

IEEE Standard for Local and metropolitan area networks— Port-Based Network Access Control Amendment 2: YANG Data Model

IEEE Computer Society

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IEEE Std 802.1Xck™-2018
(Amendment to IEEE Std 802.1X™-2010
as amended by IEEE Std 802.1Xbx™-2014)

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**IEEE Standard for
Local and metropolitan area networks—
Port-Based Network Access Control
Amendment 2: YANG Data Model**

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IEEE Computer Society**

Approved 27 September 2018
IEEE-SA Standards Board

Abstract: The YANG data model specified in this amendment to IEEE Std 802.1X™-2010 allows configuration and status reporting for port-based network access control, in the scenarios described in Clause 7 of this standard and Clause 11 of IEEE Std 802.1AE™-2018, using the information model previously specified in this standard.

Keywords: amendment, authorized port, confidentiality, data model, data origin authenticity, IEEE 802.1X™, IEEE 802.1Xck™, information model, integrity, LANs, local area networks, MAC Bridges, MAC security, MAC Service, MANs, metropolitan area networks, port-based network access control, secure association, security, transparent bridging, YANG

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Marina Gutierrez	Donald R. Pannell	Nader Zein
Stephen Haddock	Walter Pieniac	Helge Zinner
Mark Hantel	Michael Potts	Juan Carlos Zuniga
	Karen Randall	

The following members of the individual balloting committee voted on this amendment. Balloters may have voted for approval, disapproval, or abstention.

Thomas Alexander	Rita Horner	Clinton Powell
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Stefan Aust	Osamu Ishida	Alon Regev
Harry Bims	Atsushi Ito	Maximilian Riegel
David Black	Raj Jain	Robert Robinson
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Introduction

This introduction is not part of IEEE Std 802.1Xck-2018, IEEE Standard for Local and metropolitan area networks—Port-Based Network Access Control—Amendment 2: YANG Data Model.

This second amendment to IEEE Std 802.1X™-2010 specifies a YANG data model that allows configuration and status reporting for port-based network access control, in the scenarios described in Clause 7 of this standard and Clause 11 of IEEE Std 802.1AE™-2018, using the information model previously specified in this standard.

The first edition of IEEE Std 802.1X was published in 2001. The second edition, IEEE Std 802.1X-2004, clarified areas related to mutual authentication and the interface between the IEEE 802.1X state machine and state machines specified by the Extensible Authentication Protocol (EAP) and by IEEE Std 802.11™ in support of IEEE Std 802.1X.

The third edition, IEEE Std 802.1X-2010, adds authenticated key agreement in support of IEEE 802.1AE™ MAC Security (MACsec) and clarifies and generalizes the relationship between the common architecture specified for port-based network access control and the functional elements and protocols that support that architecture as specified in IEEE Std 802.1X, other IEEE 802® standards, and IETF RFCs. Further changes update the standard to reflect best current practice, insisting, for example, on mutual authentication methods and using such methods in examples. A greater emphasis is placed on the security of systems accessing the network, as well as on the security of the network accessed, and some prior provisions, with a more comprehensive treatment of segregating and limiting connectivity to unauthenticated systems. Applications of port-based network access that use MACsec and/or MACsec Key Agreement protocol (MKA) are described.

Every effort was made to ensure that systems conformant to IEEE Std 802.1X-2010 will interoperate, without prior configuration, with implementations conforming to IEEE Std 802.1X-2004 and IEEE Std 802.1X-2001. However, it is anticipated that claims of conformance with respect to some existing implementations, not needing to support IEEE Std 802.1AE and already conforming to best current practice as of 2010, will continue to refer to IEEE Std 802.1X-2004. IEEE Std 802.1X-2010 includes a number of improvements to the specification of the port access control protocol (PACP) state machines and their relationship to EAP methods and state machines.

IEEE Std 802.1Xbx-2014 is the first amendment to IEEE Std 802.1X-2010. Its MKA extensions make additional security and manageability capabilities possible based on the changes made by IEEE Std 802.1AEbw™-2013 that added extended packet numbering Cipher Suites to IEEE Std 802.1AE-2006. Secure connectivity association (CA) members can temporarily suspend MKA operation without causing protocol timeouts that would disrupt secure data transfer; thus, in-service control plane software can be upgraded.

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IEEE Standard for
Local and metropolitan area networks—

Port-Based Network Access Control

Amendment 2: YANG Data Model

[This amendment is based on IEEE Std 802.1X™-2010 as amended by IEEE Std 802.1Xbx™-2014.]

NOTE—The editing instructions contained in this amendment define how to merge the material contained therein into the existing base standard and its amendments to form the comprehensive standard.

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1. Overview

1.3 Introduction

Change the fourth paragraph of 1.3 as follows:

Use of the Controlled Port can be restricted by access controls bound to the results of authentication and distributed via AAA protocols such as Diameter (IETF RFC 6733 [B26]) or RADIUS (IETF RFC 2865 [B5]). Attributes supporting certain port-based network access control scenarios are described in IETF RFC 3580 [B13], IETF RFC 4675 [B17], ~~and~~ IETF RFC 4849 [B18], and IETF RFC 7268 [B28].²

¹ Notes in text, tables, and figures are given for information only and do not contain requirements needed to implement the standard.

² The numbers in brackets preceded by the letter B correspond to the numbers in the bibliography in Annex B.

1.4 Provisions of this standard

Change 1.4 as follows:

The scope (1.1) of this standard is addressed by detailed specification of the following:

- a) The principles of port-based network access control operation, identifying the protocol components that compose a port-based network access control implementation (Clause 6).
- b) A PAE component, that supports authentication, authorization, and the key agreement functionality required by IEEE Std 802.1AE to allow a MAC Security Entity (SecY) to protect communication through a port (6.3, Clause 12).
- c) A Port Access Controller (PAC) component, that controls communication where the attached LAN is deemed to be physically secure and provides point-to-point connectivity (6.4).
- d) The key hierarchy used by the PAE and SecY (6.2).
- e) The use of EAP by PAEs to support authentication and authorization using a centrally administered Authentication or AAA Server (Clause 8).
- f) An encapsulation format, EAPOL, that allows EAP Messages and other protocol exchanges to support authentication and key agreement to be carried directly by a LAN MAC service (Clause 11).
- g) A MAC Security Key Agreement protocol (MKA) that the PAE uses to discover associations and agree the keys used by a SecY (Clause 9).
- h) An EAPOL Announcement protocol that allows a PAE to indicate the availability of network services, helping other PAEs to choose appropriate credentials and parameters for authentication and network access (Clause 10).
- i) Requirements for management of port-based access control, identifying the managed objects and defining the management operations for PAEs (12.9).
- j) SMIPv2 MIB objects that can be used with SNMPv3 to manage PAEs (Clause 13).
- k) [YANG configuration and operational state models for PAE and PAE System components \(Clause 14\).](#)

The use of port-based network access control in a number of applications is described (Clause 7) to illustrate the use of these components and the requirements taken into account in their specification. To facilitate migration to this standard, Annex F (informative) uses the same concepts to describe the architectural modeling of unsecured multi-access LANs, a widely deployed form of authenticated port-based network access control that does not meet the security requirements of this standard. Administrative connectivity to unauthenticated devices, as required for use of industry standard ‘Wake-on-LAN’ (WoL) protocols, is described for the scenarios of Clause 7; Annex E (informative) provides background information on WoL.

This standard defines conformance requirements (Clause 5) for the implementation of the following:

- l) ~~k)~~ Port Access Entities (PAEs)
- m) ~~j)~~ Port Access Controllers (PACs)

Annex A provides PICS (Protocol Implementation Conformance Statement) Proformas for completion by suppliers of implementations that are claimed to conform to this standard.

The basic architectural concepts, such as ‘port’, on which this standard relies are reviewed in [IEEE Std 802.1AC-Annex D](#).

This standard uses and selects options provided by EAP and AAA protocol specifications, but does not modify those specifications (see Clause 2 for references). Annex D (informative) provides EAP and RADIUS usage guidelines.

The specification and conformance requirements for association discovery and key agreement for IEEE 802.11 Wireless LANs are outside the scope of this standard (see IEEE Std 802.11). That standard makes use of the PAE specified by this standard.

2. Normative references

Change the list of normative references in Clause 2 as follows:

[iana-if-type YANG module, Internet Assigned Numbers Authority.](#)³

[IEEE Std 802[®], IEEE Standard for Local and Metropolitan Area Networks: Overview and Architecture.](#)^{4,5}

~~IEEE Std 802.1D[™], IEEE Standard for Local and Metropolitan Area Networks: Media access control (MAC) Bridges.~~^{1,2}

[IEEE Std 802d[™], IEEE Standard for Local and Metropolitan Area Networks: Overview and Architecture Amendment 1: Allocation of Uniform Resource Name \(URN\) Values in IEEE 802 Standards.](#)

IEEE Std 802.1Q[™], IEEE Standard for Local and Metropolitan Area Networks: Bridges and Bridged Networks.

IEEE Std 802.1AB[™], IEEE Standard for Local and Metropolitan Area Networks: Station and Media Access Control Connectivity and Discovery.

[IEEE Std 802.1AC[™], IEEE Standard for Local and metropolitan area networks—Media Access Control \(MAC\) Service Definition.](#)

IEEE Std 802.1AE[™], IEEE Standard for Local and Metropolitan Area Networks: Media Access Control (MAC) Security.

IEEE Std 802.1AE[™]-2006, IEEE Standard for Local and Metropolitan Area Networks: Media Access Control (MAC) Security.

