



Designation: E1056 – 13 (Reapproved 2021)

Standard Practice for Installation and Service of Solar Domestic Water Heating Systems for One- and Two-Family Dwellings¹

This standard is issued under the fixed designation E1056; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ϵ) indicates an editorial change since the last revision or reapproval.

1. Scope

1.1 This practice provides descriptions of solar domestic water heating systems and sets forth installation and service practices in new and existing one- and two-family dwellings to help ensure adequate operation and safety.^{2,3}

1.2 This practice applies regardless of the fraction of heating requirement supplied by solar energy, the type of conventional fuel used in conjunction with solar, or the heat transfer fluid (or fluids) used as the energy transport medium. However, where more stringent requirements are recommended by the manufacturer, these manufacturer requirements shall prevail.

1.3 The values stated in inch-pound units are to be regarded as standard. The values given in parentheses are mathematical conversions to SI units that are provided for information only and are not considered standard.

1.4 *This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety, health, and environmental practices and determine the applicability of regulatory limitations prior to use.* For specific precautionary statements, see Sections 6 and 7.

1.5 *This international standard was developed in accordance with internationally recognized principles on standardization established in the Decision on Principles for the Development of International Standards, Guides and Recommendations issued by the World Trade Organization Technical Barriers to Trade (TBT) Committee.*

¹ This practice is under the jurisdiction of ASTM Committee E44 on Solar, Geothermal and Other Alternative Energy Sources and is the direct responsibility of Subcommittee E44.05 on Solar Heating and Cooling Systems and Materials.

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² Dikkers, R., "Performance Criteria for Solar Heating and Cooling Systems in Residential Buildings," Department of Housing and Urban Development and National Bureau of Standards, September, 1982.

³ Hollander, P. E., "Installation Guidelines for Solar DHW Systems in One- and Two-Family Dwellings," Franklin Research Center, U. S. Government Printing Office, April 1979.

2. Referenced Documents

2.1 *ASTM Standards*:⁴

E772 Terminology of Solar Energy Conversion

2.2 *SMACNA Standards*:⁵

Medium Pressure Duct Construction Standards

Fibrous Glass Duct Construction Standards

Flexible Duct Performance and Installation Standards

2.3 *NFPA Standard*:

NFPA 321 Basic Classifications of Flammable and Combustible Liquids⁶

2.4 *ANSI Standard*:

Z 21.22 Relief Valves and Automatic Gas Shut Off Devices for Hot Water Supply Systems⁷

3. Terminology

3.1 *Definitions*:

3.1.1 *auxiliary energy subsystem, n*—in solar energy application, equipment using nonsolar energy sources to supplement or backup the output provided by a solar energy system. (E772)

3.1.2 *flash point, n*—of a liquid, the minimum temperature at which it gives off vapor in sufficient concentration to form an ignitable mixture with air near the surface of the liquid within the vessel as specified by appropriate test procedure and apparatus. (See Terminology E772 and NFPA 321.)

3.1.3 *heat transfer fluid, n*— (1) in solar energy systems, a liquid or gas that passes through the solar collector and carries the absorbed thermal energy away from the collector. (2) any fluid that is used to transfer thermal energy between subsystems in solar energy systems. (E772)

⁴ For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

⁵ Available from Sheet Metal and Air Conditioning Contractors National Assoc. (SMACNA), 8224 Old Courthouse Rd., Tysons Center, VA 22180.

⁶ Available from National Fire Protection Assoc., Batterymarch Park, Quincy, MA 02269.

⁷ Available from American National Standards Institute (ANSI), 25 W. 43rd St., 4th Floor, New York, NY 10036, <http://www.ansi.org>.

3.1.4 *operating conditions, extreme, n*—unusual physical conditions to which a component or system may be exposed and for which it is not designed or intended to withstand, nor is it required to withstand by a local regulatory agency. **(E772)**

3.1.5 *operating conditions, normal, n*—the usual range of physical conditions (for example, temperature, pressure, wear and tear, weather) for which the component or system was designed. **(E772)**

3.1.6 *solar energy system, active, n*—a solar energy system that uses mechanical equipment (pumps, fans), that is not an integral part of a structure, to collect and transfer thermal energy, either to the point of use or to be stored for later use. **(E772)**

3.1.7 *solar water heating systems, direct, n*—a solar water heating system in which the potable water passes directly from the water supply, through the collectors and storage, to the residential hot water supply. **(E772)**

