
Multiple split-system air conditioners and air-to-air heat pumps — Testing and rating for performance

*Climatiseurs et pompes à chaleur air/air multi-split — Essais et
détermination des caractéristiques de performance*





COPYRIGHT PROTECTED DOCUMENT

© ISO 2017, Published in Switzerland

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized otherwise in any form or by any means, electronic or mechanical, including photocopying, or posting on the internet or an intranet, without prior written permission. Permission can be requested from either ISO at the address below or ISO's member body in the country of the requester.

ISO copyright office
Ch. de Blandonnet 8 • CP 401
CH-1214 Vernier, Geneva, Switzerland
Tel. +41 22 749 01 11
Fax +41 22 749 09 47
copyright@iso.org
www.iso.org

Contents

Page

Foreword	vi
1 Scope	1
2 Normative references	2
3 Terms and definitions	2
4 Symbols	5
5 Airflow setting	8
5.1 General	8
5.2 Airflow setting for ducted indoor units	8
5.2.1 General	8
5.2.2 Airflow setting procedure for ducted indoor units	8
5.3 ESP for rating	8
5.4 Airflow setting for non-ducted indoor units measured by air enthalpy method	10
5.5 Outdoor airflow	10
5.6 Unit supplied without indoor fan	10
6 Cooling tests	10
6.1 Cooling capacity test	10
6.1.1 General conditions	10
6.1.2 Temperature conditions	11
6.1.3 Test conditions	12
6.2 Maximum cooling performance test	13
6.2.1 General conditions	13
6.2.2 Temperature conditions	13
6.2.3 Airflow conditions	13
6.2.4 Test conditions	13
6.2.5 Performance requirements	13
6.3 Minimum cooling test	15
6.3.1 General conditions	15
6.3.2 Temperature conditions	15
6.3.3 Airflow conditions	15
6.3.4 Test condition	15
6.3.5 Performance requirements	16
6.4 Freeze-up drip test (applies to non-ducted multi-splits)	16
6.4.1 General conditions	16
6.4.2 Temperature conditions	16
6.4.3 Airflow conditions	16
6.4.4 Test conditions	17
6.4.5 Performance requirements	17
6.5 Condensate control test and enclosure sweat test	17
6.5.1 General conditions	17
6.5.2 Temperature conditions	17
6.5.3 Airflow conditions	17
6.5.4 Test conditions	18
6.5.5 Performance requirements	18
7 Heating tests	18
7.1 Heating capacity tests	18
7.1.1 General conditions	18
7.1.2 Temperature conditions	19
7.1.3 Airflow conditions	20
7.1.4 Defrost operation	20
7.1.5 Test procedure — General	21
7.1.6 Preconditioning period	21
7.1.7 Equilibrium period	21

7.1.8	Data collection period	21
7.1.9	Test procedure when a defrost cycle (whether automatically or manually initiated) ends the preconditioning period (7.1.6)	22
7.1.10	Test procedure when a defrost cycle does not end the preconditioning period (7.1.6)	22
7.1.11	Test procedure for transient tests	23
7.1.12	Heating capacity test results	24
7.2	Maximum heating performance test	24
7.2.1	General conditions	24
7.2.2	Temperature conditions	24
7.2.3	Airflow conditions	25
7.2.4	Test conditions	25
7.2.5	Performance requirements	25
7.3	Minimum heating performance test	25
7.3.1	General conditions	25
7.3.2	Temperature conditions	25
7.3.3	Airflow conditions	26
7.3.4	Test conditions	26
7.3.5	Performance requirements	26
7.4	Automatic defrost performance test	26
7.4.1	General conditions	26
7.4.2	Temperature conditions	27
7.4.3	Airflow conditions	27
7.4.4	Test conditions	27
7.4.5	Performance requirements	27
8	Heat recovery test	27
8.1	Heat recovery capacity ratings	27
8.1.1	General conditions	27
8.1.2	Temperature conditions	27
9	Test methods and uncertainties of measurement	28
9.1	Test methods	28
9.1.1	General	28
9.1.2	Calorimeter test method	28
9.1.3	Indoor air enthalpy method	28
9.1.4	Capacity tests	29
9.2	Uncertainty of measurement	29
9.3	Test tolerances for the capacity tests	30
9.4	Test tolerances for performance tests	31
10	Test results	31
10.1	Capacity results	31
10.1.1	General	31
10.1.2	Adjustments	32
10.1.3	Cooling capacity calculations	32
10.1.4	Heating capacity calculations	32
10.1.5	Power input of fans	33
10.2	Data to be recorded	33
10.3	Test report	36
10.3.1	General information	36
10.3.2	Rating test results	36
10.3.3	Performance tests	36
11	Marking provisions	37
11.1	Nameplate requirements	37
11.2	Nameplate information	37
11.3	Split systems	37
12	Publication of ratings	38
12.1	Standard ratings	38

12.2 Other ratings	38
Annex A (normative) Airflow settings for ducted units	39
Annex B (normative) Test requirements	44
Annex C (informative) Airflow measurement	51
Annex D (normative) Calorimeter test method	57
Annex E (normative) Indoor air enthalpy test method	65
Annex F (informative) Part-load capacity tests and determination of energy efficiency ratios and coefficients of performance	72
Annex G (informative) Individual indoor unit capacity tests	73
Annex H (normative) Heat recovery test method	75
Annex I (informative) Refrigerant enthalpy test method	76
Annex J (informative) Outdoor air enthalpy test method	78
Annex K (informative) Indoor calorimeter confirmative test method	81
Annex L (informative) Outdoor calorimeter confirmative test method	83
Annex M (informative) Balanced-type calorimeter confirmative test method	85
Annex N (informative) Cooling condensate measurements	86
Annex O (normative) Supplemental requirements when rating fan-less (coil only) type units	87
Annex P (informative) Pictorial examples of the heating capacity test procedures given in 7.1	90
Bibliography	97

Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT), see the following URL: www.iso.org/iso/foreword.html.

This document was prepared by Technical Committee ISO/TC 86, *Refrigeration and air-conditioning*, Subcommittee SC 6, *Testing and rating of air-conditioners and heat pumps*.

This second edition cancels and replaces the first edition (ISO 15042:2011), which has been technically revised.

Multiple split-system air conditioners and air-to-air heat pumps — Testing and rating for performance

1 Scope

This document specifies the performance testing, the standard conditions and the test methods for determining the capacity and efficiency ratings of air-cooled air conditioners and air-to-air heat pumps.

This document is applicable to the following equipment:

- basic multi-split systems, modular multi-split systems and modular heat recovery multi-split systems. These multi-split systems include air-to-air systems with non-ducted and/or ducted indoor units with integral fans and indoor units supplied without fans.