IEEE Std 802.1AEbn[™]-2011, IEEE Standard for Local and Metropolitan Area Network—Media Access Control (MAC) Security—Amendment 1: Galois Counter Mode—Advanced Encryption Standard—256 (GCM-AES-256) Cipher Suite.

IEEE Std 802.1AEbw[™]-2013, IEEE Standard for Local and Metropolitan Area Networks: Media Access Control (MAC) Security—Amendment 2: Extended Packet Numbering.

[IEEE Std 802.1AEcg[™], IEEE Standard for Local and Metropolitan Area Networks: Media Access Control \(MAC\) Security—Amendment 3: Ethernet Data Encryption devices.](#)

IEEE Std 802.1AX[™], IEEE Standard for Local and Metropolitan Area Networks: Link Aggregation.

IEEE Std 802.2[™], 1998 Edition [ISO/IEC 8802-2: 1998], Information technology—Telecommunications and information exchange between systems—Local and metropolitan area networks—Specific requirements—Part 2: Logical link control.

IEEE Std 802.3[™], IEEE Standard for Ethernet.

IEEE Std 802.11[™], IEEE Standard for Information technology—Telecommunications and information exchange between systems—Local and metropolitan area networks—Specific requirements—Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) specifications.

³ <https://www.iana.org/assignments/iana-if-type/iana-if-type.xhtml>.

⁴ IEEE publications are available from The Institute of Electrical and Electronics Engineers (<https://www.standards.ieee.org>).

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~~IEEE Std 802.17™-2004 IEEE Standard for Information Technology Telecommunications and information exchange between systems—Local and metropolitan area networks—Specific requirements—Part 17: Resilient packet ring (RPR) access method and physical layer specifications.~~

IEEE Std 802.1AR™, IEEE Standard for Local and Metropolitan Area Networks: Secure Device Identifier.

IETF RFC 2578, STD 58, Structure of Management Information for Version 2 of the Simple Network Management Protocol (SNMPv2), McCloghrie, K., Perkins, D., Schoenwaelder, J., Case, J., Rose, M., and Waldbusser, S., April 1999.⁶

IETF RFC 2579, STD 58, Textual Conventions for Version 2 of the Simple Network Management Protocol (SNMPv2), McCloghrie, K., Perkins, D., Schoenwaelder, J., Case, J., Rose, M., and Waldbusser, S., April 1999.

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IETF RFC 3394, Advanced Encryption Standard (AES) Key Wrap Algorithm, Schaad, J., and Housley, R., September 2002.

IETF RFC 3629, STD 63, UTF-8, a transformation format of ISO 10646, Yergeau, F., November 2003.

~~IETF RFC 4346, The Transport Layer Security (TLS) Protocol Version 1.1, Dierks, T., Rescorla, E., April 2006.~~

IETF RFC 4493, ~~THE~~ The AES-CMAC Algorithm, Song, J. H., Lee, J., and Iwata, T., June 2006.

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[IETF RFC 7170, Tunnel Extensible Authentication Protocol \(TEAP\) Version 1, Zhou, H., Cam-Winget, N., Salowey, J., and Hanna, S., May 2014.](#)

[IETF RFC 7317, A YANG Data Model for System Management, Bierman, A., and Bjorklund, M., August 2014.](#)

[IETF RFC 7950, The YANG 1.1 Data Modeling Language, Bjorklund, M., editor, August 2016.](#)

[IETF RFC 8343, A YANG Data Model for Interface Management, Bjorklund, M., March 2018.](#)

ISO/IEC 18033-3: 2010, Information technology—Security techniques—Encryption algorithms—Part 3: Block ciphers.⁷

NIST Special Publication 800-108, Recommendation for Key Derivation Using Pseudorandom Functions, Chen, L., November 2008.⁸

⁶ IETF RFCs are available from the Internet Engineering Task Force (<https://www.ietf.org/rfc.html>).

⁷ ISO and ISO/IEC documents are available from the International Organization of Standardization (<http://www.iso.org>) and from the International Electrotechnical Commission (<http://www.iec.ch>). These documents are also available from the American National Standards Institute (<http://ansi.org>).

⁸ NIST Special Publications are available from the National Institute of Standards and Technology (<https://csrc.nist.gov/>).

3. Definitions

Change the following definitions in Clause 3 as shown:

authentication exchange: ~~The two-party conversation between systems performing an authentication process.~~ A mechanism to verify the identity of an entity by means of information exchange.

NOTE—For example, Extensible Authentication Protocol (EAP) and Simple Authentication and Security Layer (SASL).

Bridge Port: A Port of an ~~IEEE 802.1D or~~ IEEE 802.1Q Bridge.

IEEE 802 Local Area Network (LAN): ~~IEEE 802 LANs (also referred to in the text simply as LANs) are LAN technologies that provide a MAC Service equivalent to that defined in IEEE Std 802.1AC-ISO/IEC 15802-4. IEEE 802 LANs include IEEE Std 802.3 (CSMA/CD), and IEEE Std 802.11 (Wireless), and IEEE Std 802.17 (Resilient Packet Ring).~~

NOTE—IEEE 802 LANs are also referred to in the text of this standard simply as LANs.

Port Identifier: A 16-bit identifier number ~~that is unique within the scope of the address of the port~~ uniquely identifies each of a system's transmit SCs that uses the same MAC address as a component of its SCI.

NOTE—The Port Identifier is not constrained to correspond to any other identifier, index, or port number. There can be more than one SC for a physical port, identifying frames transmitted by separate virtual ports, and more than one SC for a physical or virtual port if that port uses different SCs to transmit frames of different priorities.

Secure Channel Identifier (SCI): A ~~globally~~-unique identifier for a secure channel, comprising a ~~globally unique~~-MAC Address and a Port Identifier, ~~unique within the system allocated that address.~~

NOTE—Key agreement protocols such as MKA are responsible for ensuring that each SCI used with a given SAK is unique where a Cipher Suite requires that for nonce construction, as does the Default Cipher Suite (14.5 of IEEE Std 802.1AE-2018). SCI uniqueness does not rely on MAC Address allocation procedures.

Short Secure Channel Identifier (SSCI): A 32-bit value that is unique for each SCI within the context of all SecYs using a given SAK.

NOTE—IEEE Std 802.1AEbw-2013 specifies the calculation of SSCI and Salt values used by the IEEE 802.1AE GCM-AES-XPB Cipher Suites from other MKA values. IEEE Std 802.1Xck-2018 constrained the order of entries in the MKPDU Live Peer List to facilitate that calculation.

virtual port: A MAC Service or Internal Sublayer service access point (~~D.4~~ IEEE Std 802.1AC) that is created on demand. Virtual ports can be used to provide separate secure connectivity associations over the same LAN.

Insert the following term and definition into Clause 3 in alphabetic order:

YANG: A data modeling language, published as IETF RFC 7950.

5. Conformance

5.3 Conformant systems and system components

Change 5.3 as follows:

This clause (Clause 5) specifies requirements and options for implementation of the following components:

- a) Port Access Entity (PAE, see 6.3, Clause 12) (5.4, 5.5, 5.6–5.15, 5.18, 5.19)
- b) Port Access Controller (PAC, see 6.4) (5.20)

A port for which conformance to this standard is claimed shall implement the mandatory functions of the PAE (5.4) and the mandatory functionality for at least one of the following PAE functions:

- Supplicant (5.6, 5.7)
- Authenticator (5.8, 5.9)
- MACsec Key Agreement (MKA) (5.10, 5.11)

That port may also implement the mandatory functionality for all or any of the following PAE functions:

- Announcement transmission (5.14, 5.15)
- Announcement reception (5.16, 5.17)
- SNMP access to the PAE MIB (5.18, 5.19)
- [Network configuration protocol \(e.g., NETCONF\) access to the YANG configuration and operational state model of the PAE and PAE system \(5.23, 5.24\)](#)

A port that implements Authenticator or MKA functionality may also implement the mandatory functionality for the following PAE function:

- Virtual ports (5.12)

A port may implement the optional functionality for any function for which the mandatory functionality has been implemented.

The operation of one or more MAC Security Entities (as specified by IEEE Std 802.1AE) can be required to secure communication for each port. A port that does not implement a SecY shall implement the PAC (5.20).

Any implementation that is claimed to conform to this standard shall not violate the provisions of 5.22.

5.4 PAE requirements

Change 5.4 as follows:

A PAE shall

- a) Encode, decode, address, and validate EAPOL PDUs as specified in Clause 11.
- ~~b) Transmit group-addressed EAPOL PDUs using one, and only one, of the destination addresses specified in Table 11-1.~~
- ~~b)~~ ~~e)~~ Implement the Logon Process functionality specified in 12.5.
- ~~c)~~ ~~d)~~ Implement the CP state machine as specified in 12.4.
- ~~d)~~ ~~e)~~ Maintain and allow retrieval of the EAPOL frame reception statistics specified in 12.8.1.
- ~~e)~~ ~~f)~~ Maintain and allow retrieval of the EAPOL frame reception diagnostics specified in 12.8.2.

- ~~f)~~ ~~g)~~ Maintain and allow retrieval of the EAPOL frame transmission statistics specified in 12.8.3.
- ~~g)~~ ~~h)~~ Support the system configuration functions specified in 12.9.

A PAE that supports both EAP (Supplicant or Authenticator) and MKA functionality shall

- ~~h)~~ ~~i)~~ Derive a CAK from each EAP MSK, and a corresponding CKN for the corresponding EAP session ID as specified in 6.2.2.

A claim that an implementation conforms to this standard shall specify

- ~~i)~~ ~~j)~~ The group address used to transmit group addressed PDUs. If the implementation can be configured in a way that changes the address used, that configuration shall be specified.

