter how slight, first aid attention should be applied at once and the incident reported. Personnel should be alert for leaking fuel or exhaust gas. Leaking fuel represents a fire hazard, and exhaust gas fumes are noxious. Containers of oil or fuel cannot be permitted to accumulate in the testing area.

8.3 Equip the test installation with a fuel shut-off valve designed to automatically cut off the fuel supply to the engine when the engine is not running. A remote station for cutting off fuel from the test stand is recommended. Provide suitable interlocks so that engine is automatically shut down when any of the following events occur: engine or dynamometer loses field current, engine overspeeds, exhaust system fails, room ventilation fails, or the fire protection system is activated. Consider an excessive vibration pickup interlock if equipment is operated unattended. Provide fixed fire protection equipment, and make dry chemical fire extinguishers available at the test stands. (**Warning—**Many ASTM tests use chemicals to flush engines between tests. Some of these chemicals require that personnel wear face masks, dust breathers, and gloves because exothermic reactions are possible. Provide emergency showers and face rinse facilities when handling materials.)

9. Preparation of Apparatus

9.1 *Supplementary Service Information:*

9.1.1 *Caterpillar Service Manual*—Engine service information not found in this test method may be obtained by referring to the Caterpillar Single Cylinder Oil Test Engine Service Manual (Form No. SENR2074) and parts manual SEBP1299.[14,11]

9.1.2 *Pretest Maintenance Check List and Continuing Engine Inspection*—A recommended list of items that are checked or replaced at the intervals specified is shown in Table 5.

9.2 *General Engine Inspection:*

9.2.1 Perform a complete engine inspection every 10 000 test hours. This inspection is done to ensure that wearing surfaces, such as main bearings and journals, rod bearings and journals, camshaft bearings, and so forth, are within manufacturer's specifications. This inspection will terminate the current test stand calibration (if any). Recalibration is required any time the crank is removed for any purpose other than bearing replacement.

9.2.2 Maintain a complete record of all engine maintenance and measurements. Retain a description of inspection methods along with the maintenance records for review when requested.

9.3 *Intake Air System*—Prior to each stand calibration test, inspect the intake air barrel for rust and debris. This may be done through either of the pipe flanges, using a borescope or some other optical means. Remove any foreign material.

9.4 *Cooling System:*

9.4.1 Whenever visual inspection indicates the need, remove all mineral deposits and oil from the cooling system. Make the initial coolant charge at the start of the test with distilled or de-ionized water and a rust inhibitor (Penncol 2000) (see 7.3). The cooling system shall remain full during all shutdowns that do not require the cooling system to be drained.

9.4.2 Make any make-up coolant additions throughout the test with the same treated water solution. Monitor the cooling system visually at the glass or plastic tube in the 1Y504 water outlet line assembly. At any indication of vapor formation, the coolant will have a clouded appearance. Should this occur during a test, shutdown the engine and check for air leakage on the suction side of the water pump or combustion gas leakage in the cylinder head. No air is permitted in the system.

9.5 *Engine Cooling System Cleaning*—Clean the cooling system when visual inspection shows the presence of oil or grease, mineral deposits, or rust. Heads may be cleaned when either on or off the engine. Use the following procedure:

9.5.1 Operate the engine long enough to reach oil and water operating temperatures; drain the cooling system.

9.5.2 Fill the cooling system with a solution of 450 g (1 lb) commercial sodium bisulfate (Na_2SO_4) to 19 L (5 gal) of water; then run the engine at operating temperature for ½ h.

9.5.3 Drain and flush the engine with fresh water, and drain the water from the system.

9.5.4 Fill the cooling system with a solution of 450 g (1 lb) of tri-sodium phosphate (Na_3PO_4) to 38 L (10 gal) of water; operate the engine for 5 min to ensure complete mixing of the Na_3PO_4 solution with any material left from the previous flush.

9.5.5 Drain the engine, flush with clear water, and drain after flushing.

9.5.6 Disassemble the engine, and prepare for the next test.

NOTE 2—If the purpose of the system cleaning is to descale only, 9.5.4 and 9.5.5 can be omitted.

9.6 *Instrumentation Calibration Requirements:*

9.6.1 *General Requirements:*

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TABLE 3 Operational Specifications, Measurement Resolution, and Reporting Resolution

	SI Specification				US Customary System (USCS) Specification			
Parameter	**Units**	**Spec**	**Minimum Measurement Regulation**	**Round Values to the Nearest**	**Units**	**Spec**	**Minimum Measurement Resolution**	**Round Values to the Nearest**
Speed	r/min	1800 ± 10	1	Whole number	r/min	1800 ± 10	1	Whole number
Power	kW	31.3			bhp	42		
BMEP	kPa	951			psig	138		
Fuel rate	kJ/min	6172 ± 53			Btu/min	5850 ± 50		
Fuel flow[A]	kg/h	8.13 ± 0.07	0.01	Hundredth	lb/h	17.92 ± 0.15	0.01	Hundredth
BSFC	kg/kWh	0.260			lb/bhp.h	0.427		
Humidity	g/kg	17.8 ± 1.7	0.1	Tenth	grains/lb	125 ± 12	1	Whole number
Oil weight	g	N/A	1	Whole number	lb	N/A	0.01	Hundredth
Temperatures								
Coolant out	°C	87.8 ± 2.8	0.1	Tenth	°F	190 ± 5	0.1	Tenth
Coolant in	°C	82.8	0.1	Tenth	°F	181	0.1	Tenth
Coolant Δ	°C	5 ± 1.0	0.1	Tenth	°F	9 ± 2	0.1	Tenth
Oil to bearing	°C	96.1 ± 2.8	0.1	Tenth	°F	205 ± 5	0.1	Tenth
Inlet air	°C	123.9 ± 2.8	0.1	Tenth	°F	255 ± 5	0.1	Tenth
Exhaust	°C	573 ± 28	1	Whole number	°F	1063 ± 50	1	Whole number
Pressures								
Oil to bearing[B]	kPa	220.6 Max			psig	32 max		
Oil to jet[B]	kPa	165.5 ± 13.8	0.1	Tenth	psig	24 ± 2	0.1	Tenth
Inlet air (ABS)	kPa	179 ± 1	0.1	Tenth	in. Hg	53.0 ± 0.3	0.1	Tenth
Exhaust (ABS)	kPa	106.7 ± 1.7	0.1	Tenth	in. Hg	31.5 ± 0.5	0.1	Tenth
Fuel at filter housing.	kPa	137.9 ± 13.8	0.1	Tenth	psig	20 ± 2	0.1	Tenth
Crankcase vac	kPa	0.25 ± 0.12	0.01	Hundredth	in. H_2O	1 ± 0.5	0.1	Tenth
Flows								
Coolant flow	L/min	57.9 ± 3.8	0.1	Tenth	gal/min	15.3 ± 1.0	0.1	Tenth

[A] Fuel flow spec is based on the high heating value of 19.590 Btu/lb at an A.P.I. gravity of 35. Fuel spec is 33 to 35 A.P.I. gravity.
[B] Oil pressure operating specifications apply only to 15W-40 oils. Attempt to maintain these limits for all oils. When oils other than 15W-40 oils fall outside these limits, explain these deviations from the limits in the comments section of the test report.

