

**Implementation of
EN ISO/IEC 9646-5:1996**

Information technology — Open Systems Interconnection — Conformance testing methodology and framework —

**Part 5: Requirements on test
laboratories and clients for the
conformance assessment process**

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- Digital Equipment Co. Ltd.
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Contents

	Page
Committees responsible	Inside front cover
National foreword	ii
Foreword	2
Foreword	iii
Text of ISO/IEC 9646-5	1

National foreword

This Part of BS EN ISO/IEC 9646 has been prepared by Technical Committee IST/21 and is the English language version of EN ISO/IEC 9646-5:1996 *Information technology — Open Systems Interconnection — Conformance testing methodology and framework — Part 5: Requirements on test laboratories and clients for the conformance assessment process*, published by the European Committee for Standardization (CEN). It supersedes BS EN 29646-5:1992, which is withdrawn.

This British Standard is published under the direction of the Information Systems Technology Assembly whose Technical Committee IST/21 has the responsibility to:

- aid enquirers to understand the text;
- present to the responsible international committee any enquiries on interpretation, or proposals for change, and keep UK interests informed;
- monitor related international and European developments and promulgate them in the UK.

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Summary of pages

This document comprises a front cover, an inside front cover, pages i and ii, the EN ISO/IEC title page, page 2, the ISO/IEC title page, pages ii to iv, pages 1 to 31 and a back cover.

This standard has been updated (see copyright date) and may have had amendments incorporated. This will be indicated in the amendment table on the inside front cover.

Information technology — Open Systems Interconnection —
Conformance testing methodology and framework —
Part 5: Requirements on test laboratories and clients for the
conformance assessment process

(ISO/IEC 9646-5:1994)

Technologies de l'information — Interconnexion
de systèmes ouverts — Cadre général et
méthodologie des tests de conformité OSI —
Partie 5: Spécifications pour laboratoires
d'essais et clients pour le procédé d'évaluation
de conformité
(ISO/IEC 9646-5:1994)

Informationstechnik — Kommunikation
Offener Systeme — Methodik der
Konformitätsprüfung — Teil 4: Anforderungen
an Testzentren und Testteilnehmer für die
Vorbereitung, Durchführung und Bewertung
vonder Konformitätsprüfung
(ISO/IEC 9646-5:1994)

This European Standard was approved by CEN on 1996-01-25. CEN members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration.

Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the Central Secretariat or to any CEN member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CEN member into its own language and notified to the Central Secretariat has the same status as the official versions.

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CEN

European Committee for Standardization
Comité Européen de Normalisation
Europäisches Komitee für Normung

Central Secretariat: rue de Stassart 36, B-1050 Brussels

Foreword

The text of the International Standard from ISO/IEC/JTC 1, Information Technology, of the International Organization for Standardization (ISO) and the International Electrotechnical Commission (IEC) has been taken over as a European Standard by CEN Technical Board.

This European Standard replaces EN 29646-5:1992.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by August 1996, and conflicting national standards shall be withdrawn at the latest by August 1996.

According to the CEN/CENELEC Internal Regulations, the national standards organizations of the following countries are bound to implement this European Standard: Austria, Belgium, Denmark, Finland, France, Germany, Greece, Iceland, Ireland, Italy, Luxembourg, Netherlands, Norway, Portugal, Spain, Sweden, Switzerland and the United Kingdom.

INTERNATIONAL
STANDARD

ISO/IEC
9646-5

Second edition
1994-12-15

**Information technology — Open Systems
Interconnection — Conformance testing
methodology and framework —**

Part 5:

Requirements on test laboratories and clients
for the conformance assessment process

*Technologies de l'information — Interconnexion de systèmes ouverts —
Cadre général et méthodologie des tests de conformité OSI —*

*Partie 5: Spécifications pour laboratoires d'essais et clients pour le
procédé d'évaluation de conformité*



Reference number
ISO/IEC 9646-5:1994(E)