A PAE shall not

- ~~j)~~ ~~k)~~ Use ~~a the~~ MACsec protected Controlled Port controlled by the PAE to transmit EAPOL Packet Types other than EAPOL-Announcement (10.2).

5.10 MKA requirements

Change 5.10 as follows:

A PAE that supports MKA shall

- a) Be capable of maintaining 2 or more simultaneous MKA instances as specified in Clause 9.
- b) Specify the maximum number of simultaneous MKA instances it supports.
- c) Create, delete, and activate MKA participants as specified in 9.13 and 9.16.
- d) Be capable of receiving and using Group CAKs distributed by a Key Server.
- e) Meet the requirements for MKA parameter encoding and for MKPDU validation, encoding, and decoding, specified in 11.11.
- f) Be capable of using 128 bit CAKs and derived keys as specified in 6.2 and 9.3.
- g) Observe the restrictions on the use and disclosure of each CAK and derived keys specified in 6.2 and 9.16.
- h) Protect each distributed CAK and SAK by AES Key Wrap, as specified in 9.8.2 and 9.12.1.
- i) Include the parameters of EAPOL-Announcements in MKPDUs, as specified in 9.13.

A claim that an implementation conforms to this standard and supports MKA shall specify

- j) The MKA Version supported (11.11, Table 11-7, 11.11.3).

5.12 Virtual port requirements

Change the last paragraph of 5.12 as follows:

A PAE implementation that creates virtual ports in a system that bridges frames to and from those ports as specified by ~~IEEE Std 802.1D or~~ IEEE Std 802.1Q shall

- g) Support each of those virtual ports as specified by ~~that standard (IEEE 802.1D or IEEE 802.1Q, as applicable)~~ for each Bridge Port, including support for Spanning Tree Protocol (see 7.6).

Insert the following subclauses (5.23 and 5.24) after 5.22:

5.23 Requirement for YANG data model of a PAE

An implementation that is claimed to conform to the provisions of this standard for providing a YANG data model for configuration and operational state of a PAE shall

- a) Support access to the YANG model specified in Clause 14 using a network configuration protocol (such as NETCONF).
- b) Support the configuration of PAEs within a PAE System using the YANG model (described in Clause 14).
- c) Support the retrieval of operational state information of PAEs within a PAE System using the YANG model (described in Clause 14).

5.24 Options for YANG data model of a PAE

No options are specified for network configuration protocol access to the PAE YANG model.

6. Principles of port-based network access control operation

Change the last paragraph of the introductory text of Clause 6 as follows:

An understanding of architectural concepts common to this and other IEEE 802.1 standards is essential to understanding this standard's specification of port-based network access control. The reader is encouraged to review [Annex D Clause 7 of IEEE Std 802.1AC-2016](#) prior to reading the rest of this standard and needs to be familiar with IEEE Std 802.1AE's description of secure LAN communication architecture including the fundamental concept of a Secure Connectivity Association (CA).

6.1 Port-based network access control architecture

Change the first paragraph of 6.1 as follows:

The system architecture that supports port-based network access control includes the following:

- a) The port, i.e., entities that compose an interface stack supporting a MAC Service access point, through which communication is controlled and secured.
- b) The attached LAN, providing the MAC service to the port's client ([IEEE Std 802.1ACD-6](#)) and its peers.
- c) Mechanisms that define a connectivity association between the port and a peer port or ports.

and, for each port that is a potential peer in secure access controlled communication, a protocol entity or entities that

- d) Possess an authentication credential, is within or attached to the same system as the port, and has a secure relationship with the port and its clients ~~(D-6)~~.
- e) Mutually authenticate peer ports, and whose operation results in
 - 1) Success or failure of the authentication.
 - 2) A token, comprising cryptographic keys and associated data, that can serve as a proof of mutual authentication in subsequent protocols.
 - 3) A binding to authorization data or to a secure channel that can be used to communicate authorization data to the access controlled port and its clients.
- f) Communicate authorization data to the port's clients ~~(D-6)~~, so that each can permit or deny the use of manageable protocol capabilities appropriately.
- g) Use the results of authentication to agree on keys to cryptographically protect communication, creating a secure connectivity association between peer ports.
- h) Protect the data transferred within the secure connectivity association.
- i) Enforce access control based on the success or failure of the authentication.

6.2 Key hierarchy

6.2.2 Using EAP for CAK key derivation

Change the fourth paragraph of 6.2.2 as follows:

For historical reasons MAC addresses, and the 24-bit OUIs assigned so that the assignee could derive a number of MAC addresses, were defined as bit-strings. mac1 and mac2 above are sequences of 6 octets, with the value of first octet derived from the first 8 bits of the 48-bit MAC address string, the second octet from second set of eight bits and so on, each set of 8 bits being taken to represent a binary number with its first bit being the least significant. The value of each octet in the sequence corresponds to that naturally associated with the Hexadecimal Representation of the LAN MAC Address defined in ISO/IEC 10039 (LAN MAC Service Definition) and referenced by IEEE Registration Authority tutorials, and the order of the octets corresponds to the left to right order in that representation. The first bit of the address string is the

Individual/Group address, and the bit order of the address is that originally used for transmission on IEEE 802.3 media. The octet sequence defined to represent the MAC address is used in a number of other IEEE 802.1 standards, including ~~IEEE Std 802.1D and~~ IEEE Std 802.1Q and the value and representation for an address is commonly known as the ‘canonical format’. Users of this specification are warned that the long running ‘endian’ disputes about bit ordering and bit significance mean that some seemingly authoritative and generally available tutorials do reverse the bits of the 48-bit address string in eight bit groups, in order to show the most significant bit to the left (first according to some familiar conventions), though most now retain the bit significance necessary to this specification.

6.3 Port Access Entity (PAE)

6.3.6 Multi-access LANs

Change the note in 6.3.6 as follows:

NOTE—The addressing (individual or group) for each EAPOL Packet Type is specified in ~~Table 11-1 and~~ Table 11-4. For compatibility with prior revisions of this standard, Supplicants attached to IEEE 802.3 networks use only group addresses in the destination address field of EAPOL frames supporting PACP.

6.4 Port Access Controller (PAC)

Change the first paragraph of the introductory text of 6.4 as follows:

The PAC is a protocol-less shim ~~(D.5)~~ (IEEE Std 802.1AC) that provides control over frame transmission and reception by clients attached to its Controlled Port and uses the MAC Service provided by a Common Port. The access control decision is made by the PAE, typically taking into account the success or failure of mutual authentication and authorization of the PAE’s peer(s), and is communicated by the PAE using the LMI to set the PAC’s controlledPortEnabled variable. The PAE itself attaches to an Uncontrolled Port, provided by the PAC to support the authentication exchange prior to authorizing use of the Controlled Port. See Figure 6-6. Either or both of the SecY’s in Figure 6-2 could be replaced with a PAC if cryptographically secured communication between the two systems were not required. A SecY can be configured, using the management controls specified in IEEE Std 802.1AE, to behave exactly like a PAC—thus facilitating interoperability when only one of the communicating systems implements MACsec.

6.4.2 Controlled Port transmission and reception

Change the second paragraph of 6.4.2 as follows:

MAC_Operational (IEEE Std 802.1AC~~D.4~~) for the Controlled Port is set *True* if and only if MAC_Operational for the Common Port is *True* and controlledPortEnabled is set.

6.4.3 PAC management

Change the second paragraph of 6.4.3 as follows:

The following status parameters (IEEE Std 802.1AC~~D.4~~) for the Uncontrolled Port and the Controlled Port are provided (separately) to the user(s) of those ports, and can be read by management:

- MAC_Enabled
- MAC_Operational
- operPointToPointMAC
- adminPointToPointMAC

7. Port-based network access control applications

7.5 Host access with MACsec and a multi-access LAN

Change NOTE 1 and NOTE 3 in the introductory text of 7.5 as follows:

NOTE 1—This standard specifies the procedures necessary to create virtual ports, but the instantiation of such ports within a bridge or router, though easy to envisage, is outside its scope. Creation of such virtual ports within the architecture specified in ~~IEEE Std 802.1D or~~ IEEE Std 802.1Q would require support for transmission of frames received on a physical Bridge Port through that same port if any two virtual ports can be members of the same VLAN.

NOTE 3—This standard makes use of a layered protocol model (see ~~Annex D~~ [IEEE Std 802.1AC](#)), thus allowing the entities and protocols it specifies to be instantiated above a service access point supported by an arbitrary interfaces stack (see Figure 7-18, for example). If a single physical realization of an IEEE 802 LAN MAC were to be identified by more than one MAC Address, a separate MSAP would be provided for each address and the resulting system behavior would, for the purposes of this standard, be the same as that of a collection of separate stations each with a single address.

8. Authentication using EAP

8.11 EAP methods

Insert the following paragraph at the end of the introductory text of 8.11:

A passive adversary between a Supplicant and EAP authenticator can observe any information that an EAP method passes without confidentiality protection. This could be considered a privacy threat to the Supplicant. Some EAP methods (e.g., a “tunneled EAP” method such as TEAP (IETF RFC 7170)) protect the complete contents of the authentication process from a passive adversary.

9. MACsec Key Agreement protocol (MKA)

9.2 Protocol support requirements

9.2.2 SC identification

Change 9.2.2 as follows:

Each SC is identified by an SCI that comprises a ~~globally unique~~ MAC address and a Port Identifier, unique within the system that has been allocated that address.