TABLE 4 Maximum Allowable System Time Constants

Measurements	
Speed	3.0 s
Fuel Flow	73.0 s
Temperatures	
Coolant Out	3.0 s
Coolant In	3.0 s
Oil to Bearings	3.0 s
Intake air	3.0 s
Exhaust	3.0 s
Pressures	
Oil to Bearings	3.0 s
Oil to Jet	3.0 s
Intake Air	3.0 s
Exhaust	3.0 s
Fuel at Filter	3.0 s
Crankcase Vac.	3.0 s

9.6.1.1 Calibrate all facility read-out instrumentation used for the test immediately prior to commencing a test stand calibration. Instrumentation calibrations prior to subsequent stand calibration tests (that is, those that follow a failed or invalid first attempt) are at the discretion of the test laboratory. Make these calibrations part of the laboratory record (refer to Tables 2-4 for specifications).

9.6.1.2 Calibrate on a yearly basis all temperature, pressure, flow, and speed measurement standards with instruments traceable to a national bureau of standards (for example, the National Bureau of Standards and Technology or its successor agency for labs operating in the United States). Maintain records of all calibrations for a minimum of two years.

9.6.2 *Specific Humidity Requirements:*

9.6.2.1 Calibrate the primary laboratory humidity measurement system during the first 24 h of each individual stand calibration test using a chilled-mirror dew point hygrometer with an accuracy of at least ±0.55 °C at 24 °C (±1 °F at 75 °F) dew point. The calibration consists of a series of *paired* comparison measurements between the primary system and the chilled-mirror dew point hygrometer. The comparison period lasts from 20 min to 2 h with measurements taken at 1 min to 6 min intervals, for a total of 20 paired measurements. The measurement interval should be appropriate for the time constant of the humidity measuring instruments.

9.6.2.2 The location of the hygrometer tap is shown in Fig. A1.28. The sample line may require insulation to prevent dropping below dew point temperature and shall not be hygroscopic. The flow rate shall be verified to be within the equipment manufacturer's specification.

9.6.2.3 All measurements taken with the dew point hygrometer are at atmospheric pressure and corrected to standard conditions (101.12 kPa [29.92 in. Hg]) using the perfect gas law or Table X1.1 to Table X1.9 in Appendix X1. Compute the difference between each pair of measurements and use to form a mean and standard deviation. The absolute value of the mean difference shall not exceed 0.648 g (10 grains), and the standard deviation shall be less than or equal to 0.324 g (5 grains). Both of these requirements shall be met for the primary humidity measurement system to be considered calibrated. If either of these requirements cannot be met, the laboratory should investigate the cause, make repairs, and recalibrate. Maintain the calibration data for two years.

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TABLE 5 Pretest Maintenance Check List[A] and Continuing Engine[B] Inspection

Item to be checked	Remarks
Fuel injection pump adjusting screw (2F8337)	Inspect before each test. Replace as necessary.
Fuel injection pump	Check pump plunger sector gear for tooth wear—general condition of pump (visual); replace pump as necessary.
Fuel injection valve	Install new before each test. Inspect fuel injection line orifice (both ends) for correct diameter, 1.57 mm (0.062 in.) minimum.
Fuel injection timing	3.81 mm ± 0.127 mm (0.150 in. ± 0.005 in.) lift, BPC at 8° BTC.
Injection pump inlet seal (2M4453)	Install new before each test.
Filter-fuel system	Install when fuel pressure cannot be held within test limits.
Cylinder head	New (calibration test only) or reconditioned head for each test. Measure and record valve head and stem projection. Measure prechamber orifice diameter (7.59 mm to 7.64 mm [0.299 in. to 0.301 in.]).
Cylinder head gasket (1Y7960)	Install new before each test.
Piston cooling jet	Inspect for plugging and proper positioning before each test; use aiming guide. Verify piston-to-cooling jet clearance.
Water pump and fuel transfer pump belts	Inspect and adjust as necessary. (Measure deflection at point midway between pulleys - water and fuel.) Belt deflection: 19.05 mm (0.75 in.); Force: 111 N (25 lb)
Fuel pressure	138 kPa (20 psi)
Water flow	58.0 L/min (15.3 gal/min)
Crankcase stud seal (1Y2310)	Inspect and replace as required. Install with taper down.
Valve tappets	Zero lash + ½ turn hydraulic lash adjusters.[C]
Piston pin	Clearance in rod pin bushing—0.051 mm (0.002 in.) maximum
Fuel pump rack and rack control rod, gov. button, lever, and sliding sleeve	Check rack for tooth wear—rack control rod for worn ball and socket joints and loose nuts and washers. Gov. button and lever for wear and free movement, sliding sleeve for free movement, bearing condition, and gov. wt. contact and wear.
Valve rotators	Inspect for proper operation at start of test and end of test.
Leaks	Repair immediately upon detection, particularly fuel, oil, air, exhaust, and coolant.

[A] This check list is made to cover the maintenance to be performed before and during each test. Included are those parts, in addition to the piston rings and liner, to be installed new at the beginning of each test. Replace all gaskets that are disturbed during such disassembly and assembly that takes place between tests or at intermediate inspections. Carefully inspect seals before their reuse.
[B] ENGINE: 1Y73 130 mm (5.125 in.) bore, 165 mm (6.5 in.) stroke.
[C] Leakdown time 8 s to 45 s for 3.175 mm (0.125 in.) plunger travel under a 22.68 kg (50 lb) load and filled with kerosene having a viscosity of 35 sus at 21.1 °C (70 °F).

9.6.2.4 *Recommended Practice*—Install drain taps at the low points of the combustion air system and keep open during shut-down and warm-up.

9.6.3 *Specific Coolant Flow Requirements:*

9.6.3.1 As a calibration standard, each test lab is required to maintain at least one Barco venturi flow meter configured as shown in Fig. A1.8 and described in 6.16. On a yearly basis, calibrate this Barco (with its inlet and outlet piping) with an instrument traceable to a national bureau of standards (for example, the National Bureau of Standards and Technology or its successor agency for labs operating in the United States). The inlet and outlet piping shall remain with this Barco assembly.

9.6.3.2 During the break-in prior to each calibration test, place this calibrated Barco assembly in the standard mounting position. Adjust the coolant flow bypass valve until the readout equipment being used registers the differential pressure that corresponds to 57.9 L/min (15.3 gal/min) for this calibrated Barco assembly.

