

Doc 9944



Guidelines on Passenger Name Record (PNR) Data

Approved by the Secretary General
and published under his authority

First Edition — 2010

International Civil Aviation Organization

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Published in separate English, Arabic, Chinese, French, Russian
and Spanish editions by the
INTERNATIONAL CIVIL AVIATION ORGANIZATION
999 University Street, Montréal, Quebec, Canada H3C 5H7

For ordering information and for a complete listing of sales agents
and booksellers, please go to the ICAO website at www.icao.int

Doc 9944, *Guidelines on Passenger Name Record (PNR) Data*
Order Number: 9944
ISBN 978-92-9231-625-9

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AMENDMENTS

Amendments are announced in the supplements to the *Catalogue of ICAO Publications*; the Catalogue and its supplements are available on the ICAO website at www.icao.int. The space below is provided to keep a record of such amendments.

RECORD OF AMENDMENTS AND CORRIGENDA

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FOREWORD

Note.— Throughout these guidelines, the use of the male gender should be understood to include male and female persons.

1. In the present climate of intensified security controls, it is recognized that modern facilitation tools such as machine readable passports (MRPs) and advance passenger information (API) systems enhance overall the security of international civil aviation. In recent years, the level of interest in using API as a security measure has increased. Some States have deemed it necessary, in order to combat terrorism and to protect their borders, to go beyond the API requirements and to require additional data relating to passengers to be stored in the reservation and other such systems of aircraft operators.

2. This issue of collection, by States, of Passenger Name Record (PNR) data was first raised in ICAO at the Twelfth Session of the Facilitation Division held in Cairo, Egypt, from 22 March to 1 April 2004. The Division adopted Recommendation B/5 that reads as follows:

It is recommended that ICAO develop guidance material for those States that may require access to Passenger Name Record (PNR) data to supplement identification data received through an API system, including guidelines for distribution, use and storage of data and a composite list of data elements [that] may be transferred between the operator and the receiving State.

3. In June 2004, pursuant to this recommendation, the Air Transport Committee requested the Secretary General to establish a Secretariat study group to develop guidelines on PNR data transfer. The Council, in endorsing Recommendation B/5, directed that these guidelines were to be submitted early in 2005.

4. In March 2005, the ICAO Council adopted the following Recommended Practice for inclusion in Annex 9 to the Chicago Convention — *Facilitation*:

Recommended Practice.— *Contracting States requiring Passenger Name Record (PNR) access should conform their data requirements and their handling of such data to guidelines developed by ICAO.*

5. In April 2006, these guidelines were published in Circular 309.

6. In 2008, following a recommendation made by the Fifth meeting of the Facilitation Panel (FALP), a working group was established to revise, as appropriate, Circular 309 in light of recent global developments on the issue of PNR data transfer. The working group presented its results to the Sixth meeting of the FALP, held in Montréal in May 2010. The Panel agreed to the final version of the revised guidelines as contained in this manual.

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