3.1.8 *solar energy system, drainback, n*—a solar energy system in which the heat transfer fluid is drained out of the collector and exposed piping, and into a storage tank, a holding tank, or expansion tank inside the building envelope in order to protect the collector and piping from damage due to freezing. **(E772)**

3.1.9 *solar energy system, draindown, n*—a solar energy system in which the heat transfer fluid is drained out of the collector and exposed piping to an external drain in order to protect the collector and piping from damage due to freezing. **(E772)**

3.1.10 *solar water heating system, indirect, n*—a solar water heating system in which a closed circulation loop isolates one fluid from contact with others in the system. This closed loop may contain a nonpotable fluid. **(E772)**

3.1.11 *solar energy system, thermosiphon, n*—a solar energy system in which the heat transfer fluid circulates by convection as the less dense, warm fluid rises and is displayed by the denser, cooler fluid. **(E772)**

3.1.12 *solar water heating system, tank absorber, n*—Solar Hot Water (SHW) system in which solar radiation is absorbed by the surface of the storage tank, which is usually installed in an insulated housing whose sunward side is glazed. Such systems are also referred to as “integral collector storage (ICS)”, batch” or “breadbox” heaters.

3.1.13 *weather conditions, extreme, n*—environmental conditions that are rare in a local climatic region (which have occurred no more than once during the past 30 years).

3.1.14 *weather conditions, normal, n*—the (actual or anticipated) range of environmental conditions (rain, snow, hail, wind, temperature, pollution) that typically occur in a local climatic region over several years. **(E772)**

3.2 Definitions of Terms Specific to This Standard:

3.2.1 *accessible, adj*—permitting close approach that may require removal or opening of an access panel, door, or similar obstruction.

3.2.2 *durability, n*—the ability (of a system or component) to operate properly as long as intended.

3.2.3 *potable water, n*—water that is free of impurities in amounts sufficient to cause disease or harmful physiological effects and conforming in its bacteriological and chemical quality to the regulations of the public health authority having jurisdiction.

3.2.4 *reliability, n*—the ability (of a system or component) to operate properly when required.

3.2.5 *SHW, n*—solar hot water.

3.2.6 *shall, vi*—a mandatory requirement necessary to provide minimum operation and safety.

3.2.7 *should, vi*—a recommended method or component to provide improved performance and effectiveness.

3.2.8 *toxic, adj*—any substance (other than a radioactive substance) that has the capacity to produce personal injury or illness to man through ingestion, inhalation, or absorption through any body, surface, or any substance producing a lethal dose in half of white rats (LD50) when ingested as a single dose of less than 10 g/kg of body mass.

4. Significance and Use

4.1 This practice is intended to serve as a guide to manufacturers, distributors, installers, contractors, regulatory officials, and owners. It is not intended to specify detailed methods of testing, installation, or servicing for the system or any of its components.

4.2 This practice sets forth those methods and components necessary for minimum operation and safety. It also suggests methods for improved operation and effectiveness.

5. System Components and Control Functions

5.1 This section covers the system components and related control functions that are required to collect, transport, store, and convert the solar energy for typical domestic hot water systems.

5.2 **Table 1** shows the recommended system components and related control functions that are required for solar domestic hot water systems. Numbers in **Figs. 1-7** refer to components in **Table 1**.

5.3 Freeze protection is a necessary subsystem for most SHW systems. Each type of system in **Figs. 1-7** provide freeze protection by the use of specific components or the nature of the system operation. One option for providing freeze protection for each system is illustrated in **Figs. 1-7** (see **6.2** for other acceptable options). Options may be combined.

6. Installation and Servicing

6.1 This section outlines recommended installation and servicing minimum practices needed to provide an effective SHW system operation.

6.2 Freeze Protection:

6.2.1 SHW systems installed in climates where freezing can occur shall be protected.

6.2.1.1 *Antifreeze Chemicals*—Freeze protection may be accomplished through the use of chemicals either as or in the heat transfer fluid.