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Contents

	Page
Foreword	iii
Introduction	1
1 Scope	2
2 Normative references	2
3 Definitions	3
4 Abbreviations	3
5 Overview of the conformance assessment process	4
5.1 Introduction	4
5.2 Preparation for testing	4
5.3 Test operations	4
5.4 Test report production	5
6 Preparation for testing	5
6.1 Introduction	5
6.2 Requirements for testability of the System Under Test	5
6.3 Communication between the test laboratory and the client	7
6.4 Documentation for conformance assessment	9
7 Test operations	11
7.1 Introduction	11
7.2 Static conformance review	11
7.3 Test selection	13
7.4 Test parameterization	15
7.5 Verification of Test Coordination Procedures	15
7.6 Test campaign	15
7.7 Negotiated exits during the test campaign	18
8 Test report production	18
8.1 Conformance test reports	18
8.2 System Conformance Test Report (SCTR)	18
8.3 Protocol Conformance Test Report (PCTR)	19
9 Compliance	20
9.1 Test laboratory role	20
9.2 Client role	21
Annex A (normative) System Conformance Test Report (SCTR) template	22
A.1 Introduction	22
A.2 Conformance	22
A.3 SCTR proforma	23
Annex B (normative) Protocol Conformance Test Report (PCTR) template	25
B.1 Introduction	25
B.2 Conformance	25
B.3 PCTR proforma	26
Annex C (informative) Skeleton IXIT Proforma	28
C.1 Introduction	28
C.2 IXIT proforma	29
Figure 1 — Preparation for Testing	6
Figure 2 — Typical Test Operations for a single ATS	12
Figure 3 — Typical Test Operations for Profile Testing	13
Figure 4 — Test selection process and listing test cases in the PCTR proforma	14
Figure 5 — Test report production	19

Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work.

In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1. Draft International Standards adopted by the joint technical committee are circulated to national bodies for voting. Publication as an International Standard requires approval by at least 75 % of the national bodies casting a vote.

International Standard ISO/IEC 9646-5 was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, Subcommittee 21, *Open Systems Interconnection, data management and open distributed processing*.

This second edition cancels and replaces the first edition (ISO/IEC 9646-5:1991) which has been technically revised.

ISO/IEC 9646 consists of the following parts, under the general title *Information technology — Open Systems Interconnection — Conformance testing methodology and framework*:

- *Part 1: General concepts;*
- *Part 2: Abstract Test Suite specification;*
- *Part 3: The Tree and Tabular Combined Notation;*
- *Part 4: Test realization;*
- *Part 5: Requirements on test laboratories and clients for the conformance assessment process;*
- *Part 6: Protocol profile test specification;*
- *Part 7: Implementation conformance statements.*

Annex A and Annex B form an integral part of this part of ISO/IEC 9646. Annex C is for information only.

Introduction

Conformance testing requires mutual understanding and agreement between the test laboratory and the client. This part of ISO/IEC 9646 addresses the roles of both the test laboratory and the client during the conformance assessment process, the need to reach mutual agreements between them, and the requirements on each of them.

The conformance assessment process is the most visible process of conformance testing, where the results of test suite standardization are put to real use. This is also the stage at which there is potentially the most scope for variations to occur. As this part of ISO/IEC 9646 is concerned with the relatively formal process of testing implementations, it is important that the number and nature of such variations is very limited. One of the major objectives of standardizing the conformance testing process is to achieve an acceptable and useful degree of comparability of results of conformance assessments of similar implementations. If this is to be achieved, not only should the same source of tests be used (*i.e.* as specified in appropriate standards), but also the methods of selecting and parameterizing these tests, and presenting their results, should be, to a large extent, the same.

This part of ISO/IEC 9646 addresses the issues which should be taken into account, by both the test laboratory and the client, if the necessary consistency of conformance assessment is to be achieved. The target audiences for this part of ISO/IEC 9646 are the test laboratories and their clients. The test laboratory is responsible for conducting the conformance assessment of an OSI implementation at the request of a client. Typically, test laboratories are

- 1) organizations developing or supplying OSI implementations (first-party test laboratories);
- 2) organizations willing to verify OSI implementations themselves before using them (second-party test laboratories);
- 3) organizations, independent of suppliers or users of OSI implementations, whose business is the testing of such implementations (third-party test laboratories).