This document is limited to:

- residential, commercial and industrial split-system air conditioners and heat pumps;
- factory-made, electrically driven and use mechanical compression;
- single- and multiple-circuit split-systems which utilize one or more compressors with no more than two steps of control of the outdoor unit;

or

- split-systems with a single refrigeration circuit which utilize one or more variable-speed compressors or alternative compressor combinations for varying the capacity of the system by three or more steps.

These split-systems are designed to operate with a combination of one or more outdoor units and two or more indoor units designed for individual operation, and such modular systems are capable of transferring recovered heat from one or more indoor units to other units in the same system.

The requirements of testing and rating contained in this document are based on the use of matched assemblies.

This document is not applicable to the rating and testing of the following:

- a) water-cooled or water source equipment;
- b) mobile (single-duct) units having a condenser exhaust duct;
- c) individual assemblies not constituting a complete refrigeration system;
- d) equipment using the absorption refrigeration cycle.
- e) ducted air conditioners and/or ducted heat pumps, rated at less than 8 kW and intended to operate at external static pressures of less than 25 Pa, controlled by a single thermostat/controller (refer to ISO 5151);
- f) multiple split-system utilizing one or more refrigeration systems, one outdoor unit and one or more indoor units, controlled by a single thermostat/controller (refer to ISO 5151 or ISO 13253).

This document does not cover the determination of seasonal efficiencies or seasonal part-load performances, which can be required in some countries because they provide a better indication of efficiency under actual operating conditions.

NOTE Throughout this document, the terms “equipment” and “systems” mean “multi-split air conditioners” and/or “multi-split heat pumps”.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 817, *Refrigerants — Designation and safety classification*

ISO 5151, *Non-ducted air conditioners and heat pumps — Testing and rating for performance*

ISO/IEC Guide 98-3, *Uncertainty of measurement — Part 3: Guide to the expression of uncertainty in measurement (GUM:1995)*

3 Terms and definitions

For the purposes of this document, the following definitions apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- IEC Electropedia: available at <http://www.electropedia.org/>
- ISO Online browsing platform: available at <http://www.iso.org/obp>

3.1 standard air

dry air at 20 °C and at a standard barometric pressure of 101,325 kPa, having a mass density of 1 204 kg/m³

3.2 full capacity

capacity of the system when all indoor units and outdoor units are operated in the same mode

3.3 latent cooling capacity room dehumidifying capacity

amount of latent heat that the equipment can remove from the conditioned space in a defined interval of time

Note 1 to entry: Latent cooling capacity and room dehumidifying capacity are expressed in units of watts.

3.4 part-load capacity

capacity of the system when the capacity ratio is less than 1

3.5 capacity ratio

ratio of the total stated cooling capacity of all operating indoor units to the stated cooling capacity of the outdoor unit at the rating conditions

3.6**heating capacity**

amount of heat that the equipment can add to the conditioned space (but not including supplementary heat) in a defined interval of time

Note 1 to entry: Heating capacity is expressed in units of watts.

3.7**sensible cooling capacity**

amount of sensible heat that the equipment can remove from the conditioned space in a defined interval of time

Note 1 to entry: Sensible cooling capacity is expressed in units of watts.

3.8**total cooling capacity**

amount of sensible and latent heat that the equipment can remove from the conditioned space in a defined interval of time

Note 1 to entry: Total cooling capacity is expressed in units of watts.

3.9**energy efficiency ratio****EER**

ratio of the total cooling capacity to the effective power input to the device at any given set of rating conditions

Note 1 to entry: Where the EER is stated without an indication of units, it is understood that it is derived from watts/watts.

3.10**coefficient of performance****COP**

ratio of the heating capacity to the effective power input to the device at any given set of rating conditions

Note 1 to entry: Where the COP is stated without an indication of units, it is understood that it is derived from watts/watts.

3.11**heat recovery efficiency****HRE**

ratio of the total capacity of the system (heating and cooling capacity) to the effective power when operating in the heat recovery mode

Note 1 to entry: Where HRE is stated without an indication of units, it is understood that it is derived from watts/watts.

3.12**air conditioner**

encased assembly or assemblies designed primarily to provide free or ducted delivery of conditioned air to an enclosed space room or zone (conditioned space)

Note 1 to entry: It can be either single-package or split-system and comprises a primary source of refrigeration for cooling and dehumidification. It can also include means for heating other than a heat pump, as well as means for circulating, cleaning, humidifying, ventilating or exhausting air. Such equipment can be provided in more than one assembly, the separated assemblies (split-systems) of which are intended to be used together.

3.13

heat pump

encased assembly or assemblies designed primarily to provide free or ducted delivery of conditioned air to an enclosed space, room or zone (conditioned space) and includes a prime source of refrigeration for heating

Note 1 to entry: It can be constructed to remove heat from the conditioned space and discharge it to a heat sink if cooling and dehumidification are desired from the same equipment. It can also include means for circulating, cleaning, humidifying, ventilating or exhausting air. Such equipment can be provided in more than one assembly, the separated assemblies (split-systems) of which are intended to be used together.

3.14

basic multi-split system

split-system air conditioner or heat pump incorporating a single refrigerant circuit with one or more compressors, multiple evaporators (indoor units) designed for individual operation, and one outdoor unit

Note 1 to entry: The system has no more than two steps of control and is capable of operating either as an air conditioner or as a heat pump. Alternatively, a system having a variable speed compressor and a fixed combination of indoor units specified by the manufacturer can also be considered a basic multi-split system.

3.15

multiple-circuit multi-split system

split-system air conditioner or heat pump incorporating multiple refrigerant circuits, two or more compressors, multiple evaporators (indoor units) and an integrated heat exchanger in a single outdoor unit

Note 1 to entry: The system has no more than two steps of control and is capable of operating either as an air conditioner or as a heat pump.

3.16

modular multi-split system

split-system air conditioner or heat pump incorporating a single refrigerant circuit, at least one variable speed compressor or an alternative compressor combination for varying the capacity of the system by three or more steps, multiple indoor units, each of which can be individually controlled, and one or more outdoor units

Note 1 to entry: The system is capable of operating either as an air conditioner or as a heat pump

3.17

modular heat recovery multi-split system

split-system air conditioner or heat pump incorporating a single refrigerant circuit, at least one variable-speed compressor or an alternate compressor combination for varying the capacity of the system by three or more steps, multiple evaporators (indoor units, each capable of being individually controlled), and one or more condensers (outdoor units)

Note 1 to entry: This system is capable of operating as a heat pump where recovered heat from the indoor units operating in the cooling mode can be transferred to one or more other indoor units operating in the heating mode. Heat recovery can be achieved by a gas/liquid separator or a third line in the refrigeration circuit.