9.6.3.3 After break-in, replace the calibrated Barco assembly with the stand's running Barco assembly. *Do not re-adjust the coolant flow bypass valve*. Maintain whatever differential pressure is registered with the stand Barco at this point throughout the duration of the test. Test all non-reference oils with this stand Barco assembly run at this differential pressure. If desired, adjust any readout equipment to make this differential pressure correspond to 57.9 L/min (15.3 gal/min).

9.7 *Engine Crankcase Cleaning*—Flush the engine prior to each new test. The objective is to remove all deposits from all surfaces of all engine cavities prior to each test. In some instances, extra cleaning may be required. A finger-wiping check may be made on less accessible engine surfaces from time-to-time to determine if the engine is clean.

9.8 *Additional Oil Filter*—Install a full-flow paper element oil filter in the flushing pump unit to remove engine wear particles during engine flush. Such particles have been known to cause piston scuffing during subsequent testing.[20,11]

9.9 *Flushing Procedure Components*—Conduct the engine flushing procedure with the components shown in Fig. A1.12 through Fig. A1.19 (the design for mobilizing the flushing pump arrangement, Fig. A1.13, is optional). Fig. A1.17 (Views A and B) illustrates the use of the flushing components.

9.10 *Flushing Procedures*—Use the following flushing procedure:

9.10.1 Rotate the crankshaft until the top end of the connecting rod is below the cylinder block bore in the top of the crankcase. Install the poly(methyl methacrylate) (PMMA) or clear plastic cover (Fig. A1.12 on the top surface of the crankcase, as shown in Fig. A1.17 (View A).

9.10.2 *For First Stage Flushing with Mineral Spirits:*[21,11]

9.10.2.1 Install a clean 1Y5700 element in both the engine and flushing pump oil filter housings.

[20] TEI CLR engine oil filter housing #2418 and filter element #3105 have been found satisfactory for this use. Available from Test Engineering, Inc., 12758 Cimarron Path, Suite 102, San Antonio, TX 78429.

[21] Available from UNOCAL Chemicals Division, 7010 Mykawa Street, Houston, TX 77033.

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9.10.2.2 Connect the flushing pump (Fig. A1.13) outlet hose to the engine oil cooler drain location.

9.10.2.3 Remove breather assembly 1Y2592 (top portion of side cover assembly) and clean separately by soaking in mineral spirits. Air-dry.

9.10.2.4 Insert the 1Y5 rocker shaft oil line in the center opening of the clear plastic cover (see Fig. A1.12).

9.10.2.5 Place the flushing pump inlet line in a clean supply tank (sample location illustrated in Fig. A1.13) containing 7.6 L (2 gal) of mineral spirits. Open the crankcase drain, start the flushing pump, and run this flush material through the engine into a drain pan one time. *Do not recirculate.*

9.10.2.6 Close the crankcase drain and connect the flushing pump inlet line to the crankcase drain.

9.10.3 *For Second Stage Flushing and Recirculating with Cleaning Mixture*—Mix 1.9 L (½ gal) of dispersant engine cleaner (see Footnote 19) with 5.7 L (1 ½ gal) of mineral spirits to obtain 7.6 L (2 gal) of flushing solution. Add this mixture to the crankcase.

9.10.3.1 Connect the flushing pump outlet line to the engine oil cooler drain location. Open the crankcase drain valve, start the flushing pump, and circulate the flushing solution through the engine for approximately 15 min. Turn off the pump. (Do not drain the flushing solution from the crankcase.)

9.10.3.2 Close the oil cooler drain valve, disconnect the flushing pump outlet hose from the oil cooler drain location, and connect to the crankcase sprayer (Fig. A1.14).

9.10.3.3 Remove the 1Y5 oil line from the cover hole, insert the crankcase sprayer through the opening in the PMMA cover. Start the flushing pump, and spray the interior of the crankcase by slowly moving the sprayer around and into all accessible areas of the crankcase (see Fig. A1.17, View A) for approximately 10 min. Turn off the pump. (Do not drain the flushing solution from the crankcase).

9.10.3.4 Remove the ½ in. pipe plug from the modified 1Y1990 governor housing cover (see Fig. A1.15). Insert the crankcase sprayer (Fig. A1.14) through the opening in the governor housing cover, start the pump, and spray the interior of the governor housing for approximately 10 min. Turn off the pump. (Do not drain the flushing solution from the crankcase.)

9.10.3.5 Remove the oil filler spout assembly from the front of the crankcase, and install the front cover sprayer (see Fig. A1.16) as shown in Fig. A1.17.

9.10.3.6 Connect the flushing pump outlet to a 64 mm × 13 cm (½ in. × 5 in.) pipe on the front cover sprayer (see Fig. A1.16). Start the flushing pump, and spray the interior of the front cover for approximately 10 min. Drain the crankcase, governor, oil filter, and oil cooler; and discard the flushing solution.

9.10.4 *Using Mineral Spirits*—Repeat 9.10.2.4 through 9.10.2.6 until the mineral spirits discharge is clean. (Three to four flushes with mineral spirits are usually sufficient to remove all traces of the flushing solution from the engine.) Drain the mineral spirits from the crankcase, governor housing, oil filter, and oil cooler.

9.10.5 *Test Oil Flushing*—When engine is to be used immediately:

9.10.5.1 Prepare for the flush with the test oil by blocking off the 1Y5 oil line to the rocker arm shaft and installing the 6.4 mm (¼ in.) fitting (see Fig. A1.18) on the open end of the line. Close all drain openings.

9.10.5.2 Using the flushing pump, add 4.7 L (5 qt) of test oil to the engine crankcase through the engine oil cooler.

9.10.5.3 Connect the flushing pump outlet to the engine oil cooler drain location. Start the flushing pump, and force any mineral spirits in the system out the crankcase drain. After the mineral spirits have been forced out of the system, connect the inlet line of the flushing pump to the crankcase drain. Install the dummy piston (reference service manual SENR2074[14,11]) and the assembled cylinder liner and block assembly or the alignment fixture specified in Fig. A1.19. Re-install the oil filler spout and pipe plug in the modified governor housing cover (see Fig. A1.15).

9.10.5.4 Open the crankcase drain and start the flushing pump. Set and maintain the oil pressure at 207 kPa (30 psi). With the starter or dynamometer, turn the engine over for 1 min. Turn off the pump, and drain all oil from the engine crankcase, governor housing, oil filter, and oil cooler. Discard the oil drained.