Clients may be implementors or suppliers of real open systems or other OSI systems, who are applying for their own implementations to be tested. Alternatively, they may be procurers of those implementations, or any other interested party. The applicability of this part of ISO/IEC 9646 is independent of the relationship between the client and the implementation. During the conformance assessment process, the client is responsible for the conformance statements accompanying the System Under Test (SUT) and for the configuration of the SUT.

Secondary, but related, audiences to whom this part of ISO/IEC 9646 could also be of interest

- a) are test realizers;
- b) organizations responsible for the accreditation of first-, second- or third-party test laboratories;
- c) organizations responsible for the issue of test certificates which are based upon the conformance test reports issued by test laboratories;
- d) readers of conformance test reports.

Within this part of ISO/IEC 9646, the conformance assessment process relating to both the test laboratory and the client is divided into three phases:

- i) preparation for testing;
- ii) test operation;
- iii) production of test reports.

An overview of these three phases is given in clause 5. Clauses 6 to 9 state requirements on how to conduct these three phases.

For the purposes of this part of ISO/IEC 9646, it is assumed that a test laboratory is available and is already organized to provide a conformance assessment service. The test laboratory is assumed to have acquired from a test realizer (whether or not the latter belongs to the same organization) Means of Testing IUTs, for one or more OSI protocols and according to one or more Abstract Test Methods (ATM)s. This part of ISO/IEC 9646 specifies requirements on the test laboratory with respect to the conduct of the conformance assessment process for a particular client.

Similarly, it is assumed that a client is ready to apply for conformance assessment of an OSI implementation. The client is assumed to be familiar with the appropriate standards, with the concepts of conformance testing and Abstract Test Methods, and to be ready to cooperate with the test laboratory. This part of ISO/IEC 9646 specifies requirements on the client with respect to both the testability of the proposed SUT and the conduct of the conformance assessment process.

It is recommended that this part of ISO/IEC 9646 be read in conjunction with ISO/IEC 9646-1.

This part of ISO/IEC 9646 is also to be published as ITU-T Recommendation X.294 but not as identical text.

1 Scope

1.1 This part of ISO/IEC 9646 specifies requirements on both the test laboratory and the client, for the conduct of the conformance assessment process. The requirements are those necessary to achieve comparability of results of tests on similar implementations performed by different test laboratories. Implementations could support one base specification only, multiple base specifications or one or more profile(s) based on one or more base specifications. This part of ISO/IEC 9646 also provides some guidance on the conformance assessment process.

1.2 The requirements include

- a) requirements for the testability of the implementation with respect to Abstract Test Methods;
- b) general requirements on the test laboratory and the client applicable to any conformance assessment process;
- c) exchange of technical and administrative information, including a System Conformance Statement, an Implementation Conformance Statement for each relevant base or profile specification, and Implementation eXtra Information for Testing for each Abstract Test Suite (ATS) to be used for testing each base specification, combination of base specifications or component of each profile, as appropriate, plus for profile testing the Profile Test Specification Summary for each profile;
- d) cooperation between the test laboratory and the client to reach an agreement on the definition of the Implementation Under Test, on the Abstract Test Methods and ATSs to be used and on the conditions under which testing will be performed;
- e) requirements for the structure and content of the conformance test reports that document the results of the conformance assessment process.

1.3 This part of ISO/IEC 9646 is applicable equally to those test laboratories which are affiliated to suppliers or procurers, and those which are independent.

1.4 This part of ISO/IEC 9646 is applicable to conformance assessment of implementations of

- OSI and Integrated Services Digital Network (ISDN) base specifications that comply with the relevant requirements for testability in ISO/IEC 9646-2, and/or
- OSI and ISDN profile specifications that comply with the relevant requirements for testability in ISO/IEC 9646-6, based on
- conformance testing specifications specified in compliance with ISO/IEC 9646-2,
- and if appropriate based on Profile Test Specification Summaries and Profile Specific Test Specifications specified in compliance with ISO/IEC 9646-6,

and using Means of Testing (MOT) in compliance with ISO/IEC 9646-4.