3.18

effective power input

P_E

average electrical power input to the equipment obtained from

- the power input for operation of the compressor(s),
- the power input to electric heating devices used only for defrosting,
- the power input to all control and safety devices of the equipment, and
- the power input for operation of all fans, whether provided with the equipment or not

Note 1 to entry: Effective power input is expressed in units of watts.

3.19

total power input

P_t

average electrical power input to the equipment as measured during the test

Note 1 to entry: Total power input is expressed in units of watts

3.20

full-load operation

operation with the equipment and controls configured for the maximum continuous duty refrigeration capacity specified by the manufacturer and allowed by the unit controls

Note 1 to entry: Unless otherwise regulated by the automatic controls of the equipment, all indoor units and compressors are functioning during full-load operations.

4 Symbols

Symbol	Description	Unit
A_l	coefficient, heat leakage	J/(s·K)
A_n	nozzle area	m ²
C_d	nozzle discharge coefficient	— ^a
c_{pa1}	specific heat of moist air entering indoor-side ^b	J/(kg ^b ·K)
c_{pa2}	specific heat of moist air leaving indoor-side ^b	J/(kg ^b ·K)
c_{pa3}	specific heat of moist air entering outdoor side ^b	J/(kg ^b ·K)
c_{pa4}	specific heat of moist air leaving outdoor side ^b	J/(kg ^b ·K)
c_{pw}	specific heat of water	J/(kg ^b ·K)
D_e	equivalent diameter	m
D_i	diameter of circular ducts, inlet	m
D_n	nozzle throat diameter	m
D_o	diameter of circular ducts, outlet	m
D_t	outside diameter of refrigerant tube	m
h_{a1}	specific enthalpy of air entering indoor-side	J/kg ^b
h_{a2}	specific enthalpy of air leaving indoor-side	J/kg ^b
h_{a3}	specific enthalpy of air entering outdoor side	J/kg ^b
h_{a4}	specific enthalpy of air leaving outdoor side	J/kg ^b
h_{f1}	specific enthalpy of refrigerant liquid entering expansion device	J/kg
h_{f2}	specific enthalpy of refrigerant liquid leaving condenser	J/kg
h_{g1}	specific enthalpy of refrigerant vapour entering compressor	J/kg
h_{g2}	specific enthalpy of refrigerant vapour leaving compressor	J/kg
h_{r1}	specific enthalpy of refrigerant entering indoor-side	J/kg
h_{r2}	specific enthalpy of refrigerant leaving indoor-side	J/kg
h_{w1}	specific enthalpy of water or steam supplied to indoor-side test chamber	J/kg
h_{w2}	specific enthalpy of condensed moisture leaving indoor-side test chamber	J/kg
^a Dimensionless value. ^b It means the mass of dry air; the mass, kg of denominator in this unit is based on dry air (or DA). For units practically used in the air conditioning field, "kg(DA)" is very often used as the denominator. Example: J/kg(DA), m ³ /kg(DA), kg/kg(DA). NOTE All parameters are in relation to the unit being tested unless specified otherwise.		

Symbol	Description	Unit
h_{w3}	specific enthalpy of condensed moisture leaving outdoor-side test chamber	J/kg
h_{w4}	specific enthalpy of the water supplied to the outdoor-side test chamber	J/kg
h_{w5}	specific enthalpy of the condensed water (in the case of H1 test condition) and the frost, respectively (in the case of H2 or H3 test conditions) in the test unit	J/kg
K_1	latent heat of vaporization of water ($2\,460 \times 10^3$ J/kg at 15 °C)	J/kg
L	length of refrigerant line	m
L_d	length of duct	m
L_m	length to external static pressure measuring point	m
p_a	barometric pressure	kPa
p_c	test chamber equalization pressure	Pa
p_e	external static pressure (ESP)	Pa
p_{isc}	internal static pressure drop of the indoor coil cabinet assembly measured from cooling capacity test	Pa
p_m	external static pressure (p_e during the blowing test)	Pa
p_n	absolute pressure at nozzle throat	Pa
p_v	velocity pressure at nozzle throat or static pressure difference across nozzle	Pa
P_{fan}	estimated fan power to circulate indoor air	W
P_i	power input (indoor-side data)	W
P_K	power input to compressor	W
P_t	total power input to equipment	W
q_m	air mass flow rate	kg/s
q_r	refrigerant flow rate	kg/s
q_{ro}	refrigerant and oil mixture flow rate	kg/s
q_s	standard flow rate	m ³ /s
q_v	air volume flow rate	m ³ /s
q_{vi}	air volume flow rate, indoor-side	m ³ /s
q_{vo}	air volume flow rate, outdoor-side	m ³ /s
q_w	condenser water flow rate	kg/s
q_{wc}	rate at which water vapour is condensed by the equipment	kg/s
q_{wo}	water mass flow supplied to the outside test chamber for maintaining the test conditions	kg/s
Re	Reynolds number	— ^a
t_a	temperature, ambient of compressor calorimeter	°C
t_{a1}	temperature of air entering indoor-side, dry bulb	°C
t_{a2}	temperature of air leaving indoor-side, dry bulb	°C
t_{a3}	temperature of air entering outdoor side, dry bulb	°C
t_{a4}	temperature of air leaving outdoor-side, dry bulb	°C
t_c	temperature of surface of condenser of the compressor calorimeter	°C
t_e	temperature of surface of evaporator of the compressor calorimeter	°C
t_{w1}	temperature of water entering condenser of the compressor calorimeter	°C
t_{w2}	temperature of water leaving condenser of the compressor calorimeter	°C
v_a	velocity of air at nozzle	m/s

^a Dimensionless value.

^b It means the mass of dry air; the mass, kg of denominator in this unit is based on dry air (or DA). For units practically used in the air conditioning field, "kg(DA)" is very often used as the denominator.

Example: J/kg(DA), m³/kg(DA), kg/kg(DA).

NOTE All parameters are in relation to the unit being tested unless specified otherwise.