TABLE 1 Solar Domestic Water Heating System Components

Schematic I.D. No.	Component	Function	Text Reference
1	Solar Collector	convert radiant energy into thermal energy	6.3, 7.6.1
2	Solar Storage Tank	accumulate thermal energy in the form of solar heated water to supply domestic needs	6.4
3	Insulation	minimize thermal losses from components	6.4.2, 7.6.3, 6.7.3, 6.7.10
4	Piping Fittings	interconnect components and convey heat transfer fluid	6.7
5	Mixing Valve	limit temperature of domestic hot water delivered for personal use	7.2.10
6	Temperature and Pressure Relief Valve	automatically relieves pressure if temperature and/or pressure maxima are exceeded	6.6.3, 7.2.1 – 7.2.7
7	Auxiliary Heat Source	supplements solar energy to provide adequate hot water	6.7.16
8	Pump	circulate liquid	6.8
9	Controller	controls the collection and distribution of thermal energy within the solar domestic hot water system and may provide limited safety functions	6.6
10	Auxiliary Storage Tank and Heat Source	supplements solar energy to provide hot water and storage	6.7.16
11	Heat Exchanger (internal or external)	transfer thermal energy between physically separated fluids	6.8.4–6.8.6, 6.5.2, 7.3.1
12	Air Duct	interconnect collectors and heat exchanger in system employing air as transfer medium	6.7
13	Blower	circulate air	6.8
14	Expansion Tank	protect system from pressure damage created by expansion of heat transfer liquid	6.6.5
15	Heat Transfer Fluid	Transports thermal energy	6.5, 7.6.2
16	Pressure Relief Valve	automatically relieves pressure if maximum is exceeded	6.6.3, 7.2.1 – 7.2.7
17	Check Valve	prevent reverse liquid flow	6.6.8
18	Vent Valve	release trapped air	6.7.9, 6.7.17
19	Drain Valve	to drain fluid passages of liquid; manual or automatic	6.7.8, 6.6.2
20	Backflow Preventer	to prevent backflow of non-potable fluid into potable water supply	7.2.2, 7.3.3
21	Vacuum Breaker	to relieve a vacuum by permitting air into a system	6.7.17
22	Air Damper	control air flow	6.6.4, 6.6.9
23	Shutoff Valves	to isolate components; manual or automatic	6.6.3, 6.6.7
24	Temperature Sensor	senses fluid temperature to operate controller	6.6.9

6.2.1.2 *Automatic Draining*—Freeze protection may be accomplished through the use of system controls which automatically allow heat transfer fluids to drain from parts of the system exposed to freezing temperatures, as in the draindown or drainback systems. Electrically operated valves shall drain the system when there is a power outage (that is, fail safe).

6.2.1.3 *Automatic Recirculation*—Freeze protection may be accomplished through the use of system controls which automatically circulates heat transfer fluids through the system when outdoor temperatures reach predetermined levels. This freeze protection does not operate during periods of power outage unless an auxiliary source of power is provided. This freeze protection system is not recommended for use in areas with frequent or severe freeze conditions, and may increase the heat loss of the system during off periods.

6.2.1.4 *Manual Draining*—Freeze protection may be accomplished through the use of system controls which allow an operator to manually drain the system of heat transfer fluids. Caution should be exercised when depending on this method of freeze protection since it requires human attention for proper operation. Failure to operate the system properly may result in considerable damage.

6.2.1.5 *Low Wattle Electric Resistance Heating*—Freeze protection for tank absorber systems may be accomplished through the use of low wattle (less than 300 W) electrical resistance heaters and system controls that supply heat to the tank and adjacent piping/fittings only when temperatures inside the system reach $35 + 2^{\circ}\text{F}$ ($2 + 1^{\circ}\text{C}$). This freeze protection

system does not operate during periods of power outage unless an auxiliary source power is provided.

6.2.1.6 *Freeze Tolerant Materials*—Freeze protection may be accomplished through the use of materials which are not damaged by repeated cycles of freezing and thawing while filled with potable water, provided evidence that the material can withstand such cycling is supplied.