9.10.5.5 Charge the engine again with 4.7 L (5 qt) of test oil, and repeat the procedure described in 9.10.2. During this flush, check the alignment of the piston cooling nozzle and adjust, if necessary. Before any such adjustment, make sure that the oil-stream condition has stabilized, that is, a steady stream of oil impinges the piston indicating that the oil pressure has attained a constant value. After draining the oil, install a clean element in the engine oil filter housing. Reinstall crankcase breather assembly 1Y2592.

9.10.6 *Test Oil Flushing*—When the test oil is not available and the engine test start will be delayed: follow the steps up to 9.10.5.2. However, in 9.10.5.2, use buildup oil[22,11] in place of test oil. When a test oil is scheduled for the engine, perform the following steps:

9.10.6.1 Connect the flushing pump outlet to the engine oil cooler drain location. Using the flushing pump, add 4.7 L (5 qt) of test oil to the engine crankcase through the engine oil cooler. Start the flushing pump, and force the build-up oil out the crankcase drain. After the build-up oil has been forced out of the system, connect the inlet line of the flushing pump to the crankcase drain. Set and maintain oil pressure at 207 kPa (30 psi). By hand, turn the engine five revolutions, and continue to run the pump for 4 min. Turn off the pump, and drain all oil from the engine crankcase, governor housing, oil filter, and oil cooler. Discard the oil drained.

9.10.6.2 Repeat the procedure described in 9.10.6.1. After draining the oil, install a clean element in the engine oil filter housing and prepare the engine for break-in.

9.10.7 An instruction sheet for technician use during the engine cleaning is shown in Fig. 2.

[22] Non-compounded oil ISO VG (SAE 20) (see Classification D2422) is available from lubricant marketers. One supplier is Mobile Corporation. The Mobile product is designated EF-411 and is available from Mobile Corporation, Illinois Order Board, P.O. Box 66940, AMF O'Hare, IL 60666. Use Section 9.10.6.1.

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	FLUSH FLUID	PROCEDURE	PUMP CONNECTION		FLUSHING TIME IN MINUTES			
			INLET	OUTLET	Engine Oil	Crank Case	Governor Housing	Front Cover Line
1.	Stoddard-2 gal (7.6 L)	No recirculation Crankcase drain open	Solvent tank	Oil cooler drain	5			
	(Note: Remove crankcase breather 1Y2592 from engine and wash in solvent until clean. Air dry)							
2.	Cleaning mixture:	Recirculate						
	Eng. cleaner - 1/2 gal (1.9 L)		Crankcase drain	Oil cooler drain	15			
	Stoddard - 1 1/2 gal (5.7 L)		Crankcase drain	Crankcase sprayer		10	10	
			Crankcase drain	Front cover sprayer				10
3.	Drain Stoddard from crankcase, governor housing, oil filter and oil cooler							
	Solvent flush A	Recirculate	Crankcase drain	Oil cooler drain	15			
	Stoddard - 2 gal (7.6 L)		Crankcase drain	Crankcase sprayer		10	10	
			Crankcase drain	Front cover sprayer				10
4.	Drain Stoddard from crankcase, governor housing, oil filter and oil cooler.							
	Solvent flush B	Recirculate	Crankcase drain	Oil cooler drain	15			
	Stoddard - 2 gal (7.6 L)		Crankcase drain	Crankcase sprayer		10	10	
			Crankcase drain	Front cover sprayer				10
5.	Drain Stoddard from crankcase, governor housing, oil filter and oil cooler.							
	Solvent flush C	Recirculate	Crankcase drain	Oil cooler drain & bh				
	Stoddard - 2 gal (7.6 L)		Crankcase drain	Crankcase sprayer		10	10	
			Crankcase drain	Front cover sprayer				10
6.	Drain Stoddard solvent from crankcase, governor housing, oil filter, oil cooler - IF SOLVENT CLEAN GO TO STEP 8							
	Extra solvent flushes	Recirculate	Crankcase drain	Oil cooler drain	15			
	Stoddard - 2 gal (7.6 L)		Crankcase drain	Crankcase sprayer		10	10	
			Crankcase drain	Front cover sprayer				10
7.	GO BACK TO STEP 6							
8.	Drain crankcase, governor housing, oil filter, oil cooler - CLOSE DRAINS & 1Y5 LINE Install dummy piston, cylinder clock, liner, oil filter spout, governor housing cover.							
9.	Test oil - 5 qt (12.9 L)	Recirculate 30 psi (207 kPa)	Crankcase drain	Oil cooler drain	5 (including 4 min with engine motored)			
10.	DRAIN CRANKCASE, GOVERNOR HOUSING, OIL FILTER, OIL COOLER							
11.	Test oil - 5 qt (18.9 L)	Recirculate 30 psi (207 kPa)	Crankcase drain	Oil cooler drain	5 Align piston jet - drain - Build for test			

FIG. 2 Caterpillar Engine Cleaning Procedures

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9.11 *Piston Cleaning Preparation*—Clean new pistons using the following procedure before the installation of rings and final installation into the engine:

9.11.1 Spray with mineral spirits and dry with compressed air. (**Warning—**High concentration of vapors should be avoided. Use vented hood, face shield, gloves (same precautions as for gasoline).)

9.11.2 Using a lint-free cloth, wipe clean the entire piston with pentane, paying special attention to ring groove and land areas. Allow the piston to air dry.

9.11.3 Wipe the piston with Mobil EF-411[22,11] before final installation into the engine.

9.12 *Cylinder Head:*

9.12.1 *Valve Guide Bushings*—Remove and replace the 1Y448 and 1Y449 valve guide bushings with 1Y457 valve guide bushings (inlet) and 1Y469 valve guide bushing (exhaust). The new guides have the I.D. (inside diameter) threaded and require either reaming (see 9.12.1.2) or honing (see 9.12.1.3) after installation in the cylinder head. The required procedure is as follows:

9.12.1.1 Install the 1Y469 and 1Y457 bushings into the bores in the cylinder head.

9.12.1.2 Ream the I.D. of both bushings in successive steps with the following reamers as required to obtain the clearances listed in 9.12.1.4: 12.52 mm (0.4930 in.), 12.55 mm (0.4940 in.), 12.57 mm (0.4950 in.), 12.59 mm (0.4955 in.), 12.60 mm (0.4960 in.), and 12.61 mm (0.4965 in.).

9.12.1.3 Hone valve guide I.D. by using mandrel mounted honing stones.[23,11] A continuous flow of honing oils is required. Turn the mandrel at slow speed (300 r/min to 400 r/min) until the final size, as listed in 9.12.1.4 is obtained. In general, honed guides produce more uniform stem-to-guide clearances, resulting in longer service life of valves and guides.

9.12.1.4 The reamed stem-to-guide clearance shall be 0.013 mm to 0.051 mm (0.0005 in. to 0.0020 in.). The honed stem-to-guide clearance shall be 0.025 mm to 0.051 mm (0.0010 in. to 0.0020 in.). All measurements shall be made using a direct reading dial bore gauge in the guide and micrometer on the valve stem. Valve stem drag shall not exceed 26 N (6 lb).