Symbol	Description	Unit
v_n	specific volume of dry air portion of mixture at nozzle ^b	m ³ /kg ^b
v'_n	specific volume of dry air portion of mixture at nozzle	m ³ /kg
W_1	mass of cylinder and bleeder assembly, empty	g
W_3	mass of cylinder and bleeder assembly, with sample	g
W_5	mass of cylinder and bleeder assembly, with oil from sample	g
W_{i1}	specific humidity of air entering indoor-side ^b	kg/kg ^b
W_{i2}	specific humidity of air leaving indoor-side ^b	kg/kg ^b
W_n	specific humidity at nozzle inlet ^b	kg/kg ^b
W_r	water vapour (rate) condensed	kg/s
X_o	concentration of oil to refrigerant-oil mixture	— ^a
X_r	mass ratio, refrigerant to refrigerant-oil mixture	— ^a
Y	expansion factor	— ^a
α	pressure ratio	— ^a
α_a	interconnecting tubing heat transfer coefficient	W/(m ² ·K)
λ	thermal conductivity	W/(m·K)
ν	kinematic viscosity of air	m ² /s
$\eta_{fan,i}$	estimated indoor fan static efficiency	— ^a
$\eta_{mot,i}$	estimated indoor motor efficiency	— ^a
ΣP_{ic}	other power input to the indoor-side test chamber (e.g. illumination, electrical and thermal power input to the compensating device, heat balance of the humidification device)	W
ΣP_{oc}	sum of all total power input to the outdoor-side test chamber, not including power to the equipment under test	W
ϕ_c	heat removed by cooling coil in the outdoor-side test chamber	W
ϕ_{ci}	heat removed by cooling coil in the indoor-side test chamber	W
ϕ_d	latent cooling capacity (dehumidifying)	W
ϕ_e	heat input to evaporator of compressor calorimeter	W
ϕ_{hi}	heating capacity, indoor-side test chamber	W
ϕ_{ho}	heating capacity, outdoor-side test chamber	W
ϕ_{li}	heat leakage into indoor-side test chamber through walls, floor and ceiling	W
ϕ_{lo}	heat leakage out of outdoor-side test chamber through walls, floor and ceiling	W
ϕ_{lp}	heat leakage into indoor-side test chamber through partition separating indoor-side from outdoor side	W
ϕ_L	line heat loss in interconnecting tubing	W
ϕ_{sci}	sensible cooling capacity, indoor-side	W
ϕ_{tc}	refrigerating capacity of a refrigerant compressor	W
ϕ_{tci}	total cooling capacity, indoor-side	W
ϕ_{tco}	total cooling capacity, outdoor-side	W
ϕ_{thi}	total heating capacity, indoor-side	W
ϕ_{tho}	total heating capacity, outdoor-side	W

^a Dimensionless value.

^b It means the mass of dry air; the mass, kg of denominator in this unit is based on dry air (or DA). For units practically used in the air conditioning field, "kg(DA)" is very often used as the denominator.

Example: J/kg(DA), m³/kg(DA), kg/kg(DA).

NOTE All parameters are in relation to the unit being tested unless specified otherwise.

5 Airflow setting

5.1 General

This document specifies airflow settings for ducted and non-ducted units and units supplied without a fan.

Ducted indoor units rated less than 8 kW and intended to operate at an external static pressure of less than 25 Pa shall be tested as non-ducted units.

5.2 Airflow setting for ducted indoor units

5.2.1 General

The airflow rate shall be specified by the manufacturer. This flow rate shall be for full-load cooling and be expressed in cubic metres per second (m^3/s) of standard air conditions, as defined in [3.1](#), and correspond to a non-operating compressor.

P_{fan} is the estimated fan power required to circulate indoor air, in watts.

5.2.2 Airflow setting procedure for ducted indoor units

The airflow rate setting shall be made when only the fan is operating, at an ambient temperature between 20 °C and 30 °C and a relative humidity between 30 % and 70 %. The airflow settings of the units shall be in accordance with [Annex A](#) for units supplied with a fan, and in accordance with [Annex O](#) for units supplied without a fan.

The rated airflow rate given by the manufacturer shall be set and the resulting external static pressure, p_e , (ESP) measured. The measured ESP shall be larger than the ESP for rating, defined in [Table 1](#). If the unit has an adjustable speed, it shall be adjusted to the lowest speed that provides at least the ESP for rating.

5.3 ESP for rating

5.3.1 If the rated ESP specified by the manufacturer is greater than or equal to the minimum value given in [Table 1](#), the specified rated ESP is used as the ESP for rating.

5.3.2 If the rated ESP specified by the manufacturer is less than the minimum value given in [Table 1](#), and larger than or equal to the 80 % of the maximum ESP, the specified rated ESP is used as the ESP for rating. The maximum ESP may either be specified by the manufacturer or identified from fan curves provided by the manufacturer.

5.3.3 If the rated ESP specified by the manufacturer is less than the minimum value given in [Table 1](#) and less than 80 % of the maximum ESP, the value of [Table 1](#) or 80 % of the maximum ESP, whichever is smaller, is used as the ESP for rating.

5.3.4 If the rated ESP is not specified by the manufacturer, the value of [Table 1](#) or 80 % of the maximum ESP, whichever is smaller, is used as the ESP for rating.

5.3.5 The process of selecting the ESP for rating is shown in [Figure 1](#).

If the determined ESP for rating is less than 25 Pa, the unit can be considered a non-ducted indoor unit.

