

RENEWABLE ENERGY

August 2009

GENERAL PROVISIONS

- T-100** **ARE OPERATIONS CONDUCTED IN ACCORDANCE WITH LEASE STIPULATIONS?**
Authority: 30 CFR 285.100 **Enforcement Action:** W/C/S
INSPECTION PROCEDURE:
Verify that operations are being conducted in accordance with the lease stipulation.
IF NONCOMPLIANCE EXISTS:
Issue a warning (W) INC when the violation of the stipulation poses no immediate danger to personnel, equipment, or the environment.
Issue a component shut-in (C) INC for a specific piece of equipment or location when the violation of the stipulation poses an immediate danger to personnel, equipment, or the environment and it can be shut-in without affecting the overall safety of the facility.
Issue a facility shut-in (S) INC when the violation of the stipulation poses an immediate danger to the entire facility, personnel, or the environment and the specific piece of equipment or location cannot be shut-in without affecting the overall safety of the facility.
INSPECTION COUNT/ INC COUNT:
Enter one item checked/ issue one INC per facility inspected.
- T-101** **DOES THE LESSEE CONDUCT ALL ACTIVITIES IN A SAFE MANNER?**
Authority: 30 CFR 285.105 **Enforcement Action:** W/C/S
INSPECTION PROCEDURE:
Observe all activities for unsafe and/or unworkman like practices, procedures, or operations covering safety.
IF NONCOMPLIANCE EXISTS:
Issue a warning (W) INC when the situation poses no immediate danger to personnel or equipment.
Issue a component shut-in (C) INC for a specific piece of equipment or location when it is found to be part of an unsafe situation if it poses an immediate danger to personnel or other equipment and it can be shut-in without affecting the overall safety of the facility.
Issue a facility shut-in (S) INC when the unsafe situation poses an immediate danger to the entire facility or personnel and the specific piece of equipment or location cannot be shut-in without affecting the overall safety of the facility.
INSPECTION COUNT/ INC COUNT:
Enter one item checked/ issue one INC per facility, per enforcement action.
- T-102** **DOES THE OPERATOR ENSURE ACTIVITIES AUTHORIZED IN THIS PART ARE CARRIED OUT IN A MANNER THAT PROVIDES FOR SAFETY?**
Authority: 30CFR 285.102(a)(1) **Enforcement Action:** W/C/S
INSPECTION PROCEDURE:
1. Observe all operations to assure the safety of personnel and that other safety equipment, such as fall-arrest equipment, is in use as necessary.
2. Observe all areas of the facility for potential health, safety, or fire hazards.
3. Observe all areas of the facility, including the water around the facility, for unsafe accumulations of oil and gas.
4. Observe the overall facility and general housekeeping for unsafe conditions.
IF NONCOMPLIANCE EXISTS:
Issue a warning (W) INC when the situation poses no immediate danger to personnel, equipment, or the environment.
Issue a component shut-in (C) INC for a specific piece of equipment or location when it is found to be part of an unsafe situation and poses an immediate danger to personnel, equipment, or the environment.
Issue a facility shut-in (S) INC when the unsafe situation poses an immediate danger to the entire facility or personnel, and the specific piece of equipment or location cannot be shut-in without affecting the overall safety of the facility.
INSPECTION COUNT/ INC COUNT:
Enter one item checked/ issue one INC per facility, per enforcement action.

T-103

DOES THE OPERATOR ENSURE ACTIVITIES AUTHORIZED IN THIS PART ARE CARRIED OUT IN A MANNER THAT PROVIDES FOR PROTECTION OF THE ENVIRONMENT?

Authority: 285.102(2)

Enforcement Action: W/C/S

INSPECTION PROCEDURE:

Visually check the waters surrounding the facility when en route to, approaching, departing, or passing a facility by boat, helicopter, or fixed wing aircraft. Look for sheens, slicks, wastes, and other pollutants originating from the facility, risers, or pipelines. During the facility inspection, check the water for signs of any pollutants.

IF NONCOMPLIANCE EXISTS

Issue a warning (W) INC if:

The cause of the discharge has been identified and corrected prior to the inspection or observation, and no further pollution is occurring.

Issue a component shut-in (C) INC if:

A specific component has been determined to be the cause of pollution and the pollution is ongoing at the time of the inspection or observation.

Issue a facility shut-in (S) INC if:

More than one specific component has been determined to be the cause of the pollution and the pollution is ongoing at the time of the inspection or observation.

INSPECTION COUNT/ INC COUNT:

Enter one item checked/ issue one INC per facility.

T-104

DOES THE OPERATOR ENSURE ACTIVITIES AUTHORIZED IN THIS PART ARE CARRIED OUT IN A MANNER THAT PROVIDES FOR PREVENTION OF WASTE?

Authority: 285.102(3)

Enforcement Action: W/C/S

Verify that lessee's operating procedures includes written guidance that describe the requirements for proper disposal of materials or by-products to the environment.

IF NONCOMPLIANCE EXISTS

Issue a warning (W) INC if:

The cause of the discharge has been identified and corrected prior to the inspection or observation, and no further pollution is occurring.

Issue a component shut-in (C) INC if:

A specific component has been determined to be the cause of pollution and the pollution is ongoing at the time of the inspection or observation.

Issue a facility shut-in (S) INC if:

More than one specific component has been determined to be the cause of the pollution and the pollution is ongoing at the time of the inspection or observation.

INSPECTION COUNT/ INC COUNT:

Enter one item checked/ issue one INC per facility.