9.4 MKA transport

9.4.5 Addressing

Change 9.4.5 as follows:

A LAN can be an individual LAN, bounded by the extent of its supporting media access method and media access method procedures, or can be supported by bridges in a Bridged Local Area Network or Virtual Bridged Local Area Network. Interoperability, avoiding redundancy, protecting the infrastructure, and the need to support communication between stations in the network, all necessitate placing restrictions on where, within the interface stack that composes a port ([IEEE Std 802.1ACD-4](#)), SecYs are placed. IEEE Std 802.1AE-2006 Clause 11 specifies the use of MACsec within systems, and those restrictions, but explicitly recognizes that MACsec can be used across, within, and to secure access to a Provider Bridged Network. The destination address used by MKA has to be aligned with the placement of port-based network access control in the interface stack and the corresponding use of MACsec within the protocol stack, and shall be ~~one of the a group addresses specified in Table 11-1 and shall not be an individual address.~~ [Table 11-1 specifies group addresses that support the application scenarios described in this standard \(Clause 7\).](#)

NOTE—Use of a group address that is filtered by bridges, ~~as specified above,~~ and the inclusion of destination and source MAC addresses within the ICV calculation, ~~makes it more difficult to attack~~ [ing](#) MKA from a distance [more difficult](#).

The source address of each MKPDU shall be ~~a globally unique~~ [an](#) individual MAC Address assigned to the port transmitting that MKPDU.

9.8 SAK generation, distribution, and selection

Change the first sentence of the third paragraph of the introductory text of 9.8 as follows:

[If the Current Cipher Suite is not using extended packet numbering,](#) ~~(The~~ Key Server observes the [Key Identifier and](#) Lowest Acceptable PN ~~(LLPN) for the Latest Key for the most recent SAK~~ in use, as transmitted by each CA member [\(the LKI and LLPN if LRX is true, and the OKI and OLPN otherwise; 9.10.1\), and shall distribute a fresh SAK \(subject to the constraints specified in 9.5 and this clause\) if that Key Identifier whenever a participant advertises an LKI that matches the KI of the key currently being most recently distributed and an LLPN—that Lowest Acceptable PN equals or exceeds the constant PendingPNExhaustion.](#)

Change the fifth paragraph and NOTE 3 of the introductory text of 9.8 as follows:

An MKA participant does not retain any record of SAKs used prior to initialization or re-initialization, and uses a fresh MI whenever it is initialized, thus forcing distribution of a fresh SAK whenever it has no record

of prior SAK use. [An MKA participant is re-initialized, or deleted and a fresh participant created, if the associated SCI is changed.](#) An MKA participant accepts only SAKs distributed by Key Servers that are mutually live, i.e., shall not accept an SAK distributed in any MKPDU that does not contain that participant's MI and acceptably recent MN in the Live Peers List.

NOTE 3—Inclusion in the Potential Peers List is sufficient to prove that the Key Server is live, but not that it has recognized the participant as live and updated the distributed key. [Reinitializing and using a new MI if an SCI is changed prevents use of the new SCI in conjunction with a previously distributed SAK, allows the Key Server to check for SCI collision before distributing a fresh SAK, and means that the Key Server and other participants are aware of the change when calculating SSCI values.](#)

9.10 SAK installation and use

Change the first two paragraphs of the introductory text of 9.10 as follows:

Each CA member's KaY uses the LMI supported by the SecY (IEEE Std 802.1AE-2006, 10.7) to create a receive SC for each of its live peers' [SCs](#) and a SA for each receive SC and its own [transmit SC\(s\)](#) using the distributed SAK and AN.

[NOTE 1—As specified in IEEE Std 802.1AEg-2016, an MKA participant is associated with each transmit SC even if multiple transmit SCs \(each with its own SCI\) are associated with one KaY. Only one of those participants can act as the Key Server at a time. MIs for the others are included in its Live Peer List.](#)

Each ~~CA member participant~~ advertises the status of the receive SAs and its transmit SA. The Key Server will enable transmission for its transmit SA, immediately if it was not previously transmitting or receiving, and when all live peers report that they can receive using the corresponding SAK and AN otherwise. The other ~~CA members participants~~ enable transmission when they see that the Key Server is transmitting using the SAK and AN. See the CP state machine (12.4, Figure 12-2).

Change the note (“Enforcement of”) after the fourth paragraph of the introductory text of 9.10 to “NOTE 2.”

Insert the following paragraphs after NOTE 2 in the introductory text of 9.10:

When MKA is used in conjunction with an XPN Cipher Suite, an SSCI is required for each SA. As specified in IEEE Std 802.1AE, the SA with the numerically greatest SCI uses the SSCI value 0x00000001, that with the next to the greatest SCI uses the SSCI value 0x00000002, and so on. The value 0x00000000 is not used.

NOTE 3—The XPN Cipher Suites and the derivation of SSCI values from SCI values were first specified in IEEE Std 802.1AEbw-2013.

PAEs that implement MKA Version 3 (or higher) order the MIs in the Live Peer List of transmitted MKPDUs. The MI associated with the numerically greatest SCI occurs first in the list, that with the next to greatest SCI occurs second, and so on. The Key Server's own MI is not included in the Live Peer List. The SSID of the Key Server is also encoded in each MKPDU used to distribute an SAK for an XPN Cipher Suite and indicates not only the value to be used by the Key Server, but also the position that it would otherwise occupy in the list. This information allows a receiving participant to determine the SCI-to-SSCI mappings for the transmit SAs used by it and each of the participants in the MKPDU's Live Peer List that are also in its own Live Peer List, even if those lists differ as a result of MKPDU loss or delay.

On receipt of a Version 3 or higher MKPDU distributing an SAK for an XPN Cipher Suite, a PAE implementing MKA Version 3 determines SSCI values as follows. The Key Server's SA takes the SSCI value explicitly encoded in the Live Peer List in the MKPDU. The SSCI values are then assigned in order to each of the SAs. The MI values that have a position ordinally lower than the Key Server's SSCI are given their ordinal value. The MI values that have a position in the Live Peer List of the Key Server's SSCI or greater

are given an SSCI of their ordinal value + 1. So, for example, if the Key Server's transmit SA's SSCI is 0x00000002 and there are three MI values in the MKPDU Live Peer List, then their transmit SA's SSCIs are 0x00000001, 0x00000003, and 0x00000004, respectively. A receive SA is not created for any MI that is not in the Live List of a participant receiving a distributed SAK, but the ordered Live List from the MKPDU distributing that SAK is retained so the receive SA can be created when an MKPDU with that MI, proving liveness and conveying an SCI, is received.

NOTE 4—Since SSCIs are assigned sequentially and MKPDUs can convey information for only a limited number of participants, only the least significant octet of the Key Server's SSCI is encoded in MKPDUs; each of the more significant octets has the value 0x00.

9.10.1 MKPDU application data

Change the beginning of the first paragraph of 9.10.1 as follows:

Each CA member encodes the following information in every MKPDU transmitted, for ~~both~~ the latest ~~(most recent) AN in use or about to be used~~, and the old ~~(prior) AN~~:

Change the second paragraph of 9.10.1 as follows:

A fixed format encoding is ~~supported by an 'In Service' flag, indicating that the fields for the respective SA are being used~~. For convenience, these fields can be identified by the names and acronyms ~~Latest In Service/Old In Service (LIS/OIS)~~, Latest AN/Old AN (LAN/OAN), Latest Key Identifier/Old Key Identifier (LKI/OKI), Lowest Acceptable PN for the Latest Key/Lowest Acceptable PN for the Old Key (LLPN/OLPN), Latest Receiving/Old Receiving (LRX/ORX), Latest Transmitting/Old Transmitting (LTX/OTX).

Insert the following paragraph at the end of 9.10.1:

PAEs that implement MKA Version 3 (or higher) order the MIs in the Live Peer List of transmitted MKPDUs as specified above (9.10) and encode the Key Server's transmit SA's SSCI in every MKPDU used to distribute an SAK for an XPN Cipher Suite.

9.11 Connectivity change detection

Change 9.11 as follows:

Changes in CA membership represent changes in the topology of a network that would be accompanied by link level indications, if the connectivity association represented by the CA were a LAN supported directly by media access method specific procedures, modeled by changes in the MAC_Operational and OperPointToPointMAC status parameters (IEEE Std 802.1D ~~AC~~, IEEE Std 802.1Q). The SecY that operates MACsec detects some of the conditions that cause such topology changes, and notifies the client of its Controlled Port through changes in the status parameters. Some of the changes that the SecY cannot detect are unimportant, and require no exceptional measures, while other information about CA membership can be accessed through the LMI to optimize the operation of certain client protocols—loss of connectivity to a Designated Router or Designated Bridge (for example) might be detected by MACsec more rapidly than by those protocols. A more significant change is the creation of new connectivity, which can only be detected by client protocols when it has occurred as opposed to when it is about to occur. New connectivity that is not signaled by MAC_Operational can cause temporary data loops in bridged networks, while a TRUE-FALSE-TRUE transition in MAC_Operational has the defined effect (for spanning tree protocols) of re-initializing state machines to deal with new connectivity.

11. EAPOL PDUs

11.1 EAPOL PDU transmission, addressing, and protocol identification

Change the introductory text of 11.1 as follows:

EAPOL PDUs are transmitted and received using the service provided by an LLC entity that uses, in turn, a single instance of the MAC Service provided at an MSAP. Each EAPOL PDU is transmitted as a single MAC service request, and received as a single MAC service indication, with the following parameters:

- a) Destination address (11.1.1)
- b) Source address (11.1.2)
- c) MSDU
- d) Priority (11.1.3)

The MSDU of each request and indication is the EAPOL MPDU (MAC Protocol Data Unit). This MPDU comprises an Ethernet protocol identification header (11.1.4) followed by the EAPOL PDU proper (11.3).

