



**IEEE Standard for Information Technology—
Telecommunications and information exchange between systems
Wireless Regional Area Networks (WRAN) —
Specific requirements**

**Part 22: Cognitive Wireless RAN Medium Access
Control (MAC) and Physical Layer (PHY)
Specifications: Policies and Procedures for
Operation in the Bands that Allow Spectrum
Sharing where the Communications Devices
May Opportunistically Operate in the Spectrum
of Primary Service**

IEEE Computer Society

Developed by the
LAN/MAN Standards Committee

IEEE Std 802.22™-2019
(Revision of IEEE Std 802.22-2011)

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Telecommunications and information exchange between systems
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**LAN/MAN Standards Committee
of the
IEEE Computer Society**

Approved 5 September 2019

IEEE SA Standards Board

Abstract: This standard specifies the air interface, including the cognitive medium access control layer (MAC) and physical layer (PHY), of point-to-multipoint wireless regional area networks (WRANs) comprised of a professional fixed base station (BS) with fixed and portable user terminals operating in the VHF/UHF TV broadcast bands between 54 MHz to 862 MHz, and potentially in the 1300 MHz to 1750 MHz, and 2700 MHz to 3700 MHz bands provided the regulatory regime allows it.

Keywords: broadband wireless access network, cognitive radio, fixed user terminals, IEEE 802.22™, portable user terminals, radio spectrum sensing, regional area network, WRAN standards

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Introduction

This introduction is not part of IEEE Std 802.22-2019, IEEE Standard for Information Technology—Telecommunications and information exchange between systems—Wireless Regional Area Networks (WRAN) Specific requirements—Part 22: Cognitive Wireless RAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications: Policies and Procedures for Operation in the Bands that Allow Spectrum Sharing where the Communications Devices May Opportunistically Operate in the Spectrum of Primary Service.

This standard specifies the air interface, including the cognitive radio MAC and PHY, of point-to-multipoint and backhaul WRANs comprised of a professional fixed BS with fixed and portable user terminals. The standard specifies operation in the bands that allow spectrum sharing where the communications devices may opportunistically operate in the spectrum of the primary service, such as the VHF/UHF TV broadcast bands between 54 MHz to 862 MHz, and the 1300 MHz to 1750 MHz and 2700 MHz to 3700 MHz bands provided the regulatory regime allows it.

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1. Overview

1.1 Scope

This standard specifies the air interface, including the cognitive radio medium access control layer (MAC) and physical layer (PHY), of point-to-multipoint and backhaul wireless regional area networks (WRANs) comprised of a professional fixed base station (BS) with fixed and portable user terminals. The standard specifies operation in the bands that allow spectrum sharing where the communications devices may opportunistically operate in the spectrum of the primary service, such as the VHF/UHF TV broadcast bands between 54 MHz to 862 MHz, and the 1300 MHz to 1750 MHz and 2700 MHz to 3700 MHz bands provided the regulatory regime allows it.

1.2 Purpose

This standard is intended to enable deployment of interoperable IEEE 802[®] multivendor WRAN products, to facilitate competition in broadband access by providing alternatives to wireline broadband access and extending the deployability of such systems into diverse geographic areas, including sparsely populated rural areas, while preventing harmful interference to incumbent licensed services. The standard specifies operation in the bands that allow spectrum sharing where the communications devices may opportunistically operate in the spectrum of the primary service, such as the VHF/UHF TV broadcast bands between 54 MHz to 862 MHz, and the 1300 MHz to 1750 MHz and 2700 MHz to 3700 MHz bands provided the regulatory regime allows it.

1.3 Introduction

The WRANs for which this standard has been developed are expected to operate primarily in low population density areas in order to provide broadband access to data networks. The WRAN systems will use vacant channels in the VHF and UHF bands allocated to the Television Broadcasting Service in the frequency range between 54 MHz and 862 MHz while avoiding interference to the broadcast incumbents in these bands. A typical application can be the coverage of the rural area around a village, as illustrated in Figure 1(a), within a radius of 10 km to 30 km from the BS depending on its EIRP and antenna height. The MAC can also accommodate user terminals located as far as 100 km with proper scheduling of the traffic in the frame when exceptional radio frequency (RF) signal propagation conditions are present. With the PHY implemented in this standard, WRAN systems can cover up to a radius of 30 km without special scheduling.

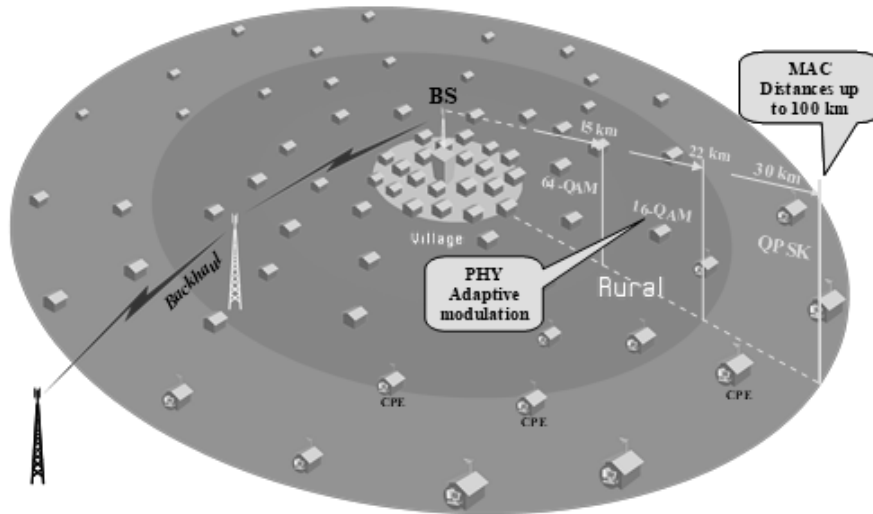


Figure 1(a)—IEEE 802.22 WRAN cell with a base station and user terminals

A BS complying with this standard shall be able to provide high-speed Internet service for up to 512 fixed or portable customer premise equipment (CPE) devices or groups of devices within its coverage area assuming different quality of service (QoS) requirements for various CPEs, while meeting the regulatory requirements for protection of the incumbents.

This standard includes cognitive radio techniques to mitigate interference to incumbents, including geolocation capability, provision to access a database of incumbent services, and spectrum-sensing technology to detect the presence of incumbent services, other WRAN systems, and IEEE 802.22.1 wireless beacons.

The Advanced Wireless Regional Area Networks (A-WRANs) for which this standard has also been developed are expected to support enhanced broadband services and monitoring applications such as real-time and/or near real-time monitoring, emergency broadband services, remote medical services, etc. The A-WRAN provides all essential functionalities of PHY, MAC, security, and cognitive radio technologies defined in the original IEEE 802.22 WRAN and supports an additional PHY Operational mode (PHY-OM2) and additional functionalities of multi-hop relay operations, multiple channel operations, multiple-input-multiple-output (MIMO) operations, and advanced security to extend regional area broadband services to the regional monitoring applications and the enhanced broadband services. The A-WRAN provides connectivity through two new types of services, multi-hop relay and multi-channel operation. Figure 1(b) and Figure 1(c) are examples of the A-WRAN providing enhancement to connectivity using multi-hop relay. Figure 1(d) and Figure 1(e) are examples of the A-WRAN increasing capacity in the network using multi-channel operation at the A-BS, or at the A-BS and A-CPEs. The A-WRAN can only operate in the multirelay or multi-channel services at any given time.

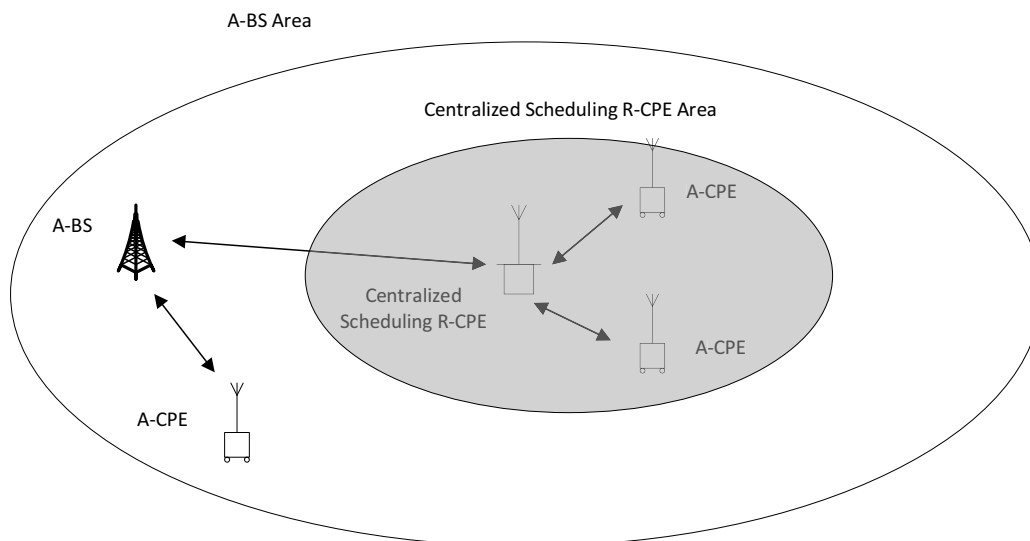


Figure 1(b)—Example of an IEEE 802.22 A-WRAN cell with centralized scheduling multi-hop relay

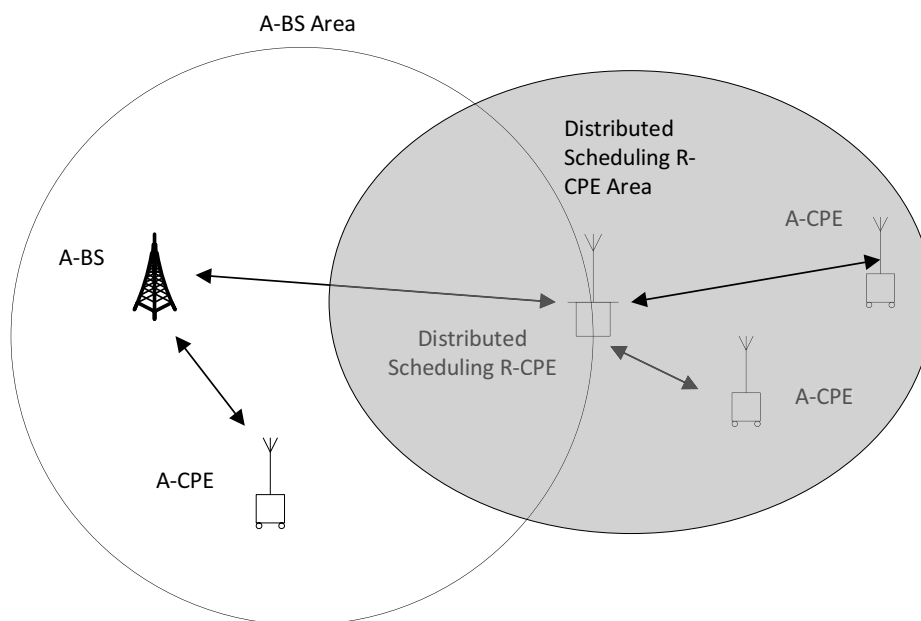


Figure 1(c)—Example of IEEE 802.22 A-WRAN cell with distributed scheduling multi-hop relay