(1) Usual final size for intake guide: 12.59 mm or 12.60 mm (0.4955 in. or 0.4960 in.).

(2) Usual final size for exhaust guide: 12.57 mm or 12.59 mm (0.4950 in. or 0.4955 in.).

9.12.1.5 Thoroughly clean the reamed valve guide bushing with mineral spirits or hot water, detergent, and stiff brush.

9.12.1.6 Grind the valve seats and faces in accordance with the dimensions for the 1Y73 engine as specified in the Caterpillar, Inc. Service Manual for Single Cylinder Oil Test Engine for Diesel Lubricants, Form No. SENR2074.[14,11]

9.12.1.7 Thoroughly clean the entire cylinder head and valves after grinding. Prelube the valve stems and guides with Mobil EF-411.[22,11]

9.12.1.8 Insert a rubber O-ring (p/n 8F9206) into the 3H5867 valve spring retainer for all 1M-PC tests. Inspect this O-ring for hardness or cracking during cylinder head reconditioning and replace as necessary.

9.12.2 *Precombustion Chamber Inspection and Maintenance*—Maintain the orifice diameter of the precombustion chamber, Part Number 6H1528, at 7.620 mm ± 0.025 mm (3.300 in. ± 0.001 in.). Inspect and measure the orifice prior to installation of the cylinder head on the engine at the start of the 1M-PC test method. Any measurement that is out of the 7.620 mm ± 0.025 mm (0.300 in. ± 0.001 in.) diameter limit or shows any indication of ovality requires the replacement of the precombustion chamber.

9.13 *Fuel Nozzle*—Inspect the nozzle tip for carbon build-up and deformed surfaces, and replace questionable nozzles. Check the valve opening pressure before each test. Refer to the service manual for additional information.

9.14 *Measurement*—Measure the piston, rings, cylinder liner, and fuel timing before the start and at the completion of the test. Use a new piston, ring set, and cylinder liner for each new test. Measure and report compression ratio at the start of the test.

9.14.1 *Initial Cylinder Liner Measurements*—Assemble the cylinder head, block, and liner with specified stud nut torque.

9.14.1.1 Measure the 1Y3590, 1Y3995, or 5H5657 liner in both transverse and longitudinal directions relative to the crankshaft to ensure that the out-of-round and taper conditions are within specified tolerances. Take measurements from underneath at 25 mm (1 in.) intervals for 23 cm (9 in.), starting 25 mm (1 in.) from the top of the liner.

9.14.1.2 Determine the out-of-round condition for each 25 mm (1 in.) interval: It shall not exceed 0.038 mm (0.0015 in.).

9.14.1.3 The taper measurement compares the diameters from 25 mm (1 in.) to 23 cm (9 in.) for both transverse and longitudinal positions; the maximum difference shall not exceed 0.051 mm (0.0020 in.).

9.14.1.4 Measure liner surface finish. Record all measurements on the Liner Measurements form of the test report.

9.14.2 *Post Test Wear Measurements for Liner Step Wear*—At the end of the test, determine the liner wear step in both transverse and longitudinal directions by using a surface profile measurement. Remove deposits on the liner above the piston ring travel. Take transverse and longitudinal measurements at the wear step location approximately 20 mm to 25 mm (0.75 in. to 1 in.) from the top of the liner at four locations. Record the measurements as liner wear on the Liner Measurements form of the test report.

9.14.3 *Ring End Gap*—Determine wear on the rings by measuring the gap width before and after the test with the ring confined in a 13.02 cm (5.125 in.) inside diameter ring gauge. Remove all deposits from the end of the rings after the deposit inspection and before the final ring gap measurement. Record

[23] Parts P-180 (Honall Head and Driver Group), PK-16-A (Adapter), JK 16-495AS (Mandrel), LN 3703 (Stone Retainer), K16-J68 (Stones), S-495 (Truing Stone), MAN-845-5 (Honing Oil), P-300 (Dial Bore Gauge), and P-500 (Gauge Probe) are available from Valve Guide Honing & Measurement Equipment, Sunnen Products Company, 7910 Manchester Road, St. Louis, MO 63143. Ringmaster Set 067-30-010-3 (used to set P-300 gauge) available from Ralmike's Tool-A-Rama, 4505 South Clinton Avenue, South Plainfield, NJ 07080. D-30LR-4 Air Drill-400r/min available from Stanley Tools Division, 700 Beta Drive, Cleveland, OH 44141. Pd-3-3/8 Air Drill and Small Parts Washers available from Local Distributors of Snap-On Tools, Kenosha, WI.

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the difference between these two measurements as ring gap increase or wear on the Ring Measurements form of the test report.

9.14.4 *Ring Side Clearance:*

9.14.4.1 Before and after the test, measure the piston ring side clearance of all rings. Make the after-test measurements before the rings are removed from the piston and with the accumulated deposits in place. Record all measurements on the Ring Measurements form of the test report. Measure side clearances as follows:

(1) Insert thickness (feeler) gauge underneath the piston ring.

(2) Slide gauge around the piston while holding ring in gently at the point of measurement to determine the minimum and maximum clearance to the nearest 0.013 mm (0.0005 in.)

(3) Use of gauge requires firm but smooth horizontal pull. If gauge movement is not firm or requires undue stress to move it, adjust the thickness up or down as required.

(4) Repeat 9.14.4.1 *(1), (2),* and *(3)*, being careful not to force ring or gauge against any deposit build up.

9.14.4.2 Calculate ring side clearance loss from:

$$\mathrm{Max}_{before} - \mathrm{Max}_{after} \text{ or } \mathrm{Min}_{before} - \mathrm{Min}_{after} \quad (1)$$

whichever is greater. Report zero in cases where loss of side clearance is less than zero.

9.14.4.3 Side clearance specification for new parts is:

(1) Top ring, 0.185 mm maximum (0.0073 in.) to 0.114 mm minimum (0.0045 in.)

(2) Two intermediate rings, 0.122 mm maximum (0.0048 in.) to 0.076 mm minimum (0.0030 in.)

(3) Oil ring, 0.076 mm maximum (0.0030 in.) to 0.038 mm minimum (0.0015 in.)

9.14.5 *Compression Ratio:*

9.14.5.1 Determine the compression ratio before starting the test. Essential to measuring compression ratio is piston-to-head clearance. Determine this dimension by using 2.41 mm to 2.67 mm (0.095 in. to 0.105 in.) diameter lead shot. These lead pieces are held on the top of the piston with light grease. The location pattern for the lead shot is shown in Fig. A1.20. With the piston approximately halfway up on the stroke the cylinder head is installed and torqued to the standard torque specifications. Turn the engine over top center by hand, remove the head and block assembly, and measure the thickness of the lead shot to obtain the piston-to-head clearance. The average piston-to-head clearance shall measure 1.30 mm ± 0.127 mm (0.051 in. ± 0.005 in.).