NOTE 1—For the purposes of this standard, the term “LLC entity” includes entities that support protocol discrimination using the Ethernet field as specified in IEEE Std 802a-2003 [B2].

NOTE 2—The complete format of an EAPOL frame ‘on the wire’ or ‘through the air’ depends not only on the EAPOL MPDU format, as specified in this clause, but also on the procedures (both media access method dependent and independent) used to support the MAC Service in a particular application scenario, as specified in Clause 7. Clause 7 includes the interface stack specifications necessary for interoperability, these do not add VLAN tags to transmitted frames prior to submitting them to media access method dependent procedures unless tagging is shown explicitly.

11.1.1 Destination MAC address

Change the second paragraph of 11.1.1 as follows:

Where a group destination address is used, the choice of address depends on the potential scope of the connectivity association that includes the desired peer entities, and a given system could choose to use EAPOL in connectivity associations with potentially different scopes. Subclause 7.7 and IEEE Std 802.1AE-2006 Clause 11 discuss the use of MACsec to secure both access to a provider network and transmission between systems attached to that network. ~~IEEE Std 802.1D, IEEE Std 802.1Q, and their amendments~~ recognizes connectivity associations between peer MAC service users with the following scopes:

- a) Within a LAN or VLAN that potentially encompasses the whole of a Bridged Local Area Network. Connectivity between individual LANs in the network might be supported by one or more Provider Bridged Networks or Provider Backbone Networks, but the connectivity association typically excludes systems that compose those supporting networks.
- b) Within a customer’s LAN and bounded by MAC Bridges ~~(IEEE Std 802.1D)~~, VLAN Bridges, or end stations.
- c) Within a provider’s LAN forming part of a Provider Bridged Network, or within a LAN providing access for a customer to a provider, and bounded by MAC Bridges, VLAN Bridges, Provider Bridges, Provider Backbone Edge Bridges, Provider Backbone Bridges, or end stations.
- d) Within an individual LAN supporting the MAC service using media dependent access methods and bounded by end stations and all systems that use media independent protocols or media dependent convergence protocols to support the MAC service, including MAC Bridges, VLAN Bridges, Provider Bridges, Provider Backbone Edge Bridges, Provider Backbone Bridges, and TPMRs.

~~NOTE—TPMRs (Two Port MAC Relays) are being specified by IEEE Std 802.1aj-2009 [B3].~~

Replace Table 11-1 with the following table:

Table 11-1—EAPOL group address assignments

Address assignment	Address value	Filtered by: ^a				
		EDE-CC Edge components				
		MAC Bridge & C-VLAN components ^b				
		PEB C-VLAN component w/ single PEP ^c				
		S-VLAN components				
		TPMR components				
EDE-CC PEP Address ^d	01-80-C2-00-00-1F	Y				
Bridge Group Address, Nearest Customer Bridge group address ^e	01-80-C2-00-00-00	Y	Y			
EDE-SS PEP Address ^{e, f}	01-80-C2-00-00-0B	Y	Y	Y		
Nearest non-TPMR Bridge group address, IEEE 802.1X PAE address ^{e, g}	01-80-C2-00-00-03	Y	Y	Y	Y	
Individual LAN Scope group address, Nearest Bridge group address ^{e, h}	01-80-C2-00-00-0E	Y	Y	Y	Y	Y

^a Y indicates, Yes, this address is filtered by the component.

^b Including a C-VLAN component that supports more than one provider network service instance (multiple PEPs) in a PEB.

^c As specified in IEEE Std 802.1Q.

^d This address is assigned in Table 15-1 of IEEE Std 802.1AE as amended by IEEE Std 802.1AEcg-2017.

^e These addresses are assigned in Table 8-1, Table 8-2, and Table 8-3 of IEEE Std 802.1Q-2018.

^f Address filtering by an EDE-SS Edge component is specified in IEEE Std 802.1AE as amended by IEEE Std 802.1AEcg-2017.

^g Identified as the Nearest non-TPMR Bridge group address in IEEE Std 802.1Q and as the IEEE 802.1X PAE address in IEEE Std 802.1Q-2003, IEEE Std 802.1Q-2005, and this standard.

^h It is intended that no IEEE 802.1 relay device will be defined that will forward frames that carry this destination address.

11.11 EAPOL-MKA

Change the third paragraph in the introductory text of 11.11 as follows:

MKPDU encoding, validation, and decoding follows EAPOL's versioning rules (11.2, 11.5). The Basic Parameter Set includes an MKA Version Identifier that (with other parameters in the basic set) advertises the capabilities of the transmitting MKA implementation. This information can be supplemented both by version specific parameters within the basic set and by optional sets. A consistent TLV encoding identifies each set and allows it to be skipped if unrecognized by the receiver. Addition of parameters to existing sets, and the addition of parameter sets whose support is mandatory for a given version, will be accompanied by an MKA Version Identifier increment. This standard specifies the use of MKA Version Identifier ~~2~~3.

Insert NOTE 3 after NOTE 2 in the introductory text of 11.11:

NOTE 3—The MKA Version Identifier was incremented to 3 by IEEE Std 802.1Xck-2018, which did not add any new parameter sets to this standard but did impose an ordering on entries in the Live Peer List and add the Key Server SSCI to the Live Peer List parameter set (9.10, Figure 11-9).

11.11.1 MKA parameter encoding

Change Table 11-7 as follows:

Table 11-7—MKPDU parameter sets

Parameter set and Parameter set type		Version		Parameters	Version			Parameter specification
		1 ^a	2,3		1 ^a	2	3	
Basic Parameter Set See Figure 11-8	^b —	M	M	MKA Version Identifier	M	M	M	11.11
				Key Server Priority	M	M	M	9.5
				Key Server	M	M	M	9.5.1
				MACsec Desired	M	M	M	9.6.1
				MACsec Capability	M	M	M	9.6.1
				SCI	M	M	M	IEEE Std 802.1AE
				Actor’s Member Identifier	M	M	M	
				Actor’s Message Number	M	M	M	
				Algorithm Agility	M	M	M	
				CAK Name	M	M	M	9.3.1, 6.2.2, 6.3.3
Live Peer List See Figure 11-9	1	M	M	Member Identifier, Message Number tuples	M	M	M	9.4.3, 9.10
				Key Server’s SSCI	—	—	M^c	9.10
Potential Peer List See Figure 11-9	2	M	M	Member Identifier, Message Number tuples	M	M	M	9.4.3
MACsec SAK Use See Figure 11-10	3	M	M	Latest Key AN	M	M	M	9.8, 9.10
				Latest Key tx	M	M	M	9.10
				Latest Key rx	M	M	M	9.10
				Old Key AN	M	M	M	9.10
				Old Key tx	M	M	M	9.10
				Old Key rx	M	M	M	9.10
				Plain tx	M	M	M	—
				Plain rx	M	M	M	—
				Delay protect	M	M	M	9.10.1
				Latest Key Identifier (Key Server Member Identifier, Key Number)	M	M	M	9.8, 9.10.1
				Latest Key Lowest Acceptable PN	M	M	M	9.8, 9.10.1
				Old Key Identifier (Key Server Member Identifier, Key Number)	M	M	M	9.8, 9.10.1
				Old Key Lowest Acceptable PN	M	M	M	9.8, 9.10.1

Table 11-7—MKPDU parameter sets (*continued*)

Parameter set and Parameter set type	Version	Version		Parameters	Version			Parameter specification
		1 ^a	2,3		1 ^a	2	3	
Distributed SAK See Figure 11-11, Figure 11-12	4	M	M	AES Key Wrap of SAK	M	M	M	9.8
				Distributed AN	M	M	M	9.9
				Offset Confidentiality		M	M	9.7
				Key Number	M	M	M	9.8
				MACsec Cipher Suite	M	M	M	9.7
Distributed CAK See Figure 11-13	5	M	M	AES Key Wrap of CAK	M	M	M	9.5
				CA Key Name	M	M	M	9.3.1
KMD See Figure 11-14	6	M	M	KMD	M	M	M	12.6
Announcement See Figure 11-15	7	O ^{d,e}	O	Announcement TLVs	M	M	M	11.12
XPN	8	—	O ^{e,d}	MKA suspension time	—	M	M	9.18
				Latest Key: Lowest Acceptable PN (msbs)	—	M	M	
				Old Key: Lowest Acceptable PN (msbs)	—	M	M	
ICV Indicator See Figure 11-17		M ^{f,e}	M ^{f,e}					11.11.3,11.11.4

^a M = mandatory to implement. O = optional. — = ignore on receipt.

^b The Basic Parameter Set is identified by its position at the start of the MKPDU; the first octet encodes the MKA Version Identifier.