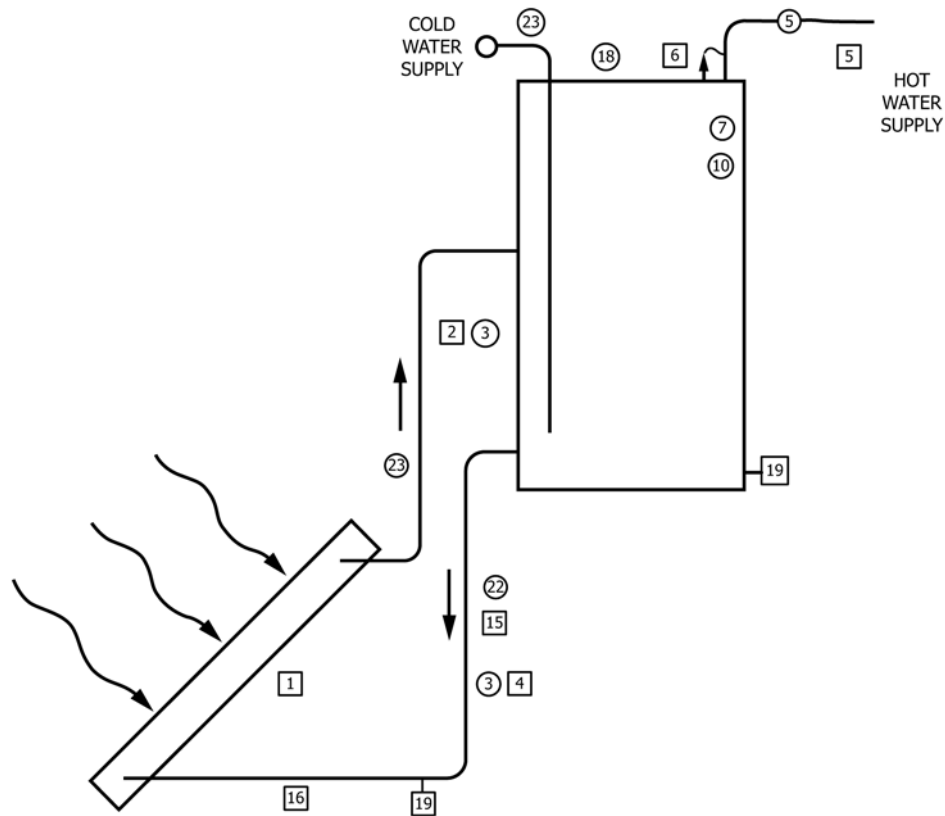
6.3 Collector Subsystems:

6.3.1 Collectors shall be installed in accordance with the instructions provided by the collector manufacturer or system designer, or both, and in compliance with requirements of the applicable building codes and standards.

6.3.2 Structural supports shall be constructed to support the collector under anticipated extremes of environmental conditions and to withstand local conditions and anticipated loads, such as wind, seismic, rain, snow, and ice so that the solar system does not impair the resistance to damage of the building. Neither wind loading nor the additional mass of filled collectors shall exceed the live and dead load ratings of the building, roof, foundation, or soil.

6.3.3 Structural supports shall be constructed to maintain collector tilt and orientation within design conditions throughout the life of the SHW system.

6.3.4 Joints between support structures and the building shall be caulked or flashed, or both, to prevent water leakage.



1. SOLAR COLLECTOR
 2. SOLAR STORAGE TANK
 3. INSULATION
 4. PIPING/FITTINGS
 5. MIXING VALVE
 6. TEMPERATURE AND PRESSURE RELIEF VALVE
 7. AUXILIARY HEAT SOURCE
 10. AUXILIARY STORAGE TANK AND HEAT SOURCE
 15. HEAT TRANSFER FLUID
 16. PRESSURE RELIEF VALVE
 18. VENT VALVE
 19. DRAIN VALVE
 23. SHUT OFF VALVES
- FREEZE PROTECTION-SHUTOFF VALVES (23) AND DRAIN VALVES (19) ALLOW FOR MANUAL DRAIN-
ING OF THE SYSTEM
- ☐ REQUIRED FOR SAFETY OR MINIMUM OPERATION
☐ FOR INCREASED EFFICIENCY OR AS REQUIRED BY APPLICABLE BUILDING CODE

FIG. 1 Typical Single Tank Thermosyphon System

6.3.5 Collectors shall be installed so as not to contribute to moisture buildup, rotting, or other accelerated deterioration of roofing materials.

6.3.6 Collectors and supports shall be installed in a manner that water flowing off the collector surface will not increase the potential for ice dams or cause water damage, or both, to the building or premature erosion of the roof.

6.3.7 Provisions should be taken to minimize buildup of snow upon collectors which may reduce their effectiveness.

6.3.8 Structural supports shall be selected and installed in such a manner that thermal expansion of the collector subsystem will not cause damage to the collector, structural frame, or building.

6.3.9 Collectors and supports shall not create a hazard by blocking any means of egress.

6.3.10 Safe access to components subject to deterioration or failure, such as rubber hoses, joint sealants, and cover plates, shall be provided to allow for maintenance or repair. For roof-mounted collectors, work space adjacent to collectors and provisions for safe placement of ladders should be considered.

6.3.11 Collectors should be installed giving consideration to minimizing objectionable glare.

6.3.12 Protection of collectors and components shall be provided during handling and installation to prevent damage.

6.3.13 Frames, braces, and fasteners used in collector installation shall be made of materials suitable for exterior location.