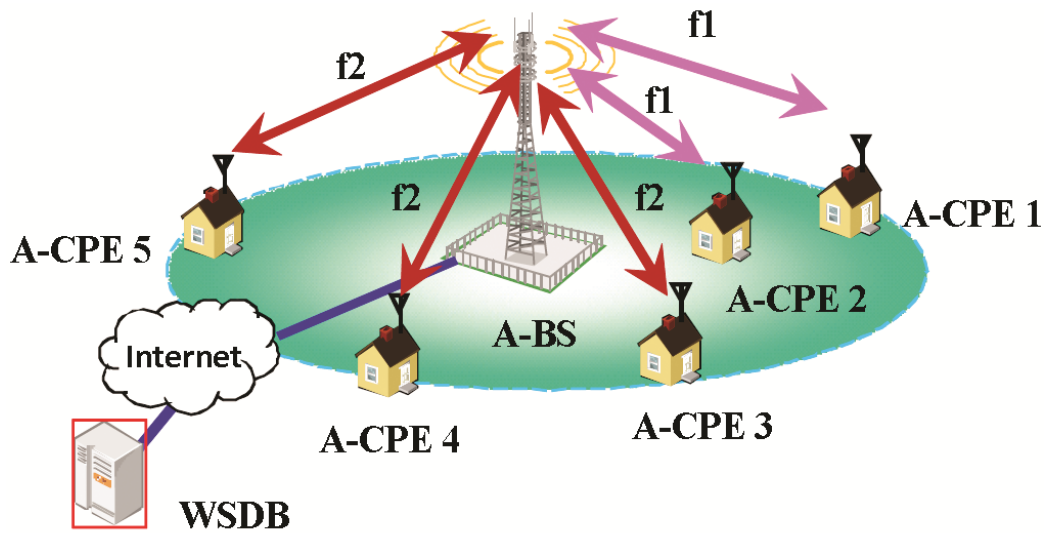


Figure 1(d)—Example of IEEE 802.22 A-WRAN cell with multi-channel operation at the A-BS

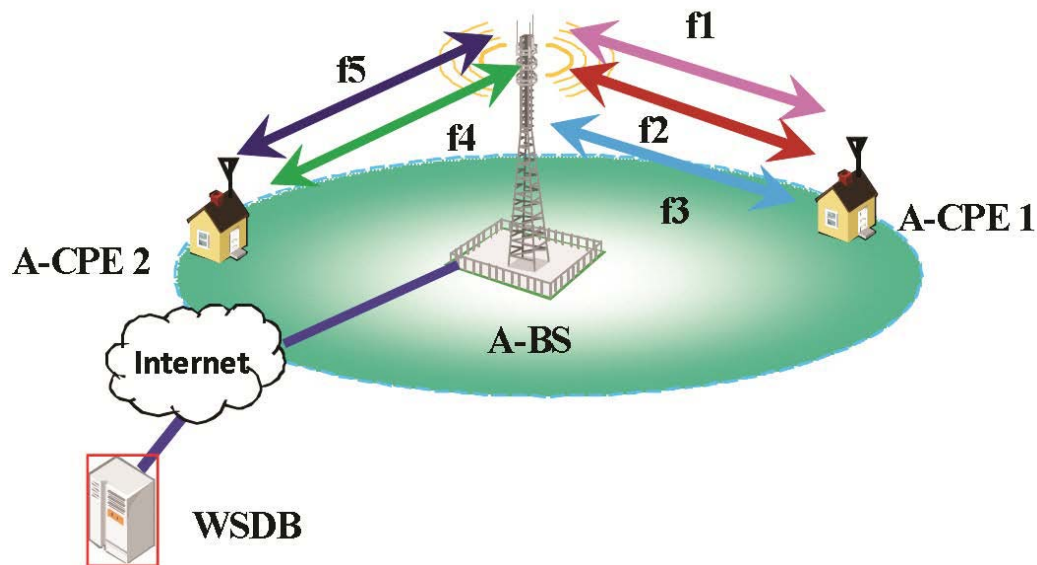


Figure 1(e)—Example of IEEE 802.22 A-WRAN cell with multi-channel operation at the A-BS and the A-CPE

An advanced base station (A-BS) complying with this standard shall be able to provide broadband services for the CPEs such as the advanced CPE (A-CPE) through direct connectivity to the A-BS, and/or through a relay CPE (R-CPE) that is an A-CPE configured to act as a relay CPE. An A-BS complying with this standard shall be able to provide broadband services to A-CPEs operating on multiple channels when multi-channel operation is enabled.

1.4 Word usage

The word *shall* indicates mandatory requirements strictly to be followed in order to conform to the standard and from which no deviation is permitted (shall equals is required to).^{1, 2}

The word *should* indicates that among several possibilities one is recommended as particularly suitable, without mentioning or excluding others; or that a certain course of action is preferred but not necessarily required (should equals is recommended that).

The word *may* is used to indicate a course of action permissible within the limits of the standard (may equals is permitted to).

The word *can* is used for statements of possibility and capability, whether material, physical, or causal (can equals is able to).

¹ The use of the word *must* is deprecated and cannot be used when stating mandatory requirements, *must* is used only to describe unavoidable situations.

² The use of *will* is deprecated and cannot be used when stating mandatory requirements, *will* is only used in statements of fact.

2. Normative references

The following referenced documents are indispensable for the application of this document (i.e., they must be understood and used, so each referenced document is cited in text and its relationship to this document is explained). For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments or corrigenda) applies.

ANSI X9.62-2005, Public Key Cryptography for the Financial Services Industry: The Elliptic Curve Digital Signature Algorithm (ECDSA).³

ANSI X9.63-2001, Public Key Cryptography for the Financial Services Industry: Key Agreement and Key Transport Using Elliptic Curve Cryptography.

Federal Communications Commission 08-260, Second Report and Order and Memorandum Opinion and Order in the Matter of Unlicensed Operation in the TV Broadcast Bands, November 14, 2008.⁴

Federal Communications Commission 10-174, Second Memorandum Opinion and Order in the Matter of Unlicensed Operation in the TV Broadcast Bands, September 23, 2010.

FIPS 180-3, Secure Hash Standard (SHS), October 2008.⁵

FIPS 186-3, Digital Signature Standard (DSS), June 2009.

FIPS 197, Advanced Encryption Standard, November 2001.

IEEE Std 802[®], IEEE Standard for Local and metropolitan area networks: Overview and Architecture.^{6, 7}

IEEE Std 802.1Qcp[™]-2018, IEEE Standard for Local and metropolitan area networks—Bridges and Bridged Networks—Amendment 30: YANG Data Model.

IEEE Std 802.3[™], IEEE Standard for Ethernet.

IEEE Std 802.16[™], IEEE Standard for Local and metropolitan area networks—Part 16: Air Interface for Broadband Wireless Access Systems.

IEEE Std 802.22.1[™]-2010, IEEE Standard for Information Technology—Telecommunications and information exchange between systems—Local and metropolitan area networks—Specific requirements: Part 22.1: Standard to Enhance Harmful Interference Protection for Low-Power Licensed Devices Operating in TV Broadcast Bands.

IETF RFC 2437, PKCS #1: RSA Cryptography Specifications Version 2.0, October 1998.⁸

IETF RFC 2578, Structure of Management Information Version 2 (SMIv2), April 1999.

³ANSI publications are available from the American National Standards Institute (<http://www.ansi.org/>).

⁴FCC publications are available at <https://www.fcc.gov/edocs>.

⁵FIPS publications are available from the National Technical Information Service, U. S. Department of Commerce (<http://www.ntis.org/>).

⁶The IEEE standards or products referred to in Clause 2 are trademarks owned by The Institute of Electrical and Electronics Engineers, Incorporated.

⁷IEEE publications are available from the Institute of Electrical and Electronics Engineers (<http://standards.ieee.org>).

⁸IETF documents (i.e., RFCs) are available for download at <http://www.rfc-archive.org/>.

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IETF RFC 2758, Definitions of Managed Objects for Service Level Agreements Performance Monitoring, February 2000.

IETF RFC 3279, Algorithms and Identifiers for the Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile, April 2002.

IETF RFC 4492, Elliptic Curve Cryptography (ECC) for Transport Layer Security (TLS), May 2006.

IETF RFC 5216, The EAP-TLS Authentication Protocol, March 2008.

IETF RFC 5246, The Transport Layer Security (TLS) Protocol Version 1.2, August 2008.

IETF RFC 5280, Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile, May 2008.

IETF RFC 5281, Extensible Authentication Protocol Tunneled Transport Layer Security Authenticated Protocol Version 0 (EAP-TTLSv0), August 2008.

IETF RFC 5649, Advanced Encryption Standard (AES) Key Wrap with Padding Algorithm, August 2009.

IETF RFC 6020, YANG—A Data Modeling Language for the Network Configuration Protocol (NETCONF), October 2010.

International Telecommunications Union, Radio Regulations, Geneva, Switzerland, Edition of 2008.⁹

NIST Special Publication 800-38D, Recommendation for Block Cipher Modes of Operation: Galois/Counter Mode (GCM) and GMAC, November 2007.¹⁰

NMEA 0183, Interface Standard of the National Marine Electronics Association, Version 4.00.¹¹

Standards for Efficient Cryptography Group (SECG), SEC 4: Elliptic Curve Qu-Vanstone Implicit Certificate Scheme (ECQV), Version 1.0, January 24, 2013.¹²

Trusted Computing Group, TPM Main Part 1 Design Principles Specification Version 1.2 Level 2 (Revision 103) 9 July 2007.¹³

Trusted Computing Group, TPM Main Part 2 TPM Structures Specification Version 1.2 Level 2 (Revision 116) 1 March 2011.

Trusted Computing Group, TPM Main Part 3 Commands Specification Version 1.2 Level 2 (Revision 116) 1 March 2011.

⁹ITU-T publications are available from the International Telecommunications Union (<http://www.itu.int/>).

¹⁰NIST publications are available from the National Institute of Standards and Technology (<http://www.csrc.nist.gov/>).

¹¹NMEA publications are available from the National Marine Electronics Association at <https://www.nmea.org/>.

¹²Available at <https://www.secg.org/>.

¹³Trusted Computing Group publications available at <https://trustedcomputinggroup.org/resource/tpm-main-specification/>.

3. Definitions

For the purposes of this document, the following terms and definitions apply. The *IEEE Standards Dictionary Online* should be consulted for terms not defined in this clause.¹⁴

access zone (AZ): A communication zone between an advanced base station (A-BS) and relay customer premise equipment (R-CPE) or between an A-BS and an advanced customer premise equipment (A-CPE) in a frame defined by frame structure for PHY Operation Mode 2 (PHY-OM2).

advanced base station (A-BS): A generalized equipment set providing connectivity, management, and control of the advanced customer premise equipment (A-CPE) and the relay customer premise equipment (R-CPE).

NOTE—The functionalities attributed to the A-BS, in the context of this standard, may be implemented by a device or a collection of devices.¹⁵

advanced customer premise equipment (A-CPE): A generalized equipment set providing connectivity between an advanced base station (A-BS) and a subscriber premise, or between a relay customer premise equipment (R-CPE) and a subscriber premise. A-CPE is used for any customer premise equipment that is not a CPE or an R-CPE. When multi-hop relay is not operational in the Advanced Wireless Regional Area Network (A-WRAN), the A-CPE can be configured to operate in multi-channel mode.