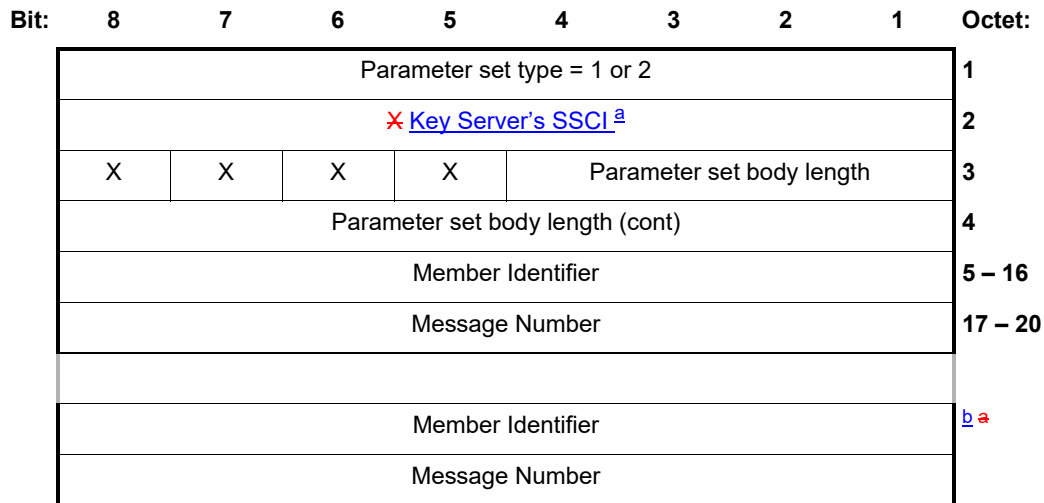
^c [Only encoded in MKPDUs that contain a Distributed SAK, and ignored on receipt otherwise.](#)

^{d,e} Mandatory to implement if EAPOL-Announcements are sent [5.10 i)].

^{e,d} Mandatory to implement if support for Extended Packet Numbering is claimed (5.11.4).

^{f,e} The ICV [Indicator](#) will not be encoded unless the Algorithm Agility parameter specifies the use of an ICV that is not 16 octets in length (11.11.3) and there is no requirement to implement such an algorithm; however, 11.11.4 states the requirement for processing the parameter set should it be received.

Change Figure 11-9 as follows:



^a The least significant octet of the Key Server's transmit SSCI is encoded in MKPDUs containing a Distributed SAK parameter set for use with an XPN Cipher Suite; otherwise, 0 is encoded. The Key Server's SSCI is distributed only in Live Peer Lists and is transmitted as zero and ignored on receipt in Potential Peer Lists.

^b * Member Identifier, Message Number tuples are repeated to the end of the parameter set.

Figure 11-9—Live Peer List and Potential Peer List parameter sets

11.11.3 Encoding MKPDUs

Change the beginning of the first paragraph of 11.11.3 as follows:

An implementation that transmits MKPDU PDUs with an MKA Version Identifier of 1, [2](#), or [3](#) shall encode the protocol parameters provided by the KaY as follows:

Change list item c) of 11.11.3 as follows:

- c) If there are one or more Live Peers, their Member Identifier, Message Number tuples are encoded within a Live Peer List as specified in Figure 11-9. [An implementation that transmits MKPDUs with an MKA Version Identifier of 3 shall order the entries in the Live Peer List and shall encode the least significant octet of the Key Server's SSCI in Octet 2 of the Live Peer List parameter set of MKPDUs containing a Distributed SAK parameter set for use with an XPN Cipher Suite, as specified in 9.10.](#)

12. PAE operation

12.9 PAE management

Change Figure 12-3 as shown on the next page.

12.9.2 Identifying PAEs and their capabilities

Change 12.9.2 as follows:

Each PAE and its major components and capabilities are identified by the following variables:

- **portNumber**: Each PAE is uniquely identified by a port number (see [IEEE Std 802.1ACD-4](#)). The port number used is unique among all port numbers for the system, and directly or indirectly identifies the Uncontrolled Port that supports the PAE. If the PAE has been dynamically instantiated to support an existing or potential virtual port, this **portNumber**, the **uncontrolledPortNumber** and the **controlledPortNumber** are allocated by the real port's PAE, and this **portNumber** is the **uncontrolledPortNumber**. If the PAE supports a real port, this **portNumber** is the **commonPortNumber** for the associated PAC or SecY.
- **portType**: Either **RealPort** or **VirtualPort**.
- **controlledPortNumber**: The port number for the associated PAC or SecY's Controlled Port.
- **uncontrolledPortNumber**: The port number for the associated PAC or SecY's Uncontrolled Port.
- **commonPortNumber**: The port number for the associated PAC or SecY's Common Port. All the virtual ports created for a given real port share the same Common Port and **commonPortNumber**.

NOTE—These port numbers, with the value constraints specified, not only allow each PAE and the associated ports to be identified uniquely, but also allow a network manager to locate the real port PAE responsible for instantiating a given virtual port, and the virtual ports instantiated for a given real port.

- **eapolGroupAddress**: The group address used for EAPOL frames (including MKA frames). For a virtual port instantiated by a real port PAE, the same as that for the real port PAE.
- **implemented supp**: Set iff a PACP EAP Supplicant is implemented (Clause 8).
- **implemented auth**: Set iff a PACP EAP Authenticator is implemented (Clause 8).
- **implemented mka**: Set iff MKA is implemented.
- **implemented macsec**: Set iff the Controlled Port is supported by MACsec.
- **implemented isupgrades**: Set iff the MKA supports in-service upgrades (9.18).
- **implemented announcements**: Set iff EAPOL announcement can be sent.
- **implemented listener**: Set iff received EAPOL announcements can be used.
- **implemented virtualPorts**: Set for a **RealPort** iff virtual ports are implemented.

If a **RealPort** has implemented virtual ports, the following information may be provided:

- **maxVirtualPorts**: The guaranteed maximum number of virtual ports.
- **currentVirtualPorts**: The current number of virtual ports.

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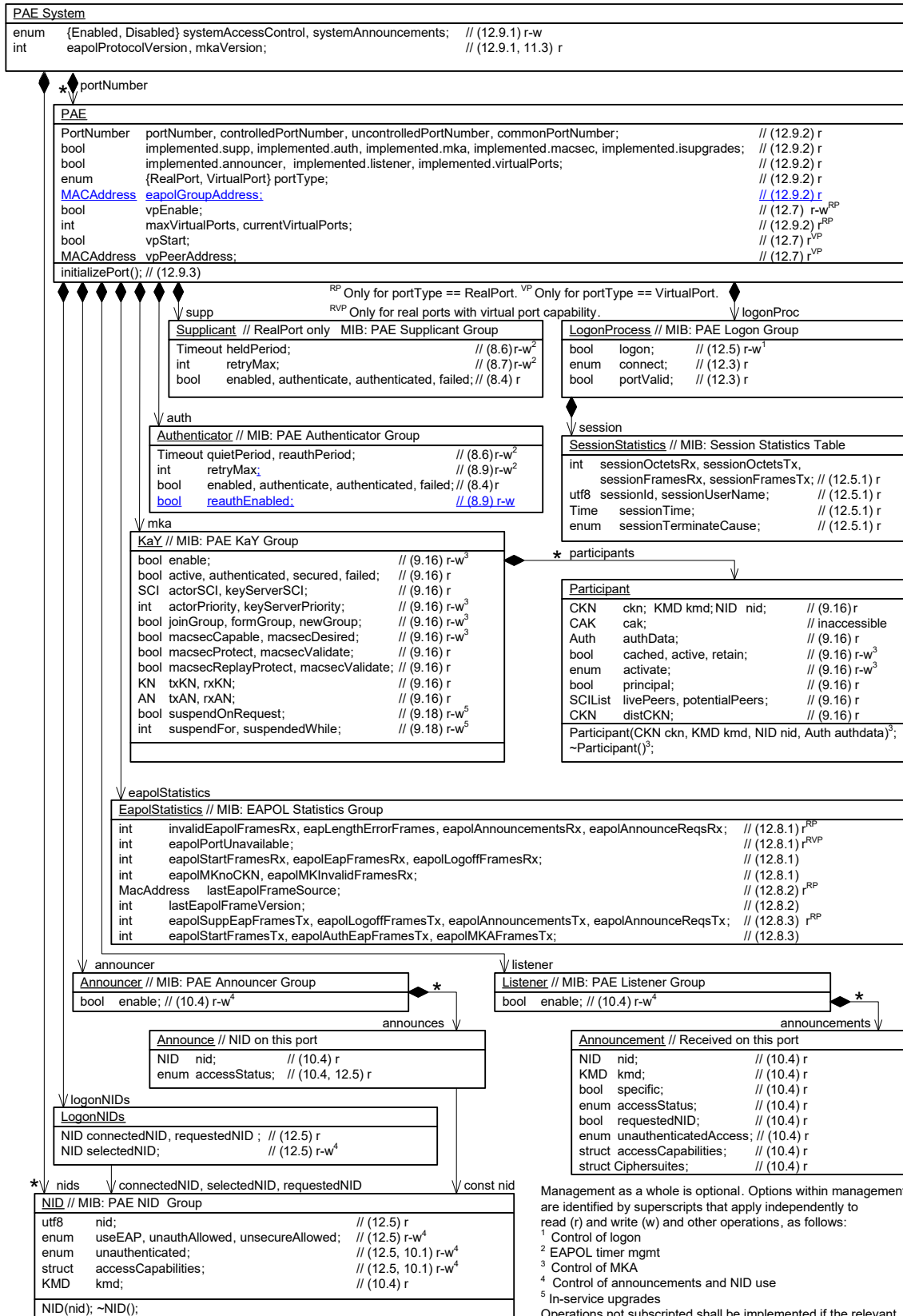


Figure 12-3—PAE management information

13. PAE MIB

13.2 Structure of the MIB

Change the following row of Table 13-4 as shown:

Table 13-4—PAE managed object cross-reference table

PAE management information (Figure 12-3)	MIB object(s)
vpPeerAddress (12.17) r	ieee8021XPaePortVirtualPortPeerMACAddress

Insert the following row into Table 13-4 after the commonPortNumber row:

Table 13-4—PAE managed object cross-reference table

PAE management information (Figure 12-3)	MIB object(s)
eapolGroupAddress (12.9.2) r	ieee8021XPaeEapolGroupMAC

13.4 Security considerations

Change the note in 13.4 as follows:

NOTE—IEEE Std 802.1Xbx-2014 added the in-service upgrade (9.18) group (ieee8021XPaeKaYIsupgradeGroup) to this standard. [IEEE Std 802.1Xck-2018 added the EAPOL Group Address used by each PAE \(12.9.2\) \(ieee8021XPaeEapolGroup\).](#) This addition does not affect the security considerations to be taken into account when making use of this standard.