9.14.5.2 Use multiple block gaskets (1Y3698) to adjust clearance. If the piston-to-head measurement exceeds the tolerance specification, check the crankshaft main and rod journals, connecting rod and main bearings, piston pin, and bushing for excessive wear. If these dimensions are not all within specifications, consult Caterpillar before any standard 1M-PC test is started.

9.14.6 *Piston Ring Gap Location*—Install the piston in the engine in accordance with standardized ring gap location. Use the 1Y3589 piston and 1Y3588 ring set. See Fig. A1.22 for ring gap locations.

10. Procedure

10.1 *Engine Break-in*—Weigh in 4.8 kg ± 0.11 kg (10.6 lb ± 0.25 lb) of oil. For non-reference tests, take a 240 mL (8 oz) sample of the oil for use in the 40 °C initial viscosity measurement reported on the Operational Summary form of the test report. Perform break-in per Table 6. When the cooldown is complete and the engine is still hot, drain the crankcase, governor housing, oil cooler, and lubricating oil filter housing for 30 min. Use the drain cocks provided.

10.2 *Pre-Test Preparations:*

10.2.1 Weigh 4.8 kg ± 0.11 kg (10.6 lb ± 0.25 lb) of oil into the engine.

10.2.2 Perform warm-up as described in 10.3.

10.3 *Warm-up Procedure:*

10.3.1 Perform Steps 1, 2, and 3 of Table 7 for all starts except break-in.

10.3.2 When finished with warm-up, turn on inlet air heating elements and bring stand to test conditions (see Table 1).

10.4 *Operating Conditions*—During this test, target all controlled parameters to the specified mean. Run the engine continuously for 120 h at the conditions shown in Table 1.

10.5 *Periodic Measurements:*

10.5.1 Except engine air flow rate, record the parameters listed in Table 1 hourly as snapshots. Record values as found **before** adjustments are made to correct to the specification mean. These recorded values show the engine conditions actually present at each hour of the test. (They are not averages computed from data logged during the test hour.) Make corrections to each hourly humidity reading for nonstandard barometric conditions, using additive correction factors derived from the perfect gas law equation (see 9.6.2).

10.5.2 Also record and report the following data:

10.5.2.1 Crankcase blowby, m^3/h (ft^3/h), once each 12 h period. (A minimal increase in crankcase pressure is allowed

TABLE 6 Break-in Conditions

	Step[A]				
	1	2	3	4	5[B]
Speed, r/min	1000	1000	1600	1800	1800 ± 10
Load, kW (bhp)	Idle	7.5 (10)	17.1 (23)	24.6 (33)	31.3 (42)
Fuel rate kg/h (lb/h)	1.36 (3.0)	2.27 (5.0)	4.72 (10.42)	6.88 (15.16)	8.13 ± 0.07 (17.92 ±0.15)
Bearing oil temp. °C (°F)				82.0 (180)	96.1 ± 2.8 (205 ± 5)
Jet pressure[C] kPa (psi), min.	158.6 (23)	158.6 (23)	158.6 (23)	165.5 (24)	165.5 (24)
Water oil temp. °C (°F)			71.0 (160)	71.0 (160)	87.8 ± 2.8 (190 ± 5)
Air inlet temp. C° (°F)				76.7 (170)	123.9 ± 2.8 (255 ± 5)
Air inlet pressure kPa (in. Hg Absolute)	118.0 (35.0)	118.0 (35.0)	135.0 (40.0)	135.0 (40.0)	179±1 (53 ± 0.3)
Time, minutes	5	5	10	20	20

[A] Follow standard cool down procedure (see Table 8).
[B] Measure blowby over last 15 min of the break-in record.
[C] Oil pressure operating specifications apply only to 15W-40 oils. Attempt to maintain these limits for all oils. When oils other than 15W-40 oils fall outside these limits, explain these deviations from the limits in the comments section of the test report.

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TABLE 7 Warm Up

	1	2	3
Speed, r/min	1000	1000	1600
		7.5	17.1
Load, kW (bhp)	Idle	(10)	(23)
Fuel rate	1.36	2.27	4.72
kg/h (lb/h)	(3.0)	(5.0)	(10.42)
Jet pressure[A]	158.6	158.6	158.6
kPa (psi), min	(23)	(23)	(23)
Water out temp.			71.0
C° (°F)			(160)
Air inlet pressure	118.0	118.0	135.0
kPa (in. Hg absolute)	(35.0)	(35.0)	(40.0)
Time, min	5	10	15

[A] Oil pressure operating specifications apply only to 15W-40 oils. Attempt to maintain these limits for all oils. When oils other than 15W-40 oils fall outside these limits, explain these deviations from the limits in the comments section of the test report.

for a time period no greater than 4 min when switching from a normal operating system to the blowby measuring system.)

10.5.2.2 Engine load (should be approx. 31.3 kW or 42 bhp).

10.5.2.3 The weight of all oil added and drained and the engine hours at that time.

10.5.2.4 Document instances of missing or bad test data in the comments section of the Unscheduled Downtime and Maintenance Summary form of the test report. If a test has greater than 4-h data acquisition on any controlled parameter, the test is operationally invalid. Note any alternate method of data acquisition in the comment section of the Unscheduled Downtime and Maintenance Summary form of the test report.

10.6 *Engine Oil Level*—With the bayonet oil level gauge housing lowered, use the following procedure for measuring the crankcase oil level:

10.6.1 Withdraw the bayonet gauge and wipe free of oil.

10.6.2 Insert the bayonet gauge with the numerals facing the operator.

10.6.3 Count off 5 s.

10.6.4 Withdraw the bayonet gauge and read the oil level.

10.7 *Oil Addition Procedure*—Use the following steps when making oil additions:

10.7.1 At the end of the run-in, drain all the engine oil for 30 min and weigh in 4.8 kg ± 0.11 kg (10.6 lb ± 0.25 lb) of fresh oil.

10.7.2 During the first hour of the test, when the oil temperature reaches 96 °C ± 2.8 °C (205 °F ± 5 °F), record the crankcase level as the *Full Mark*.

10.7.3 Calculate the following levels:

10.7.3.1 *Drain Level* is two units below the *Full Mark*.

10.7.3.2 *Low Level* is two and one-half units below the *Full Mark*.

10.7.3.3 *Emergency Add Level* is three units below the *Full Mark*.