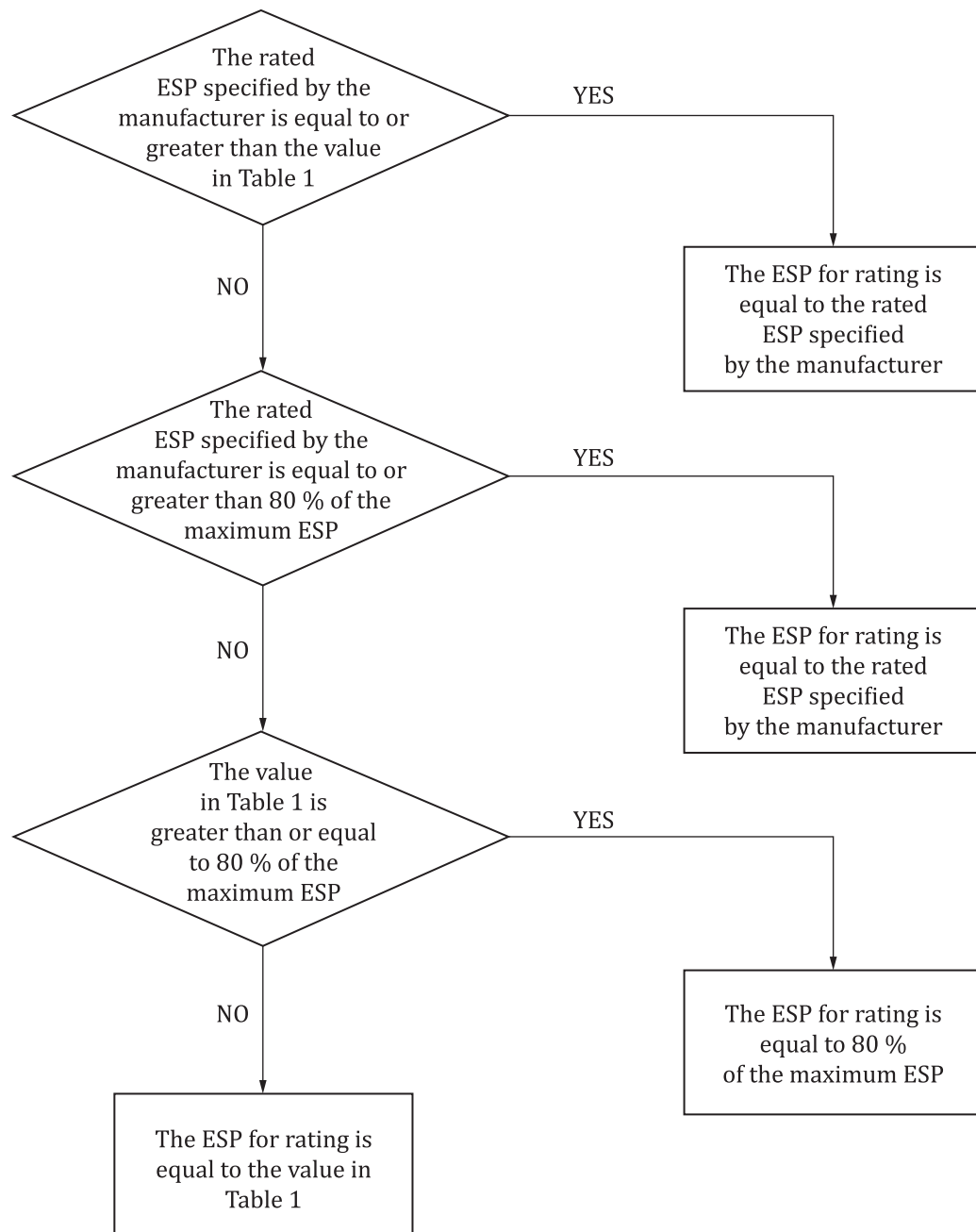


Figure 1 — Flowchart for selecting ESP for rating

Table 1 — Pressure requirement for comfort air conditioners

Standard capacity ratings	Minimum external static pressure ^a
kW	Pa
$0 < Q < 8$	25
$8 \leq Q < 12$	37
$12 \leq Q < 20$	50
$20 \leq Q < 30$	62

^a For equipment tested without an air filter installed, the minimum ESP, p_e , shall be increased by 10 Pa.

Table 1 (continued)

Standard capacity ratings	Minimum external static pressure ^a
kW	Pa
$30 \leq Q < 45$	75
$45 \leq Q < 82$	100
$82 \leq Q < 117$	125
$117 \leq Q < 147$	150
$Q > 147$	175
^a For equipment tested without an air filter installed, the minimum ESP, p_e , shall be increased by 10 Pa.	

5.4 Airflow setting for non-ducted indoor units measured by air enthalpy method

5.4.1 Tests shall be conducted with 0 Pa static pressure maintained at the air discharge of the equipment. All air quantities shall be expressed as m³/s of standard air.

When the fan speed is adjustable, the difference of the mass airflow rate from the standard air due to low barometric pressure should be adjusted by the fan speed.

5.4.2 Airflow measurements shall be made in accordance with the provisions specified in [Annex C](#), as appropriate, as well as the provisions established in other appropriate annexes of this document.

NOTE Additional guidance for making airflow measurements can be found in ISO 3966 and ISO 5167-1.

5.5 Outdoor airflow

If the outdoor airflow is adjustable, all tests shall be conducted at the outdoor-side air quantity or fan control setting that is specified by the manufacturer. Where the fan is non-adjustable, all tests shall be conducted at the outdoor-side air volume flow rate inherent in the equipment when operated with the following in place: all of the resistance elements associated with inlets, louvers, and any ductwork and attachments considered by the manufacturer as normal installation practice. Once established, the outdoor-side air circuit of the equipment shall remain unchanged throughout all tests prescribed herein, except to adjust for any change caused by the attachment of the airflow measuring device when using the outdoor air enthalpy test method (see [J.2.1](#)).

5.6 Unit supplied without indoor fan

If no fan is supplied with the unit (i.e. coil-only units), supplemental requirements given in [Annex O](#) also apply.

6 Cooling tests

6.1 Cooling capacity test

6.1.1 General conditions

6.1.1.1 All equipment within the scope of this document shall have the cooling capacities and energy efficiency ratios determined in accordance with the provisions of this document and rated using the cooling conditions specified in [Table 2](#). All tests shall be carried out in accordance with the test requirements of [Annex B](#) and the test methods of [Annex D](#) and [Annex E](#) as specified in [Clause 9](#). All tests shall be conducted with the equipment functioning at full-load operation, as defined in [3.20](#). The electrical input values used for rating purposes shall be measured during the cooling capacity test.

6.1.1.2 The tests shall be conducted with all indoor units and compressors functioning during this test. Modular multi-split systems and modular heat recovery systems shall, for the purpose of this test, have the capacity ratio of indoor units to outdoor units equal to 1 ($\pm 5\%$).

6.1.1.3 Tests for modular and modular heat recovery systems may be conducted at part-load cooling capacities. If conducted, part-load cooling capacities shall be determined in accordance with the requirements of [Annex F](#).

6.1.1.4 Tests may be conducted to determine the cooling capacities of individual indoor units, either operating with all other indoor units functioning or without any other indoor units functioning. If tests of the cooling capacities of individual indoor units are conducted, the capacities shall be determined in accordance with the requirements of [Annex G](#).

6.1.1.5 The manufacturer shall specify, for variable-capacity compressors, the specific setting that is needed to give full-load capacity and the equipment shall be maintained at that setting. If the manufacturer does not define the setting, the thermostat or controller shall be set to its minimum allowable temperature setting.

6.1.1.6 If the equipment cannot be maintained at steady-state conditions by its normal controls, then the manufacturer shall modify or override such controls so that steady-state conditions are achieved.

6.1.2 Temperature conditions

6.1.2.1 The temperature conditions stated in [Table 2](#) (columns T1, T2 and T3) shall be considered standard rating conditions for the determination of cooling capacity.

6.1.2.2 Equipment manufactured for use in a moderate climate similar to that specified in [Table 2](#), column T1 only, shall have a rating determined by tests conducted at these specified T1 conditions and shall be designated type T1 equipment.

6.1.2.3 Equipment manufactured for use in a cool climate similar to that specified in [Table 2](#), column T2 only, shall have a rating determined by tests conducted at these specified T2 conditions and shall be designated type T2 equipment.