Advanced Wireless Regional Area Network (A-WRAN): The A-WRAN provides all essential functionalities of PHY, MAC, security, and cognitive radio technologies defined in the IEEE 802.22 WRAN and supports additional functionalities of an additional PHY Operation Mode 2 (PHY-OM2), multi-hop relay operations, multiple channel operations, multiple-input-multiple-output (MIMO) operations, and advanced security to extend regional area broadband services to the regional monitoring applications and the enhanced broadband services.

analog television: Radio frequency (RF) transmission of audio and video by analog signals [e.g., NTSC, PAL, SECAM].

NOTE—See ITU-R BT.470 [B47].¹⁶

authenticator: An entity at one end of a point-to-multipoint Wireless Regional Area Network (WRAN) link that facilitates the authentication of the entity attached at the other end of that link.

backup channel: A channel that has been cleared [i.e., verified that no incumbent service will be affected by Wireless Regional Area Network (WRAN) operation in this channel] to immediately become the operating channel in case the WRAN needs to switch channel.

NOTE—See 11.2.2.

base station (BS): Generalized equipment set providing connectivity, management, and control of the customer premise equipment (CPE).

NOTE—The functionalities attributed to the BS, in the context of this standard, may be implemented by a device or a collection of devices.

broadcast connection: A connection established to transport MAC management messages and data from the base station (BS) to all of the customer premise equipment (CPE) in the cell on the downstream (DS).

¹⁴*IEEE Standards Dictionary Online* is available at: <http://dictionary.ieee.org>.

¹⁵Notes in text, tables, and figures of a standard are given for information only and do not contain requirements needed to implement this standard.

¹⁶The numbers in brackets correspond to those of the bibliography in Annex H.

burst: A two-dimensional segment of OFDM subchannels (frequency domain) and symbols (time domain). It may comprise multiple MAC Protocol Data Units (PDUs) that are encoded with the same physical modulation and coding.

candidate channel: A channel that, once cleared from potential harmful interference to incumbents, can become a backup channel.

NOTE—See 11.2.2.

carrier-to-noise ratio (CNR): Ratio of the power of a subcarrier to the noise power in a bandwidth corresponding to the subcarrier spacing for a set of system parameters.

NOTE—See Table 248.

cell: An IEEE 802.22 cell (or simply, a cell) is formed by a single IEEE 802.22 base station (BS) and zero or more IEEE 802.22 customer premise equipment (CPE) associated with and under control of this IEEE 802.22 BS. The coverage area of this cell extends up to the point where the signal received from the IEEE 802.22 BS is sufficient to allow IEEE 802.22 CPEs to associate and maintain communication with the BS.

centralized relay zone (CRZ): A communication zone between a centralized scheduling relay customer premise equipment (R-CPE) and advanced customer premise equipment (A-CPE) in a frame defined by frame structure for PHY Operation Mode 2 (PHY-OM2).

centralized scheduling relay customer premise equipment (R-CPE): A generalized equipment set providing relay connectivity between an advanced base station (A-BS) and advanced customer premise equipment (A-CPE) using centralized scheduling mode.

centralized scheduling mode: A mode where an advanced customer premise equipment (A-CPE) operates as a centralized scheduling relay customer premise equipment (R-CPE).

channel: Refers to a specific physical radio frequency (RF) channel, a contiguous segment of spectrum in the TV broadcast frequency bands, which may be 6 MHz, 7 MHz, or 8 MHz wide, depending on the relevant regulatory domains. *See also:* **logical channel.**

cognitive plane: The cognitive plane consists of all the entities in the IEEE 802.22 reference architecture that relate to cognitive functions. These cognitive functions are the spectrum manager/spectrum automaton, spectrum sensing function, the geolocation function, and the security sublayer 2. The spectrum manager/spectrum automaton reside at the same level as the MAC common part sublayer in the data plane whereas the spectrum sensing function (SSF) and the geolocation function reside at the same level as the PHY in the data plane.

cognitive radio: A functionality of some wireless communication devices in which either a network or a wireless node can change the devices' transmission and/or reception parameters to communicate efficiently and to avoid interference with licensed or license-exempt users. This alteration of parameters is based on the active monitoring of several factors in the external and internal radio environment, such as radio frequency spectrum, location information, user behavior, network state, etc.

coexistence: State by which license-exempt wireless communication systems of various types can share a same radio frequency (RF) transmission channel in a common area while minimizing harmful interference to each other by the use of appropriate means.

coexistence beacon: Series of bursts that is transmitted with the goal of improving self-coexistence among overlapping IEEE 802.22 cells, potentially improving coexistence among license-exempt wireless

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communication systems of various types if so equipped, regularly signaling the identity of the transmission device for potential interference resolution and enhancing geolocation ranging resolution between customer premise equipment (CPE) within a cell. The beacon is transmitted under the control of the base station (BS) during self-coexistence windows.

connection: Data path established between base station (BS) and customer premise equipment (CPE) for transport of data.

customer premise or portable equipment (CPE): A generalized equipment set providing connectivity between a base station (BS) and a subscriber premise.

cyclic prefix (CP): The portion of an OFDM symbol used to absorb inter-symbol interference caused by transmission channel time dispersion. The cyclic prefix is actually a copy of the last portion of the symbol appended to the front of the same symbol. Its size is defined in terms of a ratio to the useful part of the OFDM symbol

NOTE—See Table 249.

database service: Service officially operated under the rules of the local regulatory authority that provides a list of available channels and possibly the maximum EIRP allowable on these channels based on queries containing the geolocation of the Wireless Regional Area Network (WRAN) devices.

digital television: Radio frequency (RF) transmission of audio and video by digital signals (e.g., ATSC, DVB-T, ISDB-T).

NOTE—See ITU-R BT-798 [B49].

distributed relay zone (DRZ): A communication zone between a distributed scheduling relay customer premise equipment (R-CPE) and advanced customer premise equipment (A-CPE) frame defined by frame structure for PHY Operation Mode 2 (PHY-OM2).

distributed scheduling relay customer premise equipment (R-CPE): A generalized equipment set providing relay connectivity between an advanced base station (A-BS) and advanced customer premise equipment (A-CPE), and providing connectivity, management and control of the A-CPEs within a local network, while operating in distributed scheduling mode.

distributed scheduling mode: A mode where an operates as a distributed scheduling relay customer premise equipment (R-CPE).

downstream (DS): The direction of the transmitted signal from a base station (BS) to customer premise equipment (CPE).

downstream channel descriptor (DCD): A medium access control layer (MAC) message that describes the physical layer (PHY) characteristics of a downstream burst.

downstream interval usage code (DIUC): An interval usage code specific to a downstream burst. *See also:* interval usage code.

downstream map (DS-MAP): A medium access control layer (MAC) message that defines the structure of the downstream subframe, i.e., burst locations in the time and frequency domain of the OFDM.

flow Type (FT): An identifier that identifies a particular flow of traffic assigned to a specific customer premise equipment (CPE) or group of CPEs. *See also:* station ID (SID).

frame: Basic time unit over which base stations (BSs) and customer premise equipment (CPE) communicate with each other. It is comprised of one downstream subframe, one upstream subframe, and a self-coexistence window when present.

frame contention source (FC-SRC): A Wireless Regional Area Network (WRAN) cell that is demanding additional spectrum resources (i.e., data frame transmission opportunities on a channel) and is initiating an interactive frame contention process with the target frame contention destination (FC-DST).

frame contention destination (FC-DST): A Wireless Regional Area Network (WRAN) cell that is the target of the frame contention request initiated by a frame contention source (FC-SRC), and is the occupier of the spectrum resources being requested by the FC-SRC.

frame contention number (FCN): Number randomly generated by the frame contention sources (FC-SRCs) and frame contention destinations (FC-DSTs) for determining by contention the priority of frame access.

geolocation: The process of acquiring the location data of a device, determining its latitude and longitude, and producing the corresponding NMEA string.

group resource allocation (GRA): The group is composed of one relay customer premise equipment (R-CPE) and many advanced customer premise equipment (A-CPE). The advanced base station (A-BS) allocates the resources on a group basis, and manages the backup and candidate channels on a group basis.

harmful interference: Any emission, radiation, or induction that endangers the functioning of a radio navigation service or of other safety services or seriously degrades, obstructs, or repeatedly interrupts a radiocommunications service operating in accordance with the ITU and local Regulations.

NOTE—See Article 1 of the ITU Radio Regulations, 1.169, and the FCC 47 CFR 15.3(m).¹⁷

IEEE 802.22.1 wireless beacon: A radio frequency (RF) device that provides enhanced protection to licensed low-power auxiliary devices such as those used in the production and transmission of broadcast programs [e.g., devices licensed as secondary under Title 47 of the Code of Federal Regulations (CFR) in the USA and equivalent devices in other regulatory domains] from harmful interference potentially caused by Wireless Regional Area Network (WRAN) operation.

in-band: The operating channel (N) and its first adjacent channels (N–1 and N+1).

incumbents: Licensed transmission systems operating in the TV bands on a primary or secondary basis according to international and local regulatory rules.

interval usage code: A code identifying a particular burst profile that can be used for a downstream (DS) or upstream (US) transmission interval.

local cell: A cell is formed by a distributed scheduling relay customer premise equipment (R-CPE) and zero or more advanced customer premise equipment (A-CPEs) associated with and under control of the distributed scheduling R-CPE. The coverage area of this local cell extends up to the point where the signal received from the distributed scheduling R-CPE is sufficient to allow A-CPEs to associate and maintain communication with the advanced base station (A-BS) on relay connection.

logical channel: This is the channel number used by the medium access control layer (MAC), and does not necessarily reflect a physical channel. This can be mapped into any representation as defined by the physical layer (PHY). *See also:* **channel**.

¹⁷Information on references can be found in Clause 2.

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MAC Protocol Data Unit (PDU): The smallest unit of transmission/reception to be processed by the medium access control layer (MAC). It is comprised of the MAC header, the payload, and Cyclic Redundancy Check (CRC).

managed node: A node that collects and stores the managed objects in the format of a management information base (MIB) that is made available to the network management system via the Simple Network Management Protocol (SNMP).

managed object: A specific characteristic, among many, of a managed device. Managed objects are comprised of one or more object instances, which are essentially variables. A managed object is also referred to as a management information base (MIB) object or an object.

management connection: A connection established to transport medium access control layer (MAC) management messages and data between the base station (BS) and a particular customer premise equipment (CPE).

Management Information Base (MIB): A collection of information that is organized hierarchically. MIBs are accessed using Simple Network Management Protocol (SNMP). They are comprised of managed objects that are identified by object identifiers.

multicast management connection: A connection established to transport medium access control layer (MAC) management messages and data from the base station (BS) to a particular group of customer premise equipment (CPE) on the downstream (DS).

multicast transport connection: A connection established to transport user data from the base station (BS) to a particular group of customer premise equipment (CPE) on the downstream (DS).

multiple channel: Refers to more than one specific physical radio frequency (RF) channel in the TV broadcast frequency bands, which may be 6 MHz, 7 MHz, or 8 MHz wide depending on the relevant regulatory domains.

multiple-input, multiple-output (MIMO): A system employing at least two transmit (Tx) antennas and at least two receive (Rx) antennas to improve the system capacity, coverage, or throughput

OFDM slot: A two-dimensional entity defined as one OFDM symbol by one subchannel.

operating channel: Channel being used by a Wireless Regional Area Network (WRAN) system.

out-of-band: Any channel that is not in-band. *See also:* **in-band**.