T-105

DOES THE LESSEE MAINTAIN AND MAKE RECORDS AVAILABLE FOR INSPECTION BY MMS PERSONNEL?

Authority: 30 CFR 285.602

Enforcement Action: W

714

INSPECTION PROCEDURE:

1. Verify that Lessee maintains and provides to MMS upon request all data and information related to compliance with required terms and conditions of your SAP, COP, or GAP.
2. Verify the availability of all records relative to the operation and maintenance of the facility.

IF NONCOMPLIANCE EXISTS:

Issue a warning (W) INC when the lessee:

1. Denies access to any facility covered by the lease or regulations.
2. Does not make pertinent records available for inspection.

INSPECTION COUNT/ INC COUNT:

Enter one item checked/ issue one INC per report audited.



Documents
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SITE ASSESSMENT PLAN (SAP)

T-106

ARE ACTIVITIES CONDUCTED IN ACCORDANCE WITH THE SITE ASSESSMENT PLAN (SAP) WHICH HAS BEEN APPROVED BY THE MMS?

Authority: 30 CFR 285.617(b)

INSPECTION PROCEDURE:

Verify that:

- 1) Operations are being conducted in accordance with Lessee's approved SAP, and that it is available for review during the audit.
- 2) Verify that an "approval letter" from the MMS concerning acceptance of the "SAP" is available for review.

IF NONCOMPLIANCE EXISTS:

Issue a warning (W) INC when the situation poses no immediate danger to personnel or equipment. Issue a component shut-in (C) INC for a specific piece of equipment or location when it is found to be part of an unsafe situation if it poses an immediate danger to personnel or other equipment and it can be shut-in without affecting the overall safety of the facility.

Issue a facility shut-in (S) INC when the unsafe situation poses an immediate danger to the entire facility or personnel and the specific piece of equipment or location cannot be shut-in without affecting the overall safety of the facility.

INSPECTION COUNT/ INC COUNT:

Enter one item checked/ issue one INC per facility, per enforcement action.

CONSTRUCTION AND OPERATION PLAN (COP)

T-107

ARE OPERATIONS CONDUCTED IN ACCORDANCE WITH THE CONSTRUCTION AND OPERATIONS PLAN (COP) WHICH HAS BEEN APPROVED BY THE MMS?

Authority: 30 CFR 285.620

Enforcement Action: W/C/S

INSPECTION PROCEDURE:

Verify that:

1. Operations are being conducted in accordance with Lessee's approved COP, and that it is available for review during the audit.
- 3) Verify that an "approval letter" from the MMS concerning acceptance of the "COP" is available for review.

IF NONCOMPLIANCE EXISTS:

Issue a warning (W) INC when the situation poses no immediate danger to personnel or equipment. Issue a component shut-in (C) INC for a specific piece of equipment or location when it is found to be part of an unsafe situation if it poses an immediate danger to personnel or other equipment and it can be shut-in without affecting the overall safety of the facility.

Issue a facility shut-in (S) INC when the unsafe situation poses an immediate danger to the entire facility or personnel and the specific piece of equipment or location cannot be shut-in without affecting the overall safety of the facility.

INSPECTION COUNT/ INC COUNT:

Enter one item checked/ issue one INC per facility, per enforcement action.

GENERAL ACTIVITY PLAN (GAP)

T-108

DOES THE LESSEE'S GENERAL ACTIVITIES PLAN (GAP) INCLUDE REQUIREMENTS FOR LIMITED LEASES, ROW GRANTS AND RUE GRANTS?

**Authority: 30 CFR 285.640
285.641
650**

Enforcement Action: W/C/S

INSPECTION PROCEDURE

Verify that:

1. GAP describes all proposed construction, activities, and conceptual decommissioning plans for all planned facilities, including testing of technology devices and onshore and support facilities that Lessee will construct and use for their project, including any project easements for the assessment and development of limited lease or grant.
2. MMS approved the COP before operator began any of the approved activities on the lease.

IF NONCOMPLIANCE EXISTS:

Issue a warning (**W**) INC when the situation poses no immediate danger to personnel or equipment. Issue a component shut-in (**C**) INC for a specific piece of equipment or location when it is found to be part of an unsafe situation if it poses an immediate danger to personnel or other equipment and it can be shut-in without affecting the overall safety of the facility.

Issue a facility shut-in (**S**) INC when the unsafe situation poses an immediate danger to the entire facility or personnel and the specific piece of equipment or location cannot be shut-in without affecting the overall safety of the facility.

INSPECTION COUNT/ INC COUNT:

Enter one item checked/ issue one INC per facility, per enforcement action.

T-109

DID THE LESSEE NOTIFY MMS IN WRITING BEFORE CONDUCTING ANY ACTIVITIES NOT DESCRIBED IN APPROVED GENERAL ACTIVITIES PLAN (GAP)?

Authority: 30 CFR 285.655

Enforcement Action: W/C/S

INSPECTION PROCEDURE:

1. Verify that lessee notified MMS in writing before conducting any activities not described in their approved GAP.
2. Verify that Lessee describes in detail the type of activities they are proposing to conduct.

IF NONCOMPLIANCE EXISTS:

Issue one warning (**W**) INC for an audit of one or more activities or operations on a facility if paperwork has not been submitted within the required time frame and activity or operation is not currently being conducted.

Issue one component shut-in (**C**) INC for an audit of one or more activities or operations on a facility if the activity or operation is being conducted at the time of the review without notifying MMS.

INSPECTION COUNT/ INC COUNT:

Enter one item checked/ issue one INC per facility.