6.1.2.4 Equipment manufactured for use in a hot climate similar to that specified in [Table 2](#), column T3 only, shall have a rating determined by tests conducted at these specified T3 conditions and shall be designated type T3 equipment.

6.1.2.5 Equipment manufactured for use in more than one of the types of climate defined in [Table 2](#) (columns T1, T2 and T3) shall have its rating determined by tests for each of the specified [Table 1](#) conditions for which they have been designated and tested.

Table 2 — Cooling capacity rating conditions

Parameter	Standard rating conditions		
	T1	T2	T3
Temperature of air entering indoor-side:			
— dry-bulb	27 °C	21 °C	29 °C
— wet-bulb	19 °C	15 °C	19 °C
Temperature of air entering outdoor-side:			
— dry-bulb	35 °C	27 °C	46 °C
— wet-bulb ^a	24 °C	19 °C	24 °C
Test frequency ^b	Rated frequency		
Test voltage	See Table 3		
NOTE:			
T1 = Standard cooling capacity rating conditions for moderate climates.			
T2 = Standard cooling capacity rating conditions for cool climates.			
T3 = Standard cooling capacity rating conditions for hot climates.			
^a The wet-bulb temperature condition shall only be required when testing air-cooled condensers that evaporate the condensate.			
^b Equipment with dual-rated frequencies shall be tested at each frequency.			

Table 3 — Voltages for capacity and performance tests (except maximum cooling and maximum heating performance tests)

Rated (nameplate) voltages ^a	Test voltage ^b
V	V
90 to 109	100
110 to 127	115
180 to 207	200
208 to 253	230
254 to 341	265
342 to 420	400
421 to 506	460
507 to 633	575
^a For equipment with dual-rated voltages such as 115/230 and 220/440, the test voltages would be 115 V and 230 V in the first example, and 230 V and 460 V in the second example. For equipment with an extended voltage range, such as 110 V to 120 V or 220 V to 240 V, the test voltage would be 115 V or 230 V, respectively. Where the extended voltage range spans two or more of the rated voltage ranges, the mean of the rated voltages shall be used to determine the test voltage from the table.	
EXAMPLE For equipment with an extended voltage range of 200 V to 220 V, the test voltage would be 230 V, based on the mean voltage of 210 V.	
^b The voltages in this table are for capacity and performance tests other than the maximum cooling and maximum heating performance tests.	

6.1.3 Test conditions

6.1.3.1 Preconditions

The test room reconditioning apparatus and the equipment under test shall be operated until equilibrium conditions are attained. Equilibrium conditions as required by [9.3](#) shall be maintained for not less than 1 h before capacity test data are recorded.

6.1.3.2 Testing requirements

The test capacity shall include the determination of the sensible, latent and total cooling capacity as determined on the indoor-side.

6.1.3.3 Duration of test

The data shall be recorded at equal intervals that span 1 min or less. The recording of the data shall continue for at least a 30 min period during which the tolerances specified in [9.3](#) shall be met.

6.2 Maximum cooling performance test

6.2.1 General conditions

The conditions which shall be used during the maximum cooling test are given in [Table 4](#). The test shall be conducted with the equipment functioning at full capacity, as defined in [3.2](#). The test voltages in [Table 3](#) shall be maintained at the specified percentages under running conditions. In addition, the test voltage shall be adjusted so that it is not less than 86 % of the rated voltage at the moment of restarting the equipment after the shut-down required in [6.2.4.2](#). The determination of cooling capacity and electrical power input is not required for this performance test.

6.2.2 Temperature conditions

Tests shall be carried out according to the conditions in column T1, T2, or T3 of [Table 4](#) based on intended use, as determined in [6.1.2](#). For equipment rated for use under more than one set of operating conditions, the highest relevant set of the intended operating conditions shall be applied for test purposes.

6.2.3 Airflow conditions

The maximum cooling test shall be conducted with an indoor-side fan speed setting as determined in [5.2](#).

6.2.4 Test conditions

6.2.4.1 Preconditions

The controls of the equipment shall be set for maximum cooling and, if provided, all ventilating air dampers and exhaust air dampers shall be closed.

6.2.4.2 Duration of test

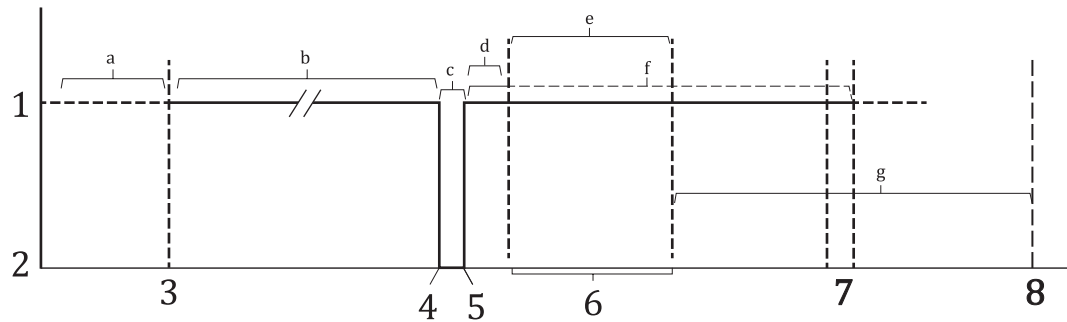
The equipment shall be operated continuously for 1 h after the specified air temperatures have been established (see [Tables 4](#) and [14](#)). All power to the equipment shall then be cut off for 3 min and then restored. The equipment may be restarted either automatically or through the use of a remote controller or similar device. The test shall continue for 60 min after the equipment restarts.

6.2.5 Performance requirements

6.2.5.1 Air conditioners and heat pumps shall meet the following requirements when operating at the conditions specified in [Table 4](#):

- a) during one entire test, the equipment shall operate without any indication of damage;
- b) the motors of the equipment shall operate continuously for the first hour of the test without the tripping of any protective device, and

- c) after the interruption of power, the equipment shall resume operation within 30 min and run continuously for 1 h, except as specified in 6.2.5.2 and 6.2.5.3. See Figure 2.



Key

- 1 power supply to equipment unit is on
- 2 power supply to equipment is off
- 3 official test period begins
- 4 power supply to equipment is turned off
- 5 power supply to equipment is turned back on
- 6 maximum time before equipment resumes continuous operation
- 7 end of test, if equipment restarted upon re-energization of power
- 8 end of test, if equipment utilized full 30 min for protective device to reset
- a 30 min, steady-state operation
- b 60 min, continuous operation running at reduced or increased supply voltage
- c 3 min, power off
- d 5 min, in which a protective device may trip
- e 30 min
- f, g 60 min of continuous operation after equipment restarts

Figure 2 — Maximum performance test operation

6.2.5.2 The protective devices may trip only during the first 5 min of operation after the shut-down period of 3 min. During the remainder of that 1 h test period, no motor overload protective device shall trip. The equipment shall be permitted to start and stop under the control of an automatic limit device, if provided.