10.7.4 At the end of each 12 h period, check the crankcase oil level and perform the following:

10.7.4.1 If the oil level is above the *Full Mark*, drain to a level of *Drain Level* and weigh in 0.8 kg ± 0.22 kg (1.76 lb ± 0.05 lb) of fresh oil.

10.7.4.2 If the oil level is between the *Full Mark* and the *Drain Level*, drain oil from the engine until the oil level is at the *Drain Level*. Add 0.8 kg ± 0.22 kg (1.76 lb ± 0.05 lb) of fresh oil.

10.7.4.3 If the oil level is between *Drain Level* and *Low Level*, add 0.8 kg ± 0.22 kg (1.76 lb ± 0.05 lb) of fresh oil.

10.7.4.4 If the oil level is below the *Low Level*, add enough oil to the engine to bring it up to the *Full Mark*.

10.7.5 If the oil level falls below the *Emergency Add Level* at any time during the test, add 0.8 kg ± 0.22 kg (1.76 lb ± 0.05 lb) of fresh oil.

10.8 *Cool-Down Procedure*—Except for emergency (uncontrolled) stops, use the following procedure prior to all engine shutdowns including the break-in: Stop counting test time at the start of Stage 3 in Table 8. Turn off all heater elements and let air temperature cool normally.

10.9 *Shutdowns:*

10.9.1 For all occurrences, report the test hours and length of time down on the Unscheduled Downtime and Maintenance Summary. If the cool-down procedure is not used, identify the shutdown as an emergency shutdown. In the event of an emergency shutdown, maintain a 2 h off-test condition for engine cooling before restarting. Maximum allowable downtime for the duration of the test is 125 h. Minimize the total downtime of the test. To protect deposits, rotate the engine to top dead center of the compression stroke during shutdowns.

10.9.2 An excessive number of emergency or regularly scheduled shutdowns that reasonably could have been prevented will influence test acceptability. Pre-arrange schedules for tests with planned shutdowns (for reasons other than those normally permitted) with the TMC.

10.10 *Fuel System*—Bleed the fuel lines free of air prior to each test or if fuel is drained from the engine fuel system for any reason.

10.11 *Brake Specific Oil Consumption (BSOC) Calculation*—Calculate the BSOC for the test as follows:

$$\frac{(\text{sum of adds}) - (\text{sum of drains})}{(120 \times \text{average engine power})} \quad (2)$$

TABLE 8 Cool Down

	3	2	1
Speed, r/min	1600	1000	1000
	17.1	7.5	
Load, kW (bhp)	(23)	(10)	Idle
Fuel rate	4.72	2.27	1.36
kg/h (lb/h)	(10.42)	(5.0)	(3.0)
Jet pressure[A]	158.6	158.6	158.6
kPa (psi), min.	(23)	(23)	(23)
Water out temp.	71.0		
°C (°F)	(160)		
Air inlet pressure	135.0	118.0	118.0
kPa (in. Hg Absolute)	(40.0)	(35.0)	(35.0)
Time, min	5	5	5

[A] Oil pressure operating specifications apply only to 15W-40 oils. Attempt to maintain these limits for all oils. When oils other than 15W-40 oils fall outside these limits, explain these deviations from the limits in the comments section of the test report.

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BSOC greater than 1.216 g/kW-h (0.002 lb/bhp-h) will invalidate the test. Plot each 12 h oil consumption point and include as the Oil Consumption Plot form of the test report.

11. Inspection

11.1 *Preparation*—Use a clean, soft, dry cloth (for example, cotton outing flannel) free from any solvents or polishes, and wipe the test piston free of oil film.

11.2 *Inspection*—Inspect the piston and liner, and photograph the piston at the end of the test. Make a complete written description of the inspection. Remove all rings from the piston before it is photographed. Determine and record cylinder liner and piston ring wear. Inspect the piston, rings, and liner in accordance with the report forms shown in ASTM Deposit Rating Manual 20, with the following exceptions:

11.2.1 Use a Sylvania 8 in. circular bulb, 20 W, cool white, Part # FC8T9-CW-RS[24,11] in the rating lamp.

11.2.2 Conduct routine maintenance, such as bulb replacement, fixture cleaning, and booth repainting, on a regular basis.

11.2.3 Have a probe available for use in identifying questionable carbon-like deposits.

11.2.4 Use the recommended 20-segment template to obtain maximum precision. Each segment, which represents a 5 % area, should not be broken down into areas smaller than 1 %.

11.2.5 Evaluate only three levels of carbon in the piston grooves. They are defined as follows:

11.2.5.1 *Heavy Carbon*—Carbon that will take up the whole space between the back of the ring and the back of the groove and the lesser levels of carbon that exhibit polished areas due to an excessive amount of carbon on the back of the ring with relative ring movement.

11.2.5.2 *Medium Carbon*—Carbon that will take up to between approximately one-quarter to just less than the whole space between the back of the ring and the back of the groove.

11.2.5.3 *Light Carbon*—Carbon that will take up to approximately one-quarter of the space between the back of the ring and the back of the groove.

11.2.6 Evaluate only two levels of carbon on the ring lands. They are defined as follows:

11.2.6.1 *Heavy Carbon*—Carbon that shows signs of rubbing or polishing, or both.

11.2.6.2 *Light Carbon*—Any other carbon deposit.

11.2.7 For standardization of the interpretation of *clean*, keep a new piston in the rating booth for comparison. Replace this piston daily with another new piston, if possible.

11.3 *Rater Training*—Each lab shall send, on a calendar year basis, a minimum of one heavy duty diesel piston rater to the ASTM Standardized Testing Deposit Rating Workshop. Each rater shall rate a minimum of six diesel pistons. If this schedule is not suitable to a particular rater or test lab, then alternative arrangements shall be made as soon as possible to have the rater calibrated.

11.4 *Referee Ratings*—To quickly detect and correct any shifts in rater severity, all operationally valid calibration tests shall be refereed. Obtain referee ratings only from another calibrated test lab. Wrap all pistons being shipped for referee ratings in paper, place in plastic with desiccant, and then seal before placing in any other shipping container.

12. Calibration of Test Method

12.1 *Requirements*—To maintain test consistency and severity levels, engine test stand calibrations are required at regular intervals.

12.2 *Reference Oils*—The reference oils used to calibrate 1M-PC test stands have been formulated or selected to represent specific chemical types and performance levels. They are available from the ASTM TMC. The TMC will assign reference oils for calibration tests. These oils are supplied under code numbers (blind reference oils).

12.3 *Test Numbering:*

12.3.1 Number each 1M-PC test to identify the test stand number and the test run number. Number all runs sequentially. Append repeat calibration runs with a letter (also sequential). Maintain the letter suffix sequencing for each test type calibration until the calibration has been accepted. Any test start, regardless of type, increments the run number. Test start is defined in 12.4.

