

Securities — Specification for the format and structure of names and addresses used in securities transactions for the business of registrars

ICS 03.060

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The preparation of this British Standard was entrusted to Technical Committee IST/12, Banking, securities and other financial services, upon which the following bodies were represented:

- APACS (Barclays Bank)
- APACS (Nat West Bank)
- APACS (Bank of England)
- APACS (TSB)
- Association of Payment Clearing Services (APACS)
- International Securities Market Association

The following bodies were also represented in the drafting of the standard, through a subcommittee:

- Association for Payment Clearing Services (APACS)
- Bank of England
- Brinson and Partners
- British Bankers' Association (Registrar and receiving agent functions)
- Crest Co Ltd.
- Electronic Trade Confirmation Industry User Group and Institutional Fund Managers' Association
- Extel Financial Services
- Financial Times Information (EXTEL)
- HSBC Investment Bank plc
- International Securities Market Association
- London Clearing House
- Securities Industry Management Association
- Securities Industry Software Association

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Foreword

This British Standard has been prepared under the direction of the Information Systems Technology Standards Policy Committee IST/12.

The use of electronic communication media and services between members of the financial services industry (specifically registrars), and between themselves and their customers, is increasing significantly. The number of participants involved in the exchange of data through the use of electronic media, the volume of information, and the use of a variety of different networks has created a need for standardization in the specific area of names and addresses used by registrars to facilitate the automatic handling and processing of data transmitted and received.

The provision for the address field (four lines of up to 35 characters each) in currently published International Standards for the financial services industry is considered inadequate for some purposes internal to the UK. The UK securities and registrars interests have therefore developed and agreed the format described in this British Standard.

A British Standard does not purport to include all the necessary provisions of a contract. Users of British Standards are responsible for their correct application.

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Summary of pages

This document comprises a front cover, an inside front cover, pages i and ii, pages 1 to 6, an inside back cover and a back cover.

This standard has been updated (see copyright date) and may have had amendments incorporated. This will be indicated in the amendment table on the inside front cover.