13.5 Definitions for PAE MIB

After the introductory paragraph of 13.5, delete the entire text of the MIB definition, and insert the following text:

```
-- *****  
--  
-- IEEE8021X-PAE-MIB : IEEE 802.1X (802.1X-2010 + 802.1Xbx + 802.1Xck)  
--  
-- *****
```

```
IEEE8021X-PAE-MIB DEFINITIONS ::= BEGIN
```

```
IMPORTS  
    MODULE-IDENTITY,  
    OBJECT-TYPE,  
    Gauge32,  
    Counter32,  
    Counter64,  
    Unsigned32,  
    Integer32  
    FROM SNMPv2-SMI  
    MacAddress,  
    TEXTUAL-CONVENTION,  
    TruthValue,  
    RowPointer,  
    TimeStamp,
```

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```
TimeInterval,
RowStatus
    FROM SNMPv2-TC
MODULE-COMPLIANCE,
OBJECT-GROUP
    FROM SNMPv2-CONF
SnmpAdminString
    FROM SNMP-FRAMEWORK-MIB
InterfaceIndex
    FROM IF-MIB
SecySCI
    FROM IEEE8021-SECY-MIB;

ieee8021XPaeMIB MODULE-IDENTITY
    LAST-UPDATED      "201710281457Z"
    ORGANIZATION      "IEEE 802.1 Working Group"
    CONTACT-INFO
        " WG-URL: http://grouper.ieee802.org/1
          WG-Email: stds-802-1-L@ieee.org
          Contact: IEEE 802.1 Working Group Chair
          Postal: C/O IEEE 802.1 Working Group
                  IEEE Standards Association
                  445 Hoes Lane
                  P.O. Box 1331
                  Piscataway
                  NJ 08855-1331
                  USA
          E-mail: STDS-802-1-L@LISTSERV.IEEE.ORG"

    DESCRIPTION
        "The MIB module for managing the Port Access Entity (PAE)
        functions of IEEE 802.1X (Revision of 802.1X-2004).
        The PAE functions managed are summarized in Figure 12-3 of
        IEEE 802.1X and include EAPOL PACP support for authentication
        (EAP Supplicant and/or Authenticator), MACsec Key Agreement
        (MKA), EAPOL, and transmission and reception of network
        announcements.

        The following acronyms and definitions are used in this MIB.

        AN : Association Number, a number that is concatenated with a
            MACsec Secure Channel Identifier to identify a Secure
            Association (SA).

        Announcer : EAPOL-Announcement transmission functionality.

        Authenticator : An entity that facilitates authentication of
            other entities attached to the same LAN.

        CA : secure Connectivity Association: A security relationship,
            established and maintained by key agreement protocols, that
            comprises a fully connected subset of the service access
            points in stations attached to a single LAN that are to be
            supported by MACsec.

        CAK : secure Connectivity Association Key, a secret key
            possessed by members of a given CA.

        CKN : secure Connectivity Association Key Name (CKN), a text
            that identifies a CAK.

        Common Port : An instance of the MAC Internal Sublayer Service
            used by the SecY or PAC to provide transmission and
            reception of frames for both the Controlled and
            Uncontrolled Ports.

        Controlled Port : The access point used to provide the secure
            MAC Service to a client of a PAC or SecY.

        CP state machine : Controlled Port state machine is capable of
            controlling a SecY or a PAC. The CP supports
            interoperability with unauthenticated systems that are not
            port-based network access control capable, or that lack
```

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MKA. When the access controlled port is supported by a SecY, the CP is capable of controlling the SecY so as to provide unsecured connectivity to systems that implement a PAC.

EAP : Extensible Authentication Protocol, RFC3748.

EAPOL : EAP over LANs.

KaY : Key Agreement Entity, a PAE entity responsible for MKA.

Key Server : Elected by MKA, to transport a succession of SAKs, for use by MACsec, to the other member(s) of a CA.

KMD : Key Management Domain, a string identifying systems that share cached CAKs.

Listener : The role is to receive the network announcement parameters in the authentication process.

Logon Process : The Logon Process is responsible for the managing the use of authentication credentials, for initiating use of the PAE's Supplicant and or Authenticator functionality, for deriving CAK, CKN tuples from PAE results, for maintaining PSKs (Pre-Sharing Keys), and for managing MKA instances. In the absence of successful authentication, key agreement, or support for MAC Security, the Logon Process determines whether the CP state machine should provide unauthenticated connectivity or authenticated but unsecured connectivity.

MKA : MACsec Key Agreement protocol allows PAEs, each associated with a port that is an authenticated member of a secure connectivity association (CA) or a potential CA, to discover other PAEs attached to the same LAN, to confirm mutual possession of a CAK and hence to prove a past mutual authentication, to agree the secret keys (SAKs) used by MACsec for symmetric shared key cryptography, and to ensure that the data protected by MACsec has not been delayed.

MKPDU : MACsec Key Agreement Protocol Data Unit.

MPDU : MAC Protocol Data Unit.

NID : Network Identity, a UTF-8 string identifying an network or network service.

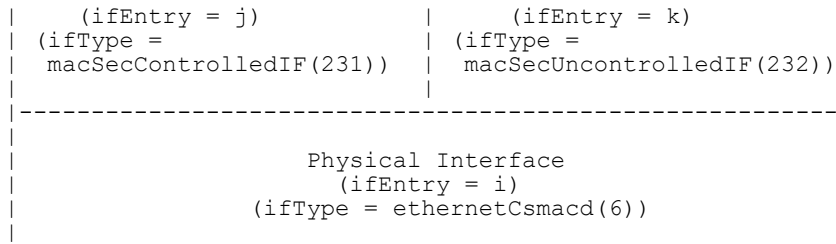
PAE : Port Access Entity, the protocol entity associated with a Port. It can support the protocol functionality associated with the Authenticator, the Supplicant, or both.

PAC : Port Access Controller, a protocol-less shim that provides control over frame transmission and reception by clients attached to its Controlled Port, and uses the MAC Service provided by a Common Port. The access control decision is made by the PAE, typically taking into account the success or failure of mutual authentication and authorization of the PAE's peer(s), and is communicated by the PAE using the LMI to set the PAC's Controlled Port enabled/disable. Two different interfaces 'Controlled Port' and 'Uncontrolled Port', are associated with a PAC, and that for each instance of a PAC, two ifTable rows (one for each interface) run on top of an ifTable row representing the 'Common Port' interface, such as a row with ifType = 'ethernetCsmacd(6)'.

For example :

Controlled Port	Uncontrolled Port
Interface	Interface

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i, j, k are ifIndex to indicate
an interface stack in the ifTable.
Figure : PAC Interface Stack

The 'Controlled Port' is the service point to provide one instance of the secure MAC service in a PAC. The 'Uncontrolled Port' is the service point to provide one instance of the insecure MAC service in a PAC.

PACP : Port Access Controller Protocol.

Port Identifier : A 16-bit identifier that uniquely identifies each of a system's transmit SCs that uses the same MAC address as a component of its SCI.

Real Port : Indicates the PAE is for a real port. A port that is not created on demand by the mechanisms specified in this standard, but that can transmit and receive frames for one or more virtual ports.

SC : Secure Channel, a security relationship used to provide security guarantees for frames transmitted from one member of a CA to the others. An SC is supported by a sequence of SAs thus allowing the periodic use of fresh keys without terminating the relationship.

SA : Secure Association, a security relationship that provides security guarantees for frames transmitted from one member of a CA to the others. Each SA is supported by a single secret key, or a single set of keys where the cryptographic operations used to protect one frame require more than one key.

SAK : Secure Association key, the secret key used by an SA.

SCI : Secure Channel Identifier, a unique identifier for a secure channel, comprising a MAC Address and a Port Identifier.

secured connectivity : Data transfer between two or 'Controlled Ports' that is protected by MACsec.

SecY : MAC Security Entity, the entity that operates the MAC Security protocol within a system.

Supplicant : An entity at one end of a point-to-point LAN segment that seeks to be authenticated by an Authenticator attached to the other end of that link.

Suspension: Temporary suspension of MKA operation to facilitate in-service control plane software upgrades without disrupting existing secure connectivity.

Uncontrolled Port : The access point used to provide the insecure MAC Service to a client of a SecY or PAC.

Virtual Port : Indicates the PAE is for a virtual port. A MAC Service or Internal Sublayer service access point that is created on demand. Virtual ports can be used to provide separate secure connectivity associations over the same LAN."

REVISION "201710281457Z"
DESCRIPTION

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```
"Published as part of IEEE 802.1Xck.
Minor DESCRIPTION clarifications as required by resolution
of maintenance items 154, 155, 157 (see 802.1 maintenance
process discussion). Added ieee8021XPaeEapolGroupMAC Address."
REVISION      "201404101619Z"
DESCRIPTION
  "Update published as part of IEEE 802.1Xbx (Amendment to
  IEEE 802.1X-2010)"
REVISION      "200910011650Z"
DESCRIPTION
  "Initial version of this MIB module. Published as part of
  IEEE P802.1X (Revision of IEEE Standard 802.1X-2009)"
::= { iso(1) iso-identified-organization(3) ieee(111)
      standards-association-numbered-series-standards(2)
      lan-man-stds(802) ieee802dot1(1) ieee802dot1mibs(1) 15 }

-- -----
-- Textual Conventions
-- -----

Ieee8021XPaeCKN ::= TEXTUAL-CONVENTION
  STATUS      current
  DESCRIPTION
    "This textual convention indicates the CAK name to identify
    the Connectivity Association Key (CAK) which is the root key
    in the MACsec Key Agreement key hierarchy. All potential
    members of the CA use the same CKN."

  REFERENCE   "IEEE 802.1X Clause 5.4, Clause 9.3.1, Clause 6.2"
  SYNTAX      OCTET STRING (SIZE (1..16))

Ieee8021XPaeCKNOrNull ::= TEXTUAL-CONVENTION
  STATUS      current
  DESCRIPTION
    "This textual convention indicates the CAK name to identify
    the Connectivity Association Key (CAK) which is the root key
    in the MACsec Key Agreement key hierarchy. All potential
    members of the CA use the same CKN.

    If this is a zero length value, then the NULL string means
    CKN information is applicable."

  REFERENCE   "IEEE 802.1X Clause 5.4, Clause 9.3.1, Clause 6.2"
  SYNTAX      OCTET STRING (SIZE (0..16))

Ieee8021XPaeKMD ::= TEXTUAL-CONVENTION
  STATUS      current
  DESCRIPTION
    "This textual convention indicates a Key Management Domain
    (KMD).

    KMD is a string of UTF-8 characters that names the transmitting
    authenticator's key management domain."

  REFERENCE   "IEEE 802.1X Clause 12.6"
  SYNTAX      OCTET STRING (SIZE (0..253))

Ieee8021XPaeNID ::= TEXTUAL-CONVENTION
  STATUS      current
  DESCRIPTION
    "This textual convention indicates a Network Identifier (NID).

    Each network is identified by a NID, a UTF-8 string used by
    network attached systems to select a network profile."

  REFERENCE   "IEEE 802.1X Clause 12.6, Clause 10.1"
  SYNTAX      OCTET STRING (SIZE (1..100))

Ieee8021XPaeNIDOrNull ::= TEXTUAL-CONVENTION
  STATUS      current
  DESCRIPTION
    "This textual convention indicates a Network Identifier (NID)."
```