12.3.2 An example of test numbering:

	1M-PC Test		Text *X*	
1st Test	1	Ref. Fail		
2nd Test	2A	Ref. Fail		
3rd Test	3B	Ref. Fail		
4th Test			4	Ref. Fail
5th Test			5A	Ref. Pass
6th Test	6C	Ref. Pass		
7th Test	7	Candidate		
8th Test			8	Candidate

12.4 *Definition of Test*—A test (or test start) is defined, for purposes of this test method, as any engine *test time* accumulated in accordance with this test method.

12.5 *New Laboratories and New Test Stands:*

12.5.1 *New Test Stands*—A new stand is defined as a test engine and support hardware that has never been previously calibrated under this test method.

12.5.2 *New Laboratory*—A new laboratory shall have two calibration test passes on approved reference oils to be considered valid.

12.5.3 *Special Circumstances*—A laboratory not running a 1M-PC test for twelve months from the start of the last test is considered a new laboratory. Under special circumstances (that is, extended downtime due to industry-wide parts shortage or fuel outages) the TMC may extend the lapsed time requirement. Annotate non-reference tests conducted during an extended time allowance in the comments section of the Unscheduled Downtime and Maintenance Summary form of the test report.

12.6 *Frequency of Calibration Tests:*

12.6.1 A calibration test on a reference oil assigned by the TMC is required after no more than 14 test starts or after six months from the start date of the last acceptable calibration test (whichever comes first). The 1M-PC calibration run is not counted as one of the 14 test starts; however, *all* other test starts are counted. The TMC is permitted to grove [illegible] extend

[24] Available from Newark Electrical Corp., 500 N. Pulaski Road, Chicago, IL 60624.

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reference tests to enhance reference test program design and test severity monitoring. If a reference test calibration period is extended beyond the normal duration, note this fact in the comments section of the Unscheduled Downtime and Maintenance Summary form of the test report for all subsequent non-reference tests. Also attach written confirmation from the TMC to the report.

12.6.2 Start any non-reference test prior to the expiration of the calibration period.

12.7 *Guidelines for Adjustments to Calibration Periods*—Reference oil test frequency may be adjusted for the following reasons:

12.7.1 *Procedural Deviations*—On occasions when a laboratory becomes aware of a significant deviation from the test method, such as might arise during an in-house review or a TMC inspection, the laboratory and the TMC shall agree on an appropriate course of action to remedy the deviation. This action may include the shortening of existing reference oil calibration periods.

12.7.2 *Parts and Fuel Shortages*—Under special circumstances, such as industry-wide parts or fuel shortages, the surveillance panel may direct the TMC to extend the time intervals between reference oil tests. These extensions shall not exceed one regular calibration period.

12.7.3 *Reference Oil Test Data Flow*—To ensure continuous severity and precision monitoring, calibration tests are conducted periodically throughout the year. There may be occasions when laboratories conduct a large portion of calibration tests in a short period of time. This could result in an unacceptably large time frame when very few calibration tests are conducted. The TMC can shorten or extend calibration periods as needed to provide a consistent flow of reference oil test data. Adjustments to calibration periods are made such that laboratories incur no net loss (or gain) in calibration status.

12.7.4 *Special Use of the Reference Oil Calibration System*—The surveillance panel has the option to use the reference oil system to evaluate changes that have potential impact on test severity and precision. This option is only taken when a program of donated tests is not feasible. The surveillance panel and the TMC shall develop a detailed plan for the test program. This plan requires all reference oil tests in the program to be completed as close to the same time as possible, so that no laboratory/stand calibration is left in an excessively long pending status. In order to maintain the integrity of the reference oil monitoring system, each reference oil test is conducted so as to be interpretable for stand calibration. To facilitate the required test scheduling, the surveillance panel may direct the TMC to lengthen and shorten reference oil calibration periods within laboratories such that the laboratories incur no net loss (or gain) in calibration status.

12.8 *Donated Reference Oil Test Programs*—The Surveillance Panel is charged with maintaining effective reference oil test severity and precision monitoring. During times of new parts introductions, new or re-blended reference oil additions, and procedural revisions, it may be necessary to evaluate the possible effects on severity and precision levels. The surveillance panel may choose to conduct a program of donated reference oil tests in those laboratories participating in the monitoring system, in order to quantify the effect of a particular change on severity and precision. Typically, the surveillance panel requests its panel members to volunteer enough reference oil test results to create a robust data set. Broad laboratory participation is needed to provide a representative sampling of the industry. To ensure the quality of the data obtained, donated tests are conducted on calibrated test stands. The surveillance panel shall arrange an appropriate number of donated tests and ensure completion of the test program in a timely manner.

12.9 *Procedural Deviations*—On occasions when a laboratory becomes aware of a significant deviation from the test method, such as might arise during an in-house review or a TMC inspection, the laboratory and the TMC shall agree on an appropriate course of action to remedy the deviation. This action may include the shortening of existing reference oil calibration periods.

12.10 *Specified Test Parameters*—The specified test parameters for determination of test acceptance are:

12.10.1 Top groove fill, percent area.

12.10.2 Weighted total deposits, demerits.

12.11 *Acceptance of Calibration Tests*—Refer to the TMC's Lubricant Test Monitoring System (LTMS) for calibration test targets and acceptance criteria.

12.12 *Failing Reference Oil Calibration Tests:*

12.12.1 Failure of a calibration test to meet test acceptance bands can indicate a testing stand problem, testing laboratory problem, or industry-wide problem, or it can be a false alarm. When this occurs, the laboratory, in conjunction with the TMC, must attempt to determine the problem source.

12.12.2 In the determination of the problem, TMC will decide, with input as needed from industry expertise (testing laboratories, test developer, ASTM Technical Guidance Committee, Surveillance Panel, and so forth), if the reason for any unacceptable blind reference oil test is isolated to one particular stand or related to other stands. If it is decided that the problem is isolated to an individual stand, calibrated testing on other stands can continue throughout the laboratory. Alternatively, if it is decided that more than one stand may be involved, the involved stands will not be considered calibrated until the problem is identified and corrected and an acceptable reference oil test is completed in one of the involved stands.

12.13 *Non-Standard Tests*—If non-standard tests are conducted on the referenced test stand, the stand may, at the discretion of the TMC, be required to be recalibrated prior to running standard tests.

12.14 *Severity Adjustments and Control Charting:*

12.14.1 *Severity Adjustments*—This test method incorporates the use of a surveillance panel accepted method of calculating a severity adjustment (SA) for non-reference test results. A control chart technique, described in 12.14.2, has been selected for the purpose of identifying when a bias becomes significant. When a significant bias is identified, an SA is applied to non-reference test results. The SA remains in effect until subsequent calibration test results indicate that the bias is no longer significant. SAs are calculated and applied on a laboratory basis.

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