6.2.5.3 For those models designed so that resumption of operation does not occur after an initial trip within the first 5 min, the equipment may remain out of operation for not longer than 30 min. It shall then operate continuously for 1 h.

Table 4 — Maximum cooling test conditions

Parameter	Standard rating conditions		
	T1	T2	T3
Temperature of air entering indoor-side:			
— dry-bulb	32 °C	27 °C	32 °C
— wet-bulb	23 °C	19 °C	23 °C
Temperature of air entering outdoor-side:			
— dry-bulb	43 °C	35 °C	52 °C
— wet-bulb ^a	26 °C	24 °C	31 °C
Test frequency ^b	Rated frequency		
Test voltage	a) 90 % and 110 % of rated voltage with a single nameplate voltage rating. b) 90 % of the lower rated voltage and 110 % of the higher rated voltage for units with a dual or extended nameplate voltage rating.		

^a The wet-bulb temperature condition shall only be required when testing air-cooled condensers that evaporate the condensate.

^b Equipment with dual-rated frequencies shall be tested at each frequency.

6.3 Minimum cooling test

6.3.1 General conditions

The test conditions specified in [Table 5](#) shall be used when conducting the minimum cooling and freeze-up air blockage test. All indoor units shall be functioning during this test. The test shall be conducted with the equipment functioning at full-load operation, as defined in [3.20](#), except as required in [6.3.3](#). The determination of cooling capacity and electrical power input is not required for this performance test.

6.3.2 Temperature conditions

Tests shall be conducted at the temperature conditions specified in [Table 5](#). If lower minimum operating temperature conditions are specified in the manufacturer's specification sheets, they shall be used in lieu of those given in [Table 5](#).

6.3.3 Airflow conditions

The controls, fan speeds, dampers and grilles of the equipment shall be set to produce the maximum tendency to frost or ice the evaporator, providing such settings are not contrary to the manufacturer's operating instructions. The test apparatus shall remain in the configuration established in [5.2](#) and in [Annex A](#).

6.3.4 Test condition

6.3.4.1 Preconditions

The equipment shall be started and operated until operating conditions have stabilized.

6.3.4.2 Duration of test

After the operating conditions have stabilized ([Table 5](#) and [Table 14](#)), the equipment shall be operated for a period of 4 h. The equipment shall be permitted to stop and start under the control of an automatic limit device, if provided.

6.3.5 Performance requirements

6.3.5.1 The equipment shall operate under the conditions specified without any indication of damage.

6.3.5.2 At the end of the 4 h test, any accumulation of frost or ice on the indoor coil shall not cover more than 50 % of the indoor-side face area of the indoor coil or reduce the airflow rate by more than 25 % of the initial airflow rate. If the equipment and test apparatus do not allow visual observation of the indoor coil or if the indoor air volume rate is not measured, then the requirements of [6.3.5.3](#) shall be met.

6.3.5.3 During the 4 h test period, the midpoint temperature of every indoor coil circuit or the refrigerant suction pressure shall be measured at equal intervals that span 1 min or less. The measurement(s) made 10 min after beginning the 4 h test shall be defined as the initial value(s). If the suction pressure is measured, it shall be used to calculate the saturated suction temperature.

- a) If the compressor(s) does(do) not cycle off on automatic controls during the test and
 - if coil circuit temperatures are measured, the temperatures shall not remain more than 2 K below the corresponding initial value for each circuit for more than 20 consecutive min, or
 - if suction pressure is measured, the saturated suction temperature shall not remain more than 2 K below the initial value for more than 20 consecutive min.
- b) If the compressor(s) cycle(s) on and off on the automatic controls during the test and
 - if coil circuit temperatures are measured, the individual circuit temperatures measured 10 min after the beginning of any on cycle during the test shall not be more than 2 K below the corresponding initial circuit temperature(s), or
 - if suction pressure is measured, the saturated suction temperature measured 10 min after the beginning of any “on” cycle during the test shall not be more than 2 K below the initial saturated suction temperature.
 - If the indoor fan is stopped by the automatic controls during the test, the airflow through the indoor coil shall be reduced to zero.

6.4 Freeze-up drip test (applies to non-ducted multi-splits)

6.4.1 General conditions

The freeze-up drip test shall be run immediately after completion of the minimum cooling and freeze-up air blockage test and at the conditions specified in [Table 5](#). The test shall be conducted with the equipment functioning at full-load operation, as defined in [3.20](#), except as required in [5.4](#). The determination of capacity and electrical power is not required for this performance test.

6.4.2 Temperature conditions

The temperature conditions for the freeze-up drip test are given in [Table 5](#).

6.4.3 Airflow conditions

The air inlet to the indoor coil shall be covered to completely block the passage of air, so as to attempt to achieve complete blockage of the evaporator by frost or ice.

6.4.4 Test conditions

6.4.4.1 Preconditions

The equipment shall be started and operated until the operating conditions have stabilized.

6.4.4.2 Duration of test

After the operating conditions have stabilized, the equipment shall be operated for a period of 4 h. The equipment shall be permitted to stop and start under the control of an automatic limit device, if provided. At the end of the 4 h test, the equipment shall be stopped and the air inlet covering removed until the accumulation of frost or ice has melted. The equipment shall then be turned on with the fan(s) operating at the highest speed for 5 min.

6.4.5 Performance requirements

During the test, no ice shall drip from the coil and no water shall drip or blow off the equipment on the indoor-side.

Table 5 — Minimum cooling — Freeze-up air blockage and freeze-up drip test conditions

Parameter	Standard test conditions	
	T1 and T3	T2
Temperature of air entering indoor-side:		
— dry-bulb	21 °C	21 °C
— wet-bulb	15 °C	15 °C
Temperature of air entering outdoor-side:		
— dry-bulb	21 °C	10 °C
— wet-bulb ^a	—	—
Test frequency ^b	Rated frequency	
Test voltage	See Table 3	
^a The wet-bulb temperature condition shall only be required when testing air-cooled condensers that evaporate the condensate.		
^b Equipment with dual-rated frequencies shall be tested at each frequency.		

6.5 Condensate control test and enclosure sweat test

6.5.1 General conditions

The conditions which shall be used during the condensate control and enclosure sweat test are given in [Table 6](#). All indoor units shall be functioning during this test. This test is not required for ducted units. The test shall be conducted with the equipment functioning at full-load operation, as defined in [3.20](#), except as required in [6.5.3](#). The determination of cooling capacity and electrical power input is not required for this performance test.