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Each network is identified by a NID, a UTF-8 string used by network attached systems to select a network profile.

If this is a zero length value, then the NULL string for NID information is applicable."

REFERENCE "IEEE 802.1X Clause 12.6, Clause 10.1"
SYNTAX OCTET STRING (SIZE (0..100))

Ieee8021XMkaKeyServerPriority ::= TEXTUAL-CONVENTION

STATUS current

DESCRIPTION

"This textual convention indicates a Key Server priority information.

Each MKA participant encodes a Key Server Priority, an 8-bit integer, in each MKPDU. Each participant selects the live participant advertising the highest priority as its Key Server provided that participant has not selected another as its Key Server or is unwilling to act as the Key Server. If a Key Server cannot be selected SAKs are not distributed. In the event of a tie for highest priority Key Server, the member with the highest priority SCI is chosen. For consistency with other uses of the SCI's MAC Address component as a priority, numerically lower values of the Key Server Priority and SCI are accorded the highest priority. The Table 9-2 contains recommendations for the use of priority values for various system roles. Participants that will never act as a Key Server should advertise priority 0xFF."

REFERENCE "IEEE 802.1X Clause 9.5, Table 9-2"
SYNTAX OCTET STRING (SIZE (1))

Ieee8021XMkaMI ::= TEXTUAL-CONVENTION

STATUS current

DESCRIPTION

"This textual convention indicates a Member Identifier (MI).

The MI is a 96-bit random value chosen when the MKA Instance begins, used with a 32-bit MN to protect against replay attacks and to record liveliness in the Live Peer List or potential liveliness in the Potential Peer List. If the MN wraps, a new random MI value is chosen and the MN begins again at 1."

REFERENCE "IEEE 802.1X Clause 9.4.2"
SYNTAX OCTET STRING (SIZE (12))

Ieee8021XMkaMN ::= TEXTUAL-CONVENTION

DISPLAY-HINT "d"

STATUS current

DESCRIPTION

"This textual convention indicates a Member Number (MN).

The MN is a 32-bit value which begins at 1 and increases for each MKPDU transmitted. It is used with the MI to protect against replay attacks and to record liveliness in the Live Peers List or potential liveliness in the Potential Peer List. If the MN wraps, a new random MI value is chosen and the MN begins again at a value of 1."

REFERENCE "IEEE 802.1X Clause 9.4.2"
SYNTAX Unsigned32 (1..2147483648)

Ieee8021XMkaKN ::= TEXTUAL-CONVENTION

DISPLAY-HINT "d"

STATUS current

DESCRIPTION

"This textual convention indicates a Key Number (KN) used in MKA.

The MN is a 32-bit integer assigned by that Key Server

(sequentially, beginning with 1)."

REFERENCE "IEEE 802.1X Clause 9.8"
SYNTAX Unsigned32 (1..2147483648)

Ieee8021XPaeNIDCapabilities ::= TEXTUAL-CONVENTION

STATUS current

DESCRIPTION

"This textual convention indicates the combinations of authentication and protection capabilities supported for a NID. Any set of these combinations can be supported."

REFERENCE "IEEE 802.1X Clause 10.1, Table 11-8"

SYNTAX BITS {
 eap(0),
 eapMka(1),
 eapMkaMacSec(2),
 mka(3),
 mkaMacSec(4),
 higherLayer(5), -- WebAuth
 higherLayerFallback(6), -- WebAuth
 vendorSpecific(7)
}

Ieee8021XPaeNIDAccessStatus ::= TEXTUAL-CONVENTION

STATUS current

DESCRIPTION

"This textual convention indicates the transmitter's Controlled Port operational status and current level of access resulting from authentication and the consequent authorization controls applied by that port's clients.

'noAccess' : Other than to authentication services, and to services announced as available in the absence of authentication (unauthenticated).

'remedialAccess' : The access granted is severely limited, possibly to remedial services.

'restrictedAccess' : The Controlled Port is operational, but restrictions have been applied by the network that can limit access to some resources.

'expectedAccess' : The Controlled Port is operational, and access provided is as expected for successful authentication and authorization for the NID."

REFERENCE "IEEE 802.1X Clause 10.1, Table 11-8"

SYNTAX INTEGER {
 noAccess(0),
 remedialAccess(1),
 restrictedAccess(2),
 expectedAccess(3)
}

Ieee8021XPaeNIDUnauthenticatedStatus ::= TEXTUAL-CONVENTION

STATUS current

DESCRIPTION

"This textual convention indicates the access capabilities of the port's clients without authentication.

'noAccess' : Other than to authentication services (see Ieee8021XPaeNIDCapabilities information.

'fallbackAccess' : Limited access can be provided after authentication failure.

'limitedAccess' : Immediate limited access is available without authentication.

'openAccess' : Immediate access is available without authentication."

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```
REFERENCE      "IEEE 802.1X Clause 10.1, Table 11-8"
SYNTAX         INTEGER {
                noAccess(0),
                fallbackAccess(1),
                limitedAccess(2),
                openAccess(3)
                }

-- -----
-- Groups in the IEEE 802.1X MIB
-- -----

ieee8021XPaeMIBNotifications OBJECT IDENTIFIER
    ::= { ieee8021XPaeMIB 0 }

ieee8021XPaeMIBObjects OBJECT IDENTIFIER
    ::= { ieee8021XPaeMIB 1 }

ieee8021XPaeMIBConformance OBJECT IDENTIFIER
    ::= { ieee8021XPaeMIB 2 }

-- -----
-- Management Objects in the IEEE 802.1X MIB
-- -----

ieee8021XPaeSystem OBJECT IDENTIFIER
    ::= { ieee8021XPaeMIBObjects 1 }

ieee8021XPaeLogon OBJECT IDENTIFIER
    ::= { ieee8021XPaeMIBObjects 2 }

ieee8021XPaeAuthenticator OBJECT IDENTIFIER
    ::= { ieee8021XPaeMIBObjects 3 }

ieee8021XPaeSupplicant OBJECT IDENTIFIER
    ::= { ieee8021XPaeMIBObjects 4 }

ieee8021XPaeEapol OBJECT IDENTIFIER
    ::= { ieee8021XPaeMIBObjects 5 }

ieee8021XPaeKaY OBJECT IDENTIFIER
    ::= { ieee8021XPaeMIBObjects 6 }

ieee8021XPaeNetworkIdentifier OBJECT IDENTIFIER
    ::= { ieee8021XPaeMIBObjects 7 }

-- -----
-- The 802.1X PAE System Group
-- -----
--
-- -----
-- The 802.1X PAE System Objects
-- -----

ieee8021XPaeSysAccessControl OBJECT-TYPE
    SYNTAX      TruthValue
    MAX-ACCESS   read-write
    STATUS      current
    DESCRIPTION
        "This object enables or disables port-based network access
        control for all the system's ports. Setting this control
        object to 'false' causes the following actions :
        . Deletes any virtual ports previously instantiated.
        . Terminates authentication exchanges and MKA instances'
          operation.
        . Each real port PAE behaves as if no virtual ports
          created.
        . All the PAEs' Supplicant, Authenticator, and KaY are
          disabled.
        . Logon Process(es) behave as if the object
```