PHY Operation Mode 1 (PHY-OM1): A physical layer mode supporting the system defined in Clause 9.

PHY Operation Mode 2 (PHY-OM2): A physical layer mode supporting the system defined in Clause 10.

protected contour: The regulator-defined boundary of an area within which a TV station is entitled to protection from harmful interference.

quiet period: A specific period of time during which the base station (BS) has scheduled a cessation of all transmission in its cell for the purpose of sensing.

receive/transmit transition gap (RTG): A gap between the last sample of the upstream (US) subframe and the first sample of the subsequent downstream (DS) subframe at the antenna port of the base station (BS) in a time division duplex (TDD) transceiver. This gap allows time for the BS to switch from receive (Rx) to

transmit (Tx) mode. During this gap, the BS is not transmitting modulated data but simply allowing the BS transmitter carrier to ramp up and the Tx/Rx antenna switch to actuate.

relay customer premise equipment (R-CPE): An advanced customer premise equipment (A-CPE) configured to provide relay connectivity between an advanced base station (A-BS) and A-CPEs. An R-CPE can operate in one of two modes: centralized scheduling mode or distributed scheduling mode.

relay receive/transmit transition gap (RRTG): A gap between the last sample of the downstream (DS) access zone and the first sample of the subsequent DS relay zone at the antenna port of the relay customer premise equipment (R-CPE) in a time division duplex (TDD) transceiver. This gap allows time for the R-CPE to switch from receive (Rx) to transmit (Tx) mode. During this gap, the R-CPE is not transmitting modulated data but simply allowing the R-CPE transmitter carrier to ramp up and the Tx/Rx antenna switch to actuate.

relay transmit/receive transition gap (RTTG): A gap between the last sample of the upstream (US) access zone and the first sample of the subsequent US relay zone at the antenna port of the relay customer premise equipment (R-CPE) in a time division duplex (TDD) transceiver. This gap allows time for the R-CPE to switch from transmit (Tx) to receive (Rx) mode. During this gap, the R-CPE is not transmitting modulated data but simply allowing the R-CPE transmitter carrier to ramp down and the Tx/Rx antenna switch to actuate, and the R-CPE receiver section to activate.

relay zone (RZ): A communication zone between any relay customer premise equipment (R-CPE) and an advanced customer premise equipment (A-CPE) or advanced base station (A-BS). A relay zone is operated as one of the following two relay zones: centralized relay zone (CRZ) and distributed relay zone (DRZ).

RSSL: Received Wireless Regional Area Network (WRAN) signal strength level at a base station (BS) or customer premise equipment (CPE).

RSSI: Received signal strength indication (estimate) on the sensing signal path or Wireless Regional Area Network (WRAN) signal path in sensing mode 2 at a base station (BS) or customer premise equipment (CPE).

security association (SA): The set of security information a base station (BS) and one or more of its customer premise equipment (CPE) share in order to support secure communications. This shared information includes traffic encryption keys (TEKs) and the cipher suite that is to be applied to the SA.

security association identifier (SAID): An identifier shared between the base station (BS) and a customer premise equipment (CPE) that uniquely identifies a security association (SA).

security sublayer 1: Security functions for the Data/Control Plane.

security sublayer 2: Security functions for the Cognitive Plane.

self-coexistence: A state by which wireless communication systems of the same type can share a radio frequency (RF) transmission channel in a common area while minimizing harmful interference to each other by the use of appropriate means.

NOTE—In the case of IEEE 802.22, this means coexistence of multiple nearby IEEE 802.22 cells.

sensing mode: A mode of operation of the spectrum sensing function that specifies the valid outputs of the spectrum sensing function.

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service flow: Defines the quality of service (QoS) parameters for the Protocol Data Units (PDUs) that are exchanged on a connection, and provides a mechanism for upstream (US) and downstream (DS) QoS management.

service flow identifier (SFID): A unique identifier for a service flow dealing with how higher layer packets/application sessions are mapped to their quality of service (QoS) requirements and scheduling constraints.

signal type array (STA): A one-dimensional array indicating which signal types the spectrum sensing function is to sense.

spectrum manager: The cognitive function at the base station (BS) that will use the inputs from the database service based on the geolocation at the BS and the customer premise equipment (CPE), as well as the input from the spectrum sensing automaton (SSA) at the BS and the CPEs to decide on the channel to be used by the Wireless Regional Area Network (WRAN) cell as well as the EIRP limits imposed on the specific WRAN devices.

spectrum sensing automation: Cognitive function resident at the base station (BS) and the customer premise equipment (CPE) that includes essential features to allow autonomous and directed sensing at the BS and at the CPE as well as proper CPE operation when it is not under the control of a BS.

spectrum sensing function (SSF): The function that observes the radio frequency (RF) spectrum for a defined set of signal types and reports the results of its observations.

station ID (SID): A unique identifier for a customer premise equipment (CPE) under the control of a base station (BS). This can reflect a single CPE, or a group of CPEs (e.g., multicast group).

subchannel: The basic unit of a logical channel used for subcarrier allocation in both downstream (DS) and upstream (US). A subchannel is composed of 28 subcarriers (24 data and 4 pilot subcarriers).

subframe: Formed by a number of bursts transmitted in the same direction [e.g., downstream (DS) subframe, upstream (US) subframe].

superframe: Group of 16 frames initiated by the transmission from the base station (BS) of the superframe preamble and the Superframe Control header (SCH).

Supplicant: An entity at one end of a point-to-multipoint Wireless Regional Area Network (WRAN) link that seeks to be authenticated by an Authenticator attached to the other end of that link.

symbol period: Fundamental unit of duration in the time domain that is equal to the duration of an OFDM symbol in the time domain.

NOTE—See Table 284.

time division duplex (TDD): A duplex scheme where upstream (US) and downstream (DS) transmissions occur at different times but may share the same transmission channel.

transmit/receive transition gap (TTG): A gap between the last sample of the downstream (DS) subframe and the first sample of the subsequent upstream (US) subframe at the antenna port of the base station (BS) in a time division duplex (TDD) transceiver. This gap allows time for the BS to switch from transmit (Tx) to receive (Rx) mode. During this gap, the BS is not transmitting modulated data but simply allowing the BS transmitter carrier to ramp down and the Tx/Rx antenna switch to actuate, and the BS receiver section to activate.

transport connection: A connection established to transport user data between the base station (BS) and a particular customer premise equipment (CPE).

upstream (US): The direction of the transmitted signal from a customer premise equipment (CPE) to the base station (BS).

upstream channel descriptor (UCD): A medium access control layer (MAC) message that describes the physical layer (PHY) characteristics of an upstream transmission burst.

upstream interval usage code (UIUC): An interval usage code specific to an upstream burst. *See also:* interval usage code.

upstream map (US-MAP): A medium access control layer (MAC) message that defines the media access parameters (i.e., burst start time, burst length, and subchannel usage) for the OFDMA upstream (US) subframe for the customer premise equipment (CPE) scheduled to transmit toward the base station (BS).

urgent coexistence situation (UCS): A situation where a Wireless Regional Area Network (WRAN) detects incumbents in the current operating channel.

wireless microphone: A wireless microphone with licensed use of the TV broadcast bands (e.g., Part 73 and 74 devices in the U.S.A.) and equivalent low-power auxiliary devices allowed under the rules of various regulatory domains.

4. Abbreviations and acronyms

AAA	Authentication, Authorization, and Accounting
AAD	additional authenticated data
A-BS	advanced base station
A-CPE	advanced customer premise equipment
AES	Advanced Encryption Standard
A-FCH	advanced frame control header
AK	authorization key
ARQ	Automatic Repeat Request
AU	antenna unit
A-WRAN	advanced wireless regional area network
AZ	access zone
BCC	binary convolutional coding
BE	best effort
BS	base station
BSN	block sequence number
BTC	Block Turbo Code
CA	Certificate Authority
CAM	channel allocation manager
CBP	Coexistence Beacon Protocol
CC	confirmation code
CDMA	Code Division Multiple Access
CHU	channel transceiver unit
CID	connection identifier
CINR	carrier-to-interference and noise ratio
CNR	carrier-to-noise ratio
CoS	class of service
CP	cyclic prefix
CPE	customer premise equipment
CPS	Common Part sublayer
CRC	Cyclic Redundancy Check

CRZ	centralized relay zone
CS SAP	Convergence sublayer Service Access Point
CS	Convergence sublayer
C-SAP	Control Service Access Point
CTC	Convolutional Turbo Code
CTR	Counter Mode Encryption
DAMA	Demand Assigned Multiple Access
DCD	Downstream Channel Descriptor
DFS	Dynamic Frequency Selection
DHCP	Dynamic Host Configuration Protocol
DIUC	Downstream Interval Usage Code
DL	downlink
DRZ	distributed relay zone
DS	downstream
DSA	Dynamic Service Addition
DSC	Dynamic Service Change
DSD	Dynamic Service Deletion
DSx	Dynamic Service Addition, Change, or Deletion
DTT	downstream transit test
EAP	Extensible Authentication Protocol
ECC	Elliptic Curve Cryptography
EIRP	Effective Isotropic Radiated Power
Ext-FCH	extended frame control header
FC-DST	Frame Contention Destination
FCH	Frame Control Header
FCN	Frame Contention Number
FC-SRC	Frame Contention Source
FDD	Frequency Division Duplex
FFT	Fast Fourier Transform
FT	flow type
GCM	Galois Counter Mode

GMAC	AES-GCM Message Authentication Code
GMH	generic MAC header
GRA	group resource allocation
HCS	Header Check Sequence
IANA	Internet Assigned Numbers Authority
ID	identification
IDRP	Incumbent Detection Recovery Protocol
IE	information element
IETF	Internet Engineering Task Force
IFFT	Inverse FFT
IP	Internet Protocol
IUC	Interval Usage Code
LAN	Local Area Network
LBS	Location Based Service
LCU	local cell update
LDPC	Low Density Parity Check Code
LLC	Link Layer Control
LSB	least significant bit
LTS	Long Training Sequence
MAC SAP	Medium Access Control Service Access Point
MAC	Medium Access Control Layer
MD-TCM	multidimensional trellis coded modulation
MIB	Management Information Base
MIC	Message Integrity Check
MIMO	multiple-input, multiple-output
MPDU	MAC Layer Protocol Data Unit
MPEG	Moving Pictures Experts Group
MPFA	Maximum Probability of False Alarm
MPR	microphone protection radius
M-SAP	Management Service Access Point
MSB	most signification bit

MSDU	MAC Layer Service Data Unit
NCMS	Network Control and Management System
NCS	Network Control System
NMEA	National Marine Electronics Association
NMS	Network Management System
nrtPS	Non-real-time Polling Service
ODFC	on-demand frame contention
OFDM	Orthogonal Frequency Division Multiplexing
OFDMA	Orthogonal Frequency Division Multiple Access ¹⁸
OSIC	ordered successive interference cancellation
OSTBC	orthogonal space-time block codes
PAPR	Peak-to-Average Power Ratio
PDU	Protocol Data Unit
PER	Packet Error Rate
PHY SAP	Physical Layer Service Access Point
PHY	Physical Layer
PHY-OM1	Physical Layer Operation Mode 1
PHY-OM2	Physical Layer Operation Mode 2
PMP	point to multipoint
PN	Packet Number, also used for pseudo-noise
PPDU	Physical Layer Protocol Data Unit (PHY burst)
PRM	Protocol Reference Model
PSDU	Physical Layer Service Data Unit (equivalent to MPDU)
QAM	Quadrature Amplitude Modulation
QoS	quality of service
QP	quiet period
QPSK	Quadrature Phase-Shift Keying
RAN	Regional Area Network
R-CPE	relay customer premise equipment

¹⁸ To align with accepted usage, OFDMA is also used in this standard to refer to the multi-user variant of OFDM.

ROHC	Robust Header Compression
RRM	Radio Resource Management
RRTG	relay receive/transmit transition gap
RS	Reed-Solomon
RSSI	Received Signal Strength Indication on the WRAN signal path or sensing path in sensing mode 2
RSSL	Received WRAN Signal Strength
RTG	Receive/Transmit Transition Gap
rtPS	Real-time Polling Service
RTTG	relay transmit/receive transition gap
SA	security association
SAID	security association identifier
SAP	service access point
SBTC	Shortened Block Turbo Code
SCH	superframe control header
SCM	Security for Control and Management
SCW	self-coexistence window
SDU	Service Data Unit
SFID	service flow identifier
SID	station ID
SM	spectrum manager
SM-GL SAP	Spectrum Manager, Geolocation Service Access Point
SM-SSF SAP	Spectrum Manager, Spectrum Sensing Function Service Access Point
SNMP	Simple Network Management Protocol
SNR	signal-to-noise ratio
SPA	Signal Present Array
SPC	Subcarrier Power Control
SPD	Secondary Protecting Device
SSA	Spectrum Sensing Automaton
SSF	Spectrum Sensing Function
STA	Signal Type Array

STC	space time coding
STS	Short Training Sequence
SWS	Sensing Window Specification
SWSA	Sensing Window Specification Array
TCP	Transmission Control Protocol
TDD	time division duplex
TDM	time division multiplex
TDMA	Time Division Multiple Access
TEK	Traffic Encryption Key
TFTP	Trivial File Transfer Protocol
TPC	Transmit Power Control
TRU	transceiver unit
TTG	Transmit/Receive Transition Gap
TU	Time Unit corresponding to a nominal sampling period (see Table 199)
UCD	Upstream Channel Descriptor
UCS	urgent coexistence situation
UGS	Unsolicited Grant Service
UIUC	Upstream Interval Usage Code
UL	uplink
US	upstream
WG	working group
WGS	World Geodetic System
WRAN	Wireless Regional Area Network
YANG	Yet Another Next Generation
ZF	zero-forcing

5. System architecture

5.1 Reference architecture

The IEEE 802.22 reference architecture is depicted in Figure 3 and Figure 4. A unique characteristic of this architecture is the addition of cognitive components. Spectrum availability in the TV bands can be fragmented, i.e., some channels can be occupied by incumbents in an area (see Figure 2) while others can be available for WRAN transmission, and this availability can vary in time. As shown in Figure 2, channel 3 is allocated to the IEEE 802.22 WRAN system, while channels 1 and 5 are in use by overlapping IEEE 802.22 cells. Channels 2, 4, and 6 would be available for more WRAN services in the area. Also, proper frequency separation is enforced in order to protect incumbent services using cognitive radio techniques—the air interface must be frequency agile, must adjust to the fragmented and time-varying spectrum availability, and must avoid interference to the TV band incumbent services. The required functional capabilities of these cognitive radio techniques are established in this standard (see Clause 11). In particular, the cognitive components of the system architecture must keep track of multiple channels, know which of these channels are occupied by incumbents and which can be used for WRAN transmission, allow for dynamic frequency selection, and avoid interference to incumbents on a real-time basis.

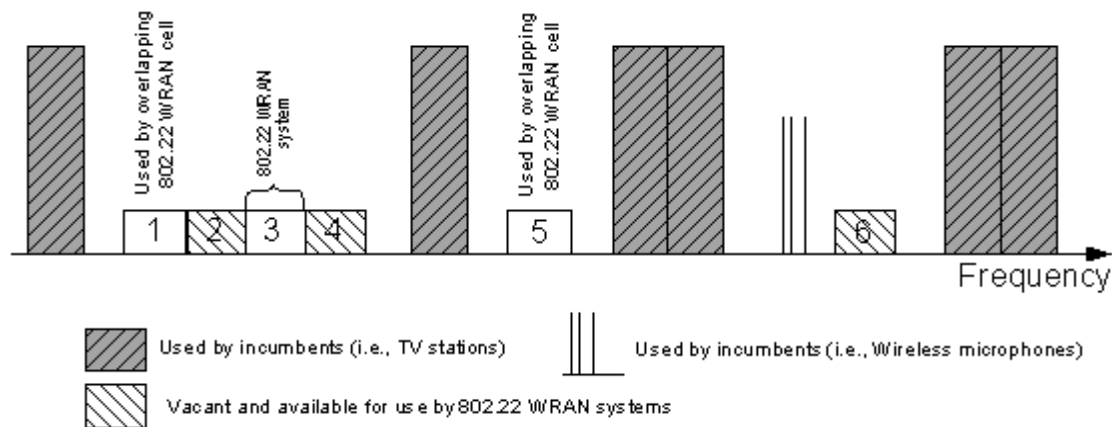


Figure 2—Illustrative diagram of spectrum allocations in TV bands

The Protocol Reference Model (PRM), shown in Figure 3 and Figure 4, keeps the usual data and management/control plane functionality intact, while creating a new cognitive plane to support cognitive radio capabilities. The data plane must carry the end user data as well as the management and control information. Figure 3 and Figure 4 show the PRMs at the BS and at the CPE, respectively.

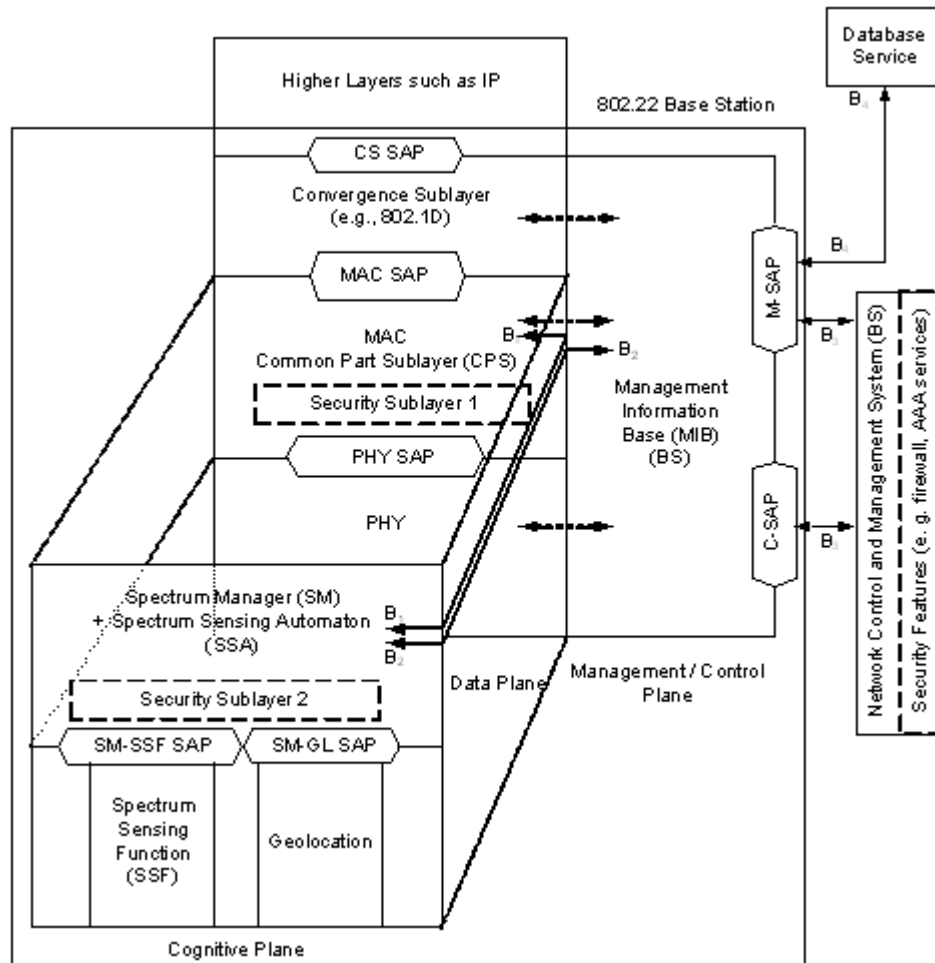


Figure 3—Protocol Reference Model (PRM) of the IEEE 802.22 BS

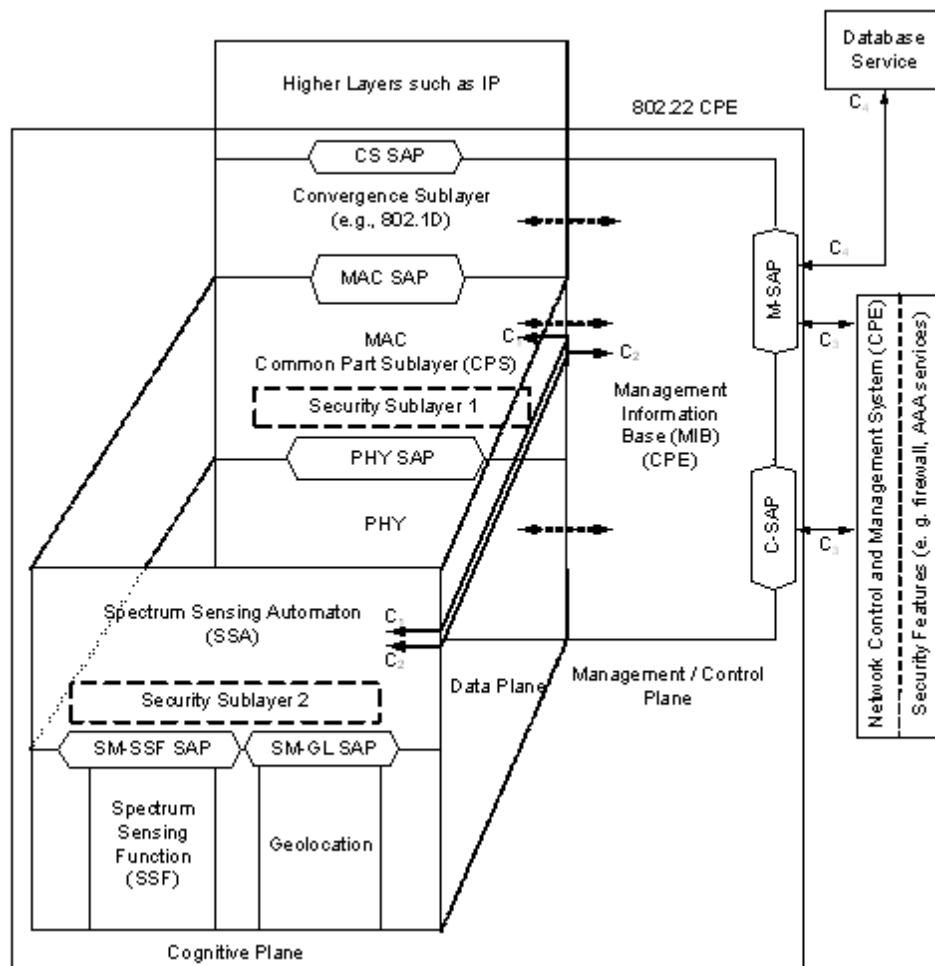


Figure 4—Protocol Reference Model (PRM) of the IEEE 802.22 CPE

5.1.1 Data plane

The data plane consists of the Physical Layer (PHY), the Medium Access Control Layer (MAC) and the Convergence sublayer (CS). Service Access Points (SAPs) are added in between these layers to allow modularization of the system where different components may be disjointed and/or supplied by different vendors. A SAP is provided with a well-defined interface or set of primitives to exchange the information, by virtue of which these different components can talk to each other.

The Data & Control/Management plane of the MAC shall be comprised of three sublayers: service-specific CS, the MAC Common Part sublayer (CPS), and the security sublayer 1. The service-specific CS shall provide transformation or mapping of external network data that is received through the CS SAP, into MAC Service Data Units (SDUs) and data that is received by the MAC CPS through the MAC SAP. This transformation or mapping shall include classifying external network SDUs and associating them to the proper MAC service flow identifier (SFID) and flow type (FT). Multiple CS specifications are provided for interfacing with various protocols. The internal format of the CS payload is unique to the CS, and the MAC CPS is not required to understand the format of or parse any information from the CS payload.

The MAC Common Part sublayer shall provide the core MAC functionality of system access, connection establishment, and connection maintenance. The data that the MAC layer receives from the various CSs through the MAC SAP shall be classified onto particular MAC connections. An example of the MAC CPS

service definition is given in Clause 6. QoS is applied to the transmission and scheduling of data over the PHY. The MAC security sublayer 1 shall provide mechanisms for authentication, secure key exchange, encryption, etc. Data, PHY control, and monitoring statistics (spectrum sensing, RSSI, RSSL, etc.) shall be transferred between the MAC CPS and the PHY via the PHY SAP.

5.1.2 Management/control plane

The management/control plane shall consist of the Management Information Base (MIB), or optionally consist of the YANG data model (see IEEE 802.1Qcp-2018 and IETF 6020). SNMP is used to communicate with the MIB database and some of its primitives may be used to manage the network entities (BS, CPE, switches, routers, etc.). The MIB primitives shall be used for system configuration, monitoring statistics, notifications, triggers, CPE and session management, Radio Resources Management (RRM), communication with the database service, spectrum sensing and geolocation reporting, etc. The MIB data may be obtained either from the network, predefined within the system, or obtained from another device (e.g., BS) after an exchange of information using SNMP over the communication medium.

5.1.3 Cognitive plane

The cognitive plane shall be comprised of the spectrum sensing function (SSF), the geolocation (GL) function, the spectrum manager/spectrum sensing automaton (SM/SSA) and a dedicated security sublayer 2.

The SSF shall implement spectrum sensing algorithms and the GL module shall provide the information to determine the location of the IEEE 802.22 device (BS or CPE). The SSF and the GL modules are described in 15.2.2 and 15.2.3, respectively.

5.1.3.1 Spectrum Manager (SM)

The SM shall reside in the cognitive plane of the BS at the same layer as the MAC CPS in the data plane as shown in Figure 3. The SM shall maintain spectrum availability information, manage channel lists, manage quiet periods scheduling, and implement coexistence mechanisms. The SM shall also take requests from the MAC/PHY. For example, the MAC must inform the SM if an interference situation has been detected (e.g., with incumbents or other IEEE 802.22 cells) during normal operation in the channel. The SM must then take appropriate actions to resolve the issue such as moving to another channel (as specified in 11.2.3). In order to do this, the SM must first provide sufficient quiet period time for the CPEs to carry out in-band sensing (on channels N and $N \pm 1$) to clear these channels within the specified channel monitoring requirement (see Annex A), and then keep an updated list of backup and candidate channels in a prioritized order and make sure that the CPEs have enough idle time to clear a sufficient number of backup channels. If an incumbent is found from the in-band sensing, the MAC shall use the informed response from the SM to perform the switching operation.

The SM has a key role in the overall architecture as it is the central point at the BS where all the information on the spectrum availability resulting from the database service and the spectrum sensing function is gathered. Based on this combined information, local regulations, and predefined SM policies (as specified in 11.2.5), the SM shall provide the necessary configuration information to the MAC, which shall remotely configure all the registered CPEs. Explicit connections B_1 and B_2 are shown in Figure 3. This signifies that different kinds of information are exchanged between the SM and the MAC, and the SM and the MIBs. Connection B_2 shall be used for configuration of the SM at the BS, transmission of the available channel list to the SM, as well as reporting the RF environment information via the MIBs. Connection B_1 shall be used by the SM to initiate channel move, to configure the SSA at the CPE (backup/candidate channel list, specific channels to be sensed, etc.) as well as to gather information from the CPEs (local sensing information, local geolocation information, etc.).

The functions of the SM are described in 11.2.

5.1.3.2 Spectrum Sensing Automaton (SSA)

A simpler spectrum management entity, called Spectrum Sensing Automaton, is present at the BS and at the CPEs and independently implements specific procedures for sensing the RF environment at initialization of the BS and before the registration of a CPE with the BS. The SSA at the CPE shall also include essential features to allow proper operation when the CPE is not under the control of a BS such as independent procedures during initialization and channel change and, while the CPE is idle, the SSA shall conduct out-of-band sensing and report to the BS so that it can refresh the status of the channels in the backup/candidate channel list. At any other time, the SSA at the CPE is under the control of the SM. The SSA at the BS is also active when the BS is not transmitting to conduct out-of-band sensing. The SSA located at the BS can also carry-out sensing to clear channels when the BS is not transmitting. The functions of the SSA are described in 11.3.

Explicit connections C_1 and C_2 at the CPE are shown in Figure 4. Connection C_2 shall be used to convey the environment monitoring information (e.g., list of service providers detected) via the MIBs to the CPE local interface in order to allow for the choice of the WRAN service by a professional installer through upper layers at the CPE. Connection C_1 shall be used to convey the local environment information such as sensing and geolocation to the BS.

5.1.3.3 Security sublayer 2

In addition to the security sublayer 1 at the data plane, security sublayer 2 is introduced in the cognitive plane. Security sublayer 2 enhances the security for the cognitive radio based access. The detailed operation of the security sublayers 1 and 2 are described in Clause 8. The functions of the security sublayers 1 and 2 shall help ensure spectrum and service availability; provide data and signal authentication; as well as ensure data, control and management message integrity, confidentiality and non-repudiation. The role of the security sublayer 2 is to provide enhanced protection to the incumbents as well as necessary protection to the IEEE 802.22 systems. If the IEEE 802.22.1 beacon has to be detected in the given regulatory domain and the transmission needs to be authenticated, the security sublayer 2 shall be used along with the security mechanism provided (ECC-based signature) to authenticate this beacon. Similarly, security sublayer 1 shall be used to authenticate a CBP packet originating from a neighboring cell CPE.

5.2 Management reference architecture

IEEE 802.22 network cells consist of a BS and up to 512 CPE devices or groups of devices. IEEE 802.22 networks may contain multiple cells. For multiple cells operation, interfacing with entities for management and control purposes is required. This standard refers to an abstract “black box” Network Control and Management System (NCMS) containing these entities. The NCMS allows the PHY/MAC layers specified in this standard to be independent of the network architecture, the transport network, and the protocols used at the backend and therefore allows greater flexibility. NCMS logically exists at the BS and CPE sides of the radio interface, termed NCMS (BS) and NCMS (CPE) respectively. Any necessary inter-BS coordination is handled through the NCMS (BS).

Figure 5 shows an example of a management reference model for WRANs. This example consists of a Network Management System (NMS), a Network Control System (NCS), and managed nodes. The BS and CPEs shall collect and store the managed objects (see 5.2.4) in the format as defined in the WRAN Management Information Base (MIB). The MIB is defined and specified in Clause 13. The Network Control System contains the service flow and the associated QoS information that is pre-populated in service classes at the BS and instantiated when a CPE requests services.

Interfaces B4 in Figure 3 and C4 in Figure 4 describe the interface between the CPE/BS and the database service. These interfaces are used to register with the database and request a set of available channels. Interfaces B3 in Figure 3 and C3 in Figure 4 describe the interface between the CPE/BS and the NCMS.

In the management reference architecture example in Figure 5, management information that is stored in the form of MIBs must be conveyed using SNMP messages. Use of MIBs to define the structure of management information and SNMP to convey this data is optional. If MIBs and the SNMP are not used to store and transport management information, the network operator and device manufacturers will have to specify another means (e.g., YANG data models, see IEEE Std 802.1Qcp-2018 and IETF 6020) to enable management functions. The management information between the CPE and the BS may be carried over a secondary management connection.

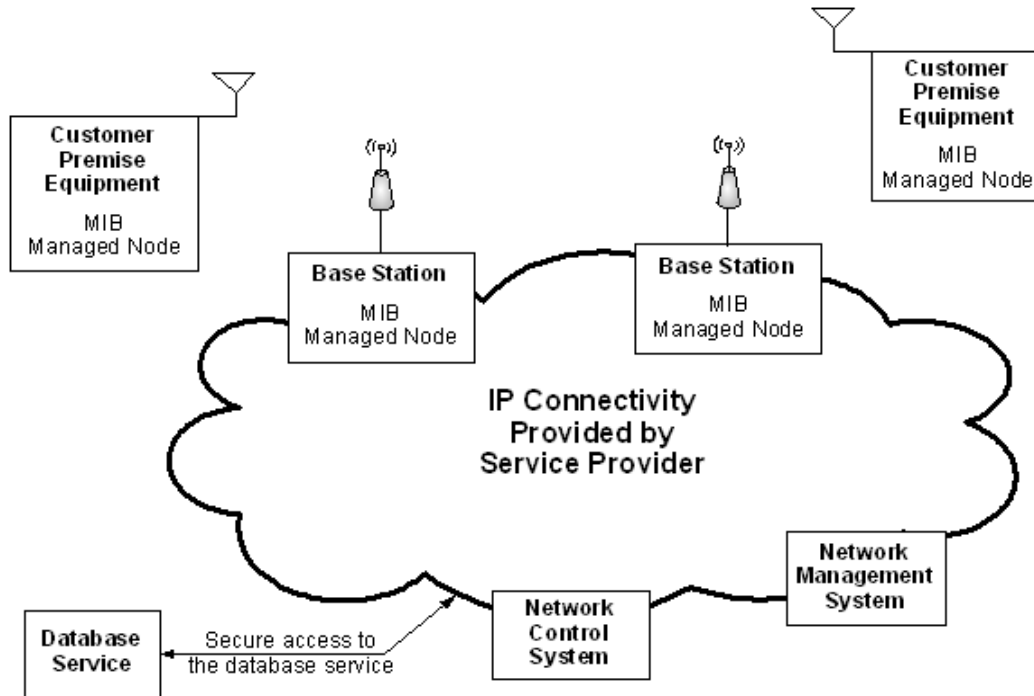


Figure 5—Management reference model

Examples of services that may be provided by the NCMS include: Authentication, Authorization, and Accounting (AAA) services, Radio Resources Management (RRM) services, security services, Service Flow Management services, Location-Based services (LBS) management, and Network Management services.

5.2.1 Service access primitives (SAPs)

In the Management/Control Plane, the NCMS is interfaced to the MAC and PHY layer entities of the CPE and BS through two Service Access Primitives (SAPs). The BS and CPE shall include a Control-SAP (C-SAP) and Management-SAP (M-SAP) that provide NCMS access to the control plane and management plane functions from upper layers. The M-SAP is used for less time-sensitive Management plane primitives, and the C-SAP is used for more time-sensitive Control plane primitives. The C-SAP and M-SAP interfaces are described in 5.2.1.1 and 5.2.1.2. The NCMS uses the C-SAP and M-SAP to interface with the IEEE 802.22 managed nodes.

In the Cognitive Plane, the SM is interfaced with the SSA through two SAPs. The SM uses the SM-SSF SAP to interface with the Spectrum Sensing Function on the SSA and the SM-GL SAP to interface with the geolocation function on the SSA.

5.2.1.1 Management SAP (M-SAP)

The M-SAP may include, but is not limited to primitives related to the following:

- System configuration
- Monitoring statistics
- Notifications/triggers
- Sensing and geolocation reporting
- Communication with the database service

5.2.1.2 Control SAP (C-SAP)

The Control SAP may include, but is not limited to primitives related to the following:

- Subscriber and Session management
- Security context management
- Radio Resource Management
- AAA server signaling

5.2.1.3 SM-SSF SAP (SM-SSF-SAP)

The SM-SSF SAP includes, but is not limited to, primitives related to the following:

- Channel Sensing configuration
- Channel Sensing reporting

5.2.1.4 SM-GL SAP (SM-GL-SAP)

The SM-GL SAP includes, but is not limited to, primitives related to the following:

- Geolocation fix calculation request
- Geolocation fix calculation results

5.2.2 Network Reference Model

Figure 6 describes a simplified network reference model. Multiple CPEs may be attached to a BS. CPEs communicate to the BS over the U interface using a Basic Management Connection, a Primary Management Connection, or a Secondary Management Connection (see 7.2).

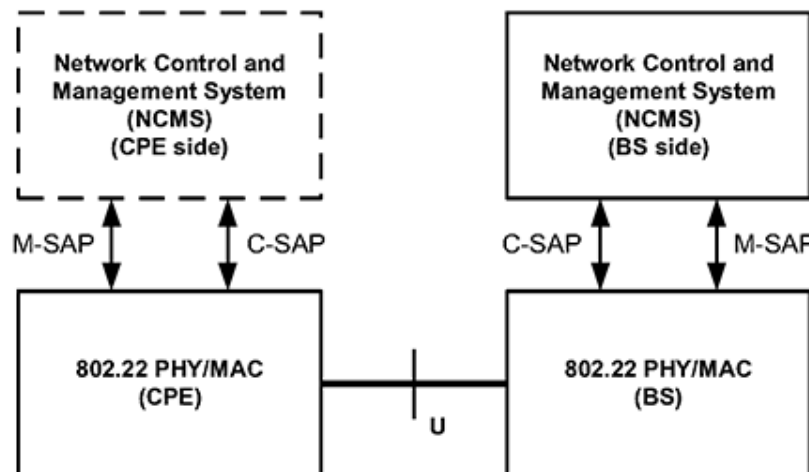


Figure 6—IEEE 802.22 Network Reference Model

5.2.3 CPE and BS Interface

This standard observes the following correlation:

- MAC management PDUs that are exchanged on the basic management connection trigger or are triggered by primitives that are exchanged over the C-SAP.
- MAC management PDUs that are exchanged on the primary management connection trigger or are triggered by primitives that are exchanged over either the C-SAP or the M-SAP depending on the particular management or control operation.
- Messages that are exchanged over the secondary management connection trigger or are triggered by primitives that are exchanged over the M-SAP.

5.2.4 Managed objects

Managed information items are also known as “managed objects.” If managed objects are defined as MIBs (see Clause 14), the definition of them shall follow conventions expressed in IETF RFC 2578. It supports a management protocol agnostic approach, including SNMP. If managed objects are not defined as MIBs, use of conventions defined in IETF RFC 2578 to define them is optional; use of SNMP to transport this information is optional as well.

6. Packet Convergence sublayer

The Packet Convergence sublayer (CS) resides on top of the MAC Common Part sublayer (CPS). The CS shall perform the following functions using classification governed by rules (see 6.3.2 or 6.4.2) defined by the implementer/operator to process higher layer SDUs so they can be sent and received by the IEEE 802.22 BS and CPE. This process can be broken down into the following four steps, each utilizing the services of the MAC:

- Receiving higher-layer packet protocol data units (PDUs) from the higher layer
- Classifying the higher-layer PDUs into the appropriate connection
- Delivering the resulting CS PDUs to the MAC SAP associated with the service flow for transport to the peer MAC SAP
- Receiving the CS PDUs from the peer MAC SAP

The sending CS is responsible for delivering the MAC SDU to the MAC SAP. The MAC is responsible for delivery of the MAC SDU to peer MAC SAP in accordance with the QoS, fragmentation, concatenation, and other transport functions associated with the service flow characteristics of a particular connection. The receiving CS is responsible for accepting the MAC SDU from the peer MAC SAP and delivering it to a higher-layer entity.

The packet CS is used for transport of the following two packet-based protocols supported by this standard, i.e., IEEE Std 802.3™ (Ethernet) and Internet Protocol (IP).

6.1 MAC SDU format

Once classified and associated with a specific MAC connection, a higher-layer PDU shall be encapsulated in a MAC SDU according to the format illustrated in Figure 7.

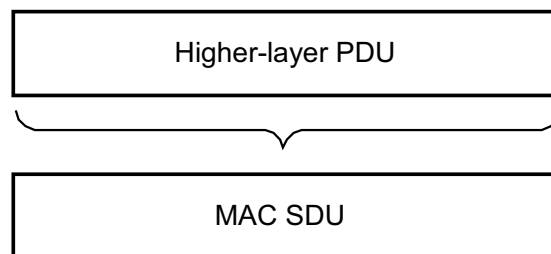


Figure 7—MAC SDU format

6.2 Classification

Classification is the process by which a MAC SDU is mapped onto a particular connection for transmission between MAC peers. The mapping process associates a MAC SDU with a connection, which also creates an association with the service flow characteristics of that connection. This process facilitates the delivery of MAC SDUs with the appropriate QoS constraints.

A classification rule is a set of matching criteria applied to each packet entering the IEEE 802.22 network. It consists of some protocol-specific packet matching criteria (destination IP address, for example), a classification priority, and a reference to a flow type (FT, see 7.2). If a packet matches the specified packet matching criteria, it is then delivered to the SAP for delivery on the connection defined by the FT and the station identifier (SID, see 7.2) assigned to the CPE. Classification rules, which are to be defined by the

WRAN operator, shall be downloadable to the BS and CPEs in a uniform and standardized format at the MIB level (see Clause 14) and shall be fully interoperable between the BS and CPEs. The service flow characteristics of the connection provide the QoS for that packet.

Several classification rules may each refer to the same service flow. The classification priority is used for ordering the application of classification rules to packets. Explicit ordering is necessary because the patterns used by classification rules may overlap. The priority needs not be unique, but care shall be taken within a classification priority to prevent ambiguity in classification. Downstream classification rules are applied by the BS to packets that it transmits and upstream classification rules are applied at the CPE. Figure 8 and Figure 9 illustrate the mappings discussed in the previous paragraph.

It is possible for a packet to fail to match the set of defined classification rules. In this case, the CS shall discard the packet.

The parameters for the classification rules shall be provided as MIB objects at the BS (see 14.1.3) and these parameters shall be downloaded from the BS to its associated CPEs for interoperability and consistency.

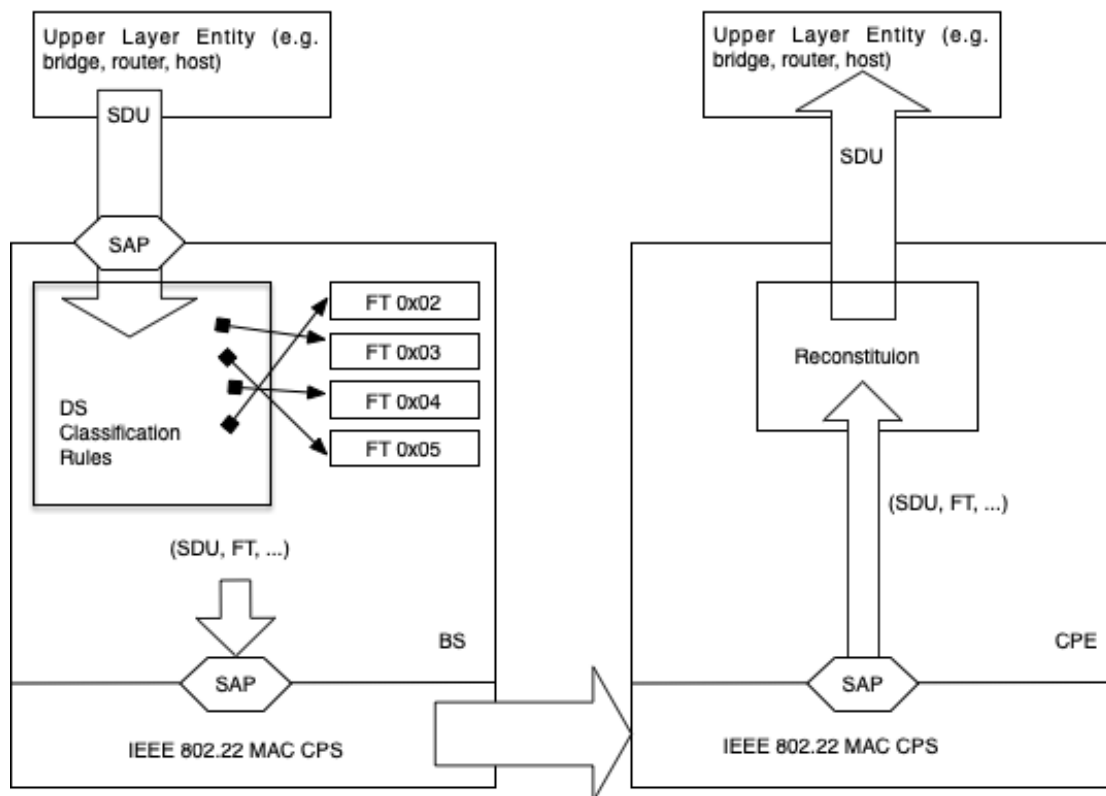


Figure 8—Classification and FT mapping (BS to CPE)

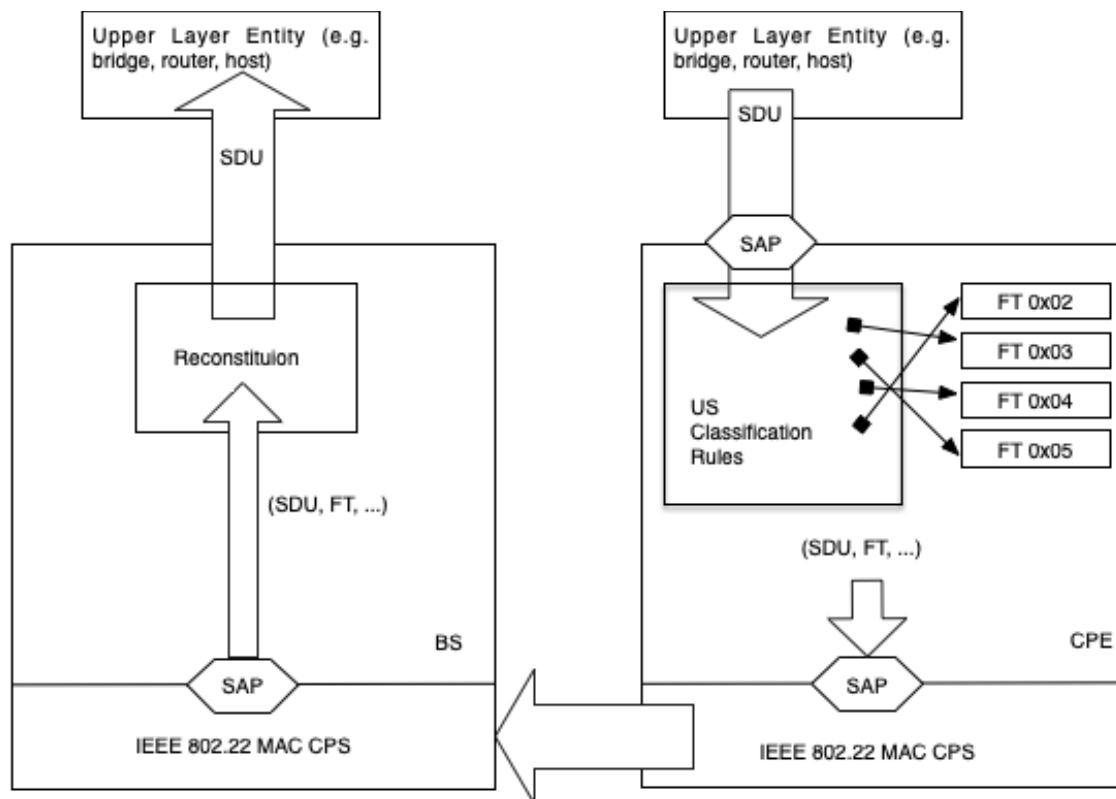


Figure 9—Classification and FT mapping (CPE to BS)

6.3 IEEE 802.3/Ethernet-specific part

6.3.1 IEEE 802.3/Ethernet CS PDU format

An IEEE 802.3/Ethernet packet PDU is directly mapped to a CS PDU (MAC SDU).

6.3.2 IEEE 802.3/Ethernet CS classification rules

The following parameters are relevant for IEEE 802.3/Ethernet CS classification rules:

- IEEE 802.3/Ethernet and VLAN headers shall be processed by zero or more of the LLC classification parameters (see 7.9.8.9.18.3.2 to 7.9.8.9.18.3.12)
- For IP over IEEE 802.3/Ethernet, IP headers may be included in classification (see 7.9.8.9.18.3.2 to 7.9.8.9.18.3.7 and 7.9.8.9.18.3.14)
- For IP headers compressed with ROHC (IETF RFC 3095 [B27], IETF RFC 3749 [B31], IETF RFC 3243 [B28], IETF RFC 4995 [B36], IETF RFC 3843 [B32], IETF RFC 4996 [B37]) only the LLC parameters shall be used for classification (see 7.9.8.9.18.3.8 through 7.9.8.9.18.3.12).

Use of IP header compression (ROHC) is negotiated during registration (REG-REQ/RSP) and enabled during service flow setup.

6.4 IP specific part

This subclause applies when IP (IETF RFC 791 [B17], IETF RFC 2460 [B23]) is carried over the IEEE 802.22 network.

IPv6 CS requirements are only applicable if IPv6 support is enabled during registration.

6.4.1 IP CS PDU format

An IP packet PDU is directly mapped to a CS PDU (MAC SDU).

6.4.2 IP classification rules

IP classification rules operate on the fields of the IP header and the transport protocol. Classification rules shall be the same as long as the MIB is defined. Classification rules will be downloaded from the BS to its associated CPEs for interoperability and consistency (see 6.2). IP classification rules shall be based on the IP classification parameters (see 7.9.8.9.18.3.2 through 7.9.8.9.18.3.7 and 7.9.8.9.18.3.14).

7. MAC Common Part sublayer

This clause describes the MAC layer used by the IEEE 802.22 WRAN point-to-multipoint medium access control standard and the IEEE 802.22b advanced WRAN (A-WRAN) multi-hop relay medium access control standard. The MAC provides tools for protection of TV bands incumbent services as well as for coexistence. The A-WRAN MAC provides all functionalities of the WRAN MAC and additionally supports multi-hop relay operations, multiple channel operations, multiple-input-multiple-output (MIMO) operations, etc. Both WRAN and A-WRAN MACs are connection-oriented and provide flexibility in terms of QoS support. The WRAN MAC and the A-WRAN MAC regulate downstream (DS) medium access by TDM (time division multiplex), and the upstream (US) is managed by using a DAMA/OFDMA system. In the WRAN MAC, the BS manages all the activities within its IEEE 802.22 cell, and the associated CPEs are under the control of the BS. In the A-WRAN MAC, the A-BS manages all the activities within its cell and the associated A-CPEs/R-CPEs under the control of the A-BS.

7.1 General

In an IEEE 802.22 WRAN cell, multiple CPEs are managed by a single BS that controls the medium access. The DS is TDM where the BS transmits and the CPE receives. The US transmissions, where the CPEs transmit and the BS receives, are shared by CPEs on a demand basis, according to a DAMA/OFDMA scheme. Depending on the class of service (CoS) utilized, a CPE may be issued continuing rights to transmit, or is dynamically allocated by the BS after receipt of a request from the CPE. The MAC supports unicast (addressed to a single CPE), multicast (addressed to a group of CPEs) and broadcast (addressed to all CPEs in a cell) services.

In an A-WRAN cell consisting of A-CPEs and R-CPEs, all R-CPEs and multiple A-CPEs are managed by a single A-BS, and other A-CPEs are managed by distributed scheduling R-CPEs. The DS is TDM where the A-BS transmits and the A-CPEs/R-CPEs receive. The US transmissions, where the A-CPEs/R-CPEs transmit and the A-BS receives, are shared by the A-CPEs/R-CPEs on a demand basis, according to a DAMA/OFDMA scheme. Within a local cell consisting of a distributed scheduling R-CPE and A-CPEs, multiple A-CPEs are managed by the distributed scheduling R-CPE. The DS within a local cell is TDM where the distributed scheduling R-CPE transmits and the A-CPEs receive. The US transmissions within a local cell, where the A-CPEs transmit and the distributed scheduling R-CPE receives, are shared by the A-CPEs on a demand basis, according to a DAMA/OFDMA scheme.

Both WRAN MAC and A-WRAN MAC implements a combination of access schemes that efficiently control contention between CPEs within a cell and overlapping cells sharing the same channel while at the same time attempting to meet the latency and bandwidth requirements of each user application. This is accomplished through four different types of US scheduling mechanisms that are implemented using unsolicited bandwidth grants, polling, and two contention procedures (i.e., MAC header and CDMA based). The use of polling simplifies the access operation and attempts to allow applications to receive service on a deterministic basis if it is required.

Both WRAN MAC and A-WRAN MAC are connection-oriented, and as such, connections are a key component that require active maintenance and hence can be dynamically created, deleted, and changed as the need arises. A connection defines both the mapping between convergence processes at CPEs and BS or the mapping between the A-BS and the related service flow (one connection per service flow). For the purposes of mapping to services on CPEs and associating varying levels of QoS, all data communications are instantiated in the context of a connection, and this provides a mechanism for US and DS QoS management. In particular, the QoS parameters are integral to the bandwidth allocation process as the CPE requests US bandwidth on a per connection basis (implicitly identifying the service flow). In the WRAN MAC the BS, grants bandwidth to a CPE as an aggregate of grants in response to per-connection requests from the CPE. In the A-WRAN MAC, the A-BS or distributed scheduling R-CPE grants bandwidth to an A-CPE as an aggregate of grants in response to per-connection requests from the A-CPE.

An A-WRAN may support multiple connections between A-BS and R-CPE(s) or A-CPE(s) when multi-hope relay is enabled, or between A-BS and A-CPE(s) when multi-channel operation is enabled (7.26). When multi-channel operation is enabled, a frame is assigned to the connection on each of the different channels. The frame format on each channel shall follow the frame format described in 7.4 for A-BS and A-CPE connections, and in 7.5 and 7.6 for A-BS and R-CPE connections.

7.2 Addressing and connections

Each IEEE 802.22 BS and CPE shall have a 48-bit universal MAC address, as defined in IEEE Std 802-2014. This address uniquely defines the BS and CPE within the network, and the CPE can choose CPE Privacy (see 8.7). It is used as part of the authentication process by which the BS and CPE each verify the identity of the other at the time of network association. It is used as part of the authentication process by which the BS and CPE each verify the identity of the other at the time of network association. The BS MAC address is broadcast by the BS and is present in every CBP burst, being part of the Superframe Control header (SCH) data. Each WRAN device regularly broadcasts a CBP burst containing its Device ID and Serial Number. This is done as part of the device's self-identification process that helps identify potential interference sources to incumbent services and for coexistence purposes.

Each A-BS and CPE in an A-WRAN shall have a 48-bits universal MAC address (EUI-48), as defined in IEEE Std 802. This address uniquely defines the A-BS and CPE from within the set of all possible vendors and equipment types. It is used as part of the authentication process by which the A-BS and CPE each verifies the identity of the other at the time of network association. The A-BS MAC address is broadcast by the A-BS on the advanced frame control header (A-FCH) on PHY Operation Mode 2 (Clause 10) and is present in every CBP burst. Each A-WRAN device regularly broadcasts a CBP burst containing its Device ID and Serial Number. This is done as part of the device's self-identification process that helps identify potential interference sources to incumbent services and for coexistence purposes.

Connections are identified by two items, a 9-bit station ID (SID) and a 3-bit flow type (FT). The SID uniquely identifies a station that is under the control of the BS, the A-BS, or the distributed scheduling R-CPE. A SID can be for a unicast station, when referencing a single CPE, or for a multicast station, when referencing a multicast group (of CPEs). A FT identifies a particular traffic flow assigned to a CPE. The tuple of SID and F (SID | FT) forms a connection identifier (CID) that identifies a connection for the CPE. The SID is signaled in the DS/US-MAP allocation, and the FT is signaled in the generic MAC header (GMH) of a MAC PDU. This allows for a total of up to 512 stations, each with a maximum of eight flows that can be supported within each DS and US channel.

At CPE initialization in the WRAN, three flows shall be dedicated for management connections (see 13.2). These management connections serve the purpose of carrying MAC management messages and data between a CPE and the BS. At R-CPE/A-CPE initialization in the A-WRAN, three flows shall be dedicated for management connections (see 13.2). These management connections serve the purpose of carrying MAC management messages and data between a R-CPE/A-CPE and the A-BS, or between A-CPEs and a distributed scheduling R-CPE. In the WRAN, the three flows reflect the fact that there are inherently three different levels of QoS for traffic sent on management connections between a CPE and the BS. In the A-WRAN, the three flows reflect the fact that there are inherently three different levels of QoS for traffic sent on management connections between a R-CPE/A-CPE and A-BS, or between the A-BS and the distributed scheduling R-CPE.

The basic flow is used in the WRAN/A-WRAN to exchange short, time-urgent IEEE 802.22-based management messages; whereas the primary management flow is used to exchange longer, more delay-tolerant MAC management messages (Table 26 specifies which MAC management messages are transferred on which type of connections). Finally, the secondary management flow is used to transfer more delay-tolerant, standards-based (e.g., DHCP, TFTP, and SNMP) messages that are carried in IP datagrams. The secondary management flow may be packed and/or fragmented, similarly to the primary management