6.5.2 Temperature conditions

The temperature conditions which shall be used during this test are given in [Table 6](#).

6.5.3 Airflow conditions

The controls, fans, dampers and grilles of the equipment shall be set to produce the maximum tendency to sweat, provided such settings are not contrary to the manufacturer's operating instructions.

6.5.4 Test conditions

6.5.4.1 Preconditions

After establishing the specified temperatures, equipment without condensate pumps shall be started with the condensate collection pan filled to the overflowing point and shall be run until the condensate flow has become uniform (see [Tables 6](#) and [14](#)).

6.5.4.2 Duration of test

The equipment shall be operated for a period of 4 h.

6.5.5 Performance requirements

6.5.5.1 When operating at the test conditions specified in [Table 6](#), no condensed water shall drip, run or blow from the equipment nor shall the equipment shut down.

6.5.5.2 Equipment that rejects condensate to the condenser air shall dispose of all condensate and there shall be no dripping or blowing-off of water from the equipment such that the building or surroundings become wet.

Table 6 — Condensate control test conditions

Parameter	Standard test conditions
Temperature of air entering indoor-side:	
— dry-bulb	27 °C
— wet-bulb	24 °C
Temperature of air entering outdoor-side:	
— dry-bulb	27 °C
— wet-bulb ^a	24 °C
Test frequency ^b	Rated frequency
Test voltage ^c	See Table 3
^a The wet-bulb temperature condition shall only be required when testing air-cooled condensers that evaporate the condensate. ^b Equipment with dual-rated frequencies shall be tested at each frequency. ^c Equipment with dual-rated voltages shall be tested at the higher voltage.	

7 Heating tests

7.1 Heating capacity tests

7.1.1 General conditions

7.1.1.1 For all heating capacity tests, the requirements specified in [Annex B](#) shall apply. Testing shall be conducted using the method(s) and instrumentation that meet the requirements of [9.1](#) and [9.2](#). To constitute a valid test, the test tolerances specified in [9.3](#) shall be satisfied.

7.1.1.2 All heating capacity tests ([Clause 7](#)) shall be conducted at the standard rating conditions specified in [Table 7](#) with the heat pump operating at full capacity, as defined in [3.2](#), with all indoor units and compressors functioning. Modular multi-split systems and modular heat recovery systems shall, for the purposes of this test, have a capacity ratio of indoor units to outdoor units equal to 1 ($\pm 5\%$).

7.1.1.3 The electrical input values used for rating purposes shall be measured during the heating capacity tests. Resistive elements used for heating indoor air other than those used for defrosting shall be prevented from operating during the heating capacity tests.

7.1.1.4 Tests of modular systems and modular heat recovery systems may be conducted at part-load heating capacities. If conducted, part-load heating capacities shall be determined in accordance with the requirements of [Annex F](#).

7.1.1.5 Tests may be conducted to determine the heating capacities of individual indoor units, either operating with all other indoor units functioning or without any other indoor units functioning. If tests are conducted, the capacities shall be determined in accordance with the requirements of [Annex G](#).

7.1.1.6 The manufacturer shall specify, for inverter-controlled compressors, the specific frequency that is needed to give full-load operation. The heat pump shall be maintained at this frequency for all heating capacity tests. If the manufacturer of a heat pump having a variable-speed compressor fails to provide information on the full-load operating frequency and how to achieve it during heating capacity tests, then the heat pump shall be operated with its thermostat or controller set to its maximum allowable temperature setting.

7.1.1.7 The test setup shall include instrumentation to allow measurement of the temperature change across the indoor coil. If using the indoor air enthalpy method, the same dry-bulb temperature sensors as used to measure capacity may be used. If using the calorimeter test method, the temperature change shall be determined using the sensors specified in [Annex D](#).

7.1.2 Temperature conditions

7.1.2.1 Three different outdoor-side temperature conditions, designated as H1-moderate cold condition, H2-cold condition, and H3-very cold condition, are specified in [Table 7](#).

7.1.2.2 [Table 7](#) temperature conditions for the air entering the indoor-side of the equipment shall be used for all heating capacity tests.

7.1.2.3 All heat pumps shall be rated based on testing at the H1-moderate cold condition temperature conditions. Heating capacity tests shall also be conducted at the H2-cold condition and/or H3-very cold condition temperature conditions if the manufacturer rates the equipment for operation at one or both of these temperature conditions.

7.1.2.4 If the heat pump is rated for operation at two frequencies or, in some cases, if the equipment has a dual-rated voltage, then more than one heating capacity test shall be conducted at each applicable outdoor-side temperature condition. [Table 7](#) (and [Table 3](#)) shall be used to determine if additional heating capacity tests are required.

Table 7 — Heating capacity rating conditions

Parameter	Standard rating conditions		
	H1 ^a -moderate cold condition	H2 ^a -cold condition	H3 ^a -very cold condition
Temperature of air entering indoor-side:			
— dry-bulb	20 °C		
— wet-bulb (maximum)	15 °C		
Temperature of air entering outdoor-side:			
— dry-bulb	7 °C	2 °C	−7 °C
— wet-bulb	6 °C	1 °C	−8 °C
Test frequency ^b	Rated frequency		
Test voltage	See Table 3		
^a If a defrosting cycle occurs during the H1, H2 or H3 heating capacity tests, testing under these conditions shall be accomplished using either the calorimeter or the indoor air enthalpy method (see Annexes D and E).			
^b Equipment with dual-rated frequencies shall be tested at each frequency.			

7.1.3 Airflow conditions

7.1.3.1 For ducted indoor units, measurement of the indoor-side air volume rate is required in all cases, regardless of whether the calorimeter test method or the indoor air enthalpy test method is used to provide the primary measurement of heating capacity.

7.1.3.2 Airflow measurements shall be made in accordance with the provisions specified in [Annex A](#) for ducted units and [Annex C](#) for other units, as appropriate, as well as the provisions established in the other annexes of this document.

NOTE 1 Additional guidance concerning airflow measurement can be found in ISO 3966 and ISO 5167-1.

NOTE 2 All airflow rates are expressed in units of cubic metres per second of standard air. For the heating capacity calculations described in [Annex E](#), the indoor-side airflow rate is expressed in units of cubic metres per second of the air-water vapour mixture.

7.1.3.3 When tests are made at settings other than those prescribed in [7.1.3.4](#) and [7.1.3.5](#), these alternative settings shall be noted together with the heating capacity ratings.

7.1.3.4 Equipment settings, both on the indoor and outdoor air sides, shall be the same as those established during the cooling capacity tests. The