1.5 The following are outside the scope of this part of ISO/IEC 9646:

- a) the production of diagnostic trace information, additional to that in the conformance log, resulting from testing performed by the test laboratory, and the supply to the client;
- b) aspects of test laboratory operations which are not specific to conformance testing implementations of OSI base specifications and profiles;
- c) accreditation of test laboratories;
- d) certification of implementations of OSI protocols.

2 Normative references

The following standards contain provisions which, through reference in this text, constitute provisions of this part of ISO/IEC 9646. At the time of publication, the editions indicated were valid. All standards are subject to revision, and parties to agreements based on this part of ISO/IEC 9646 are encouraged to investigate the possibility of applying the most recent editions of the standards indicated below. Members of IEC and ISO maintain registers of currently valid International Standards.

ISO 7498:1984, *Information processing systems — Open Systems Interconnection — Basic Reference Model*.

[See also CCITT Recommendation X.200 (1984)].

ISO/TR 8509:1987, *Information processing systems — Open Systems Interconnection — Service conventions*.

[See also CCITT Recommendation X.210 (1988)].

ISO/IEC 9646-1:1994, *Information technology — Open Systems Interconnection — Conformance testing methodology and framework — Part 1: General concepts.*

(See also ITU-T Recommendation X.290 — ¹⁾)

ISO/IEC 9646-2:1994, *Information technology — Open Systems Interconnection — Conformance testing methodology and framework — Part 2: Abstract Test Suite specification.*

(See also ITU-T Recommendation X.291 — ¹⁾)

ISO/IEC 9646-3:1992, *Information technology — Open Systems Interconnection — Conformance testing methodology and framework — Part 3: The Tree and Tabular Combined Notation (TTCN).*

[See also ITU-T Recommendation X.292 (1993)]

ISO/IEC 9646-3 Amd 1: — ¹⁾, *Information technology — Open Systems Interconnection — Conformance testing methodology and framework — Part 3: The Tree and Tabular Combined Notation — Amendment 1: TTCN extensions.*

ISO/IEC 9646-4:1994, *Information technology — Open Systems Interconnection — Conformance testing methodology and framework — Part 4: Test realization.*

(See also ITU-T Recommendation X.293 — ¹⁾)

ISO/IEC 9646-6:1994, *Information technology — Open Systems Interconnection — Conformance testing methodology and framework — Part 6: Protocol profile test specification.*

(See also ITU-T Recommendation X.295 — ¹⁾)

ISO/IEC 9646-7: — ¹⁾, *Information technology — Open Systems Interconnection — Conformance testing methodology and framework — Part 7: Implementation Conformance Statements.*

(See also ITU-T Recommendation X.296 — ¹⁾)

3 Definitions

For the purposes of this part of ISO/IEC 9646, all the definitions given in ISO/IEC 9646-1 apply. The definitions in this clause also apply to this part of ISO/IEC 9646.

3.1

client checklist

a record of test-related information supplied to the test laboratory by the client during the test preparation phase of the conformance assessment process

3.2

client test manager

the person identified by the client organization as being responsible for all matters relating to the conformance testing of the IUT

3.3

negotiated exit (from the conformance assessment process)

a point in time at which the test laboratory and the client can mutually decide to terminate the conformance assessment process

3.4

operator of the system under test [SUT operator]

the person or persons designated by the client organization as being responsible for operation of the SUT during conformance testing

3.5

test laboratory checklist

a record of test-related information supplied to the client by the test laboratory during the test preparation phase of the conformance assessment process

3.6

test laboratory manager

the person identified by the test laboratory as being responsible for all matters relating to test laboratory operations

3.7

test operator

the person or persons designated by the test laboratory as being responsible for running conformance tests against the IUT

4 Abbreviations

For the purposes of this part of ISO/IEC 9646, the following abbreviations defined in ISO/IEC 9646-1:1994, clause 4 apply:

ASP:	abstract service primitive
ATM:	abstract test method
ATS:	abstract test suite
BIT:	basic interconnection test
ETS:	executable test suite
ICS:	implementation conformance statement
IUT:	implementation under test
IXIT:	implementation extra information for testing
MOT:	means of testing

¹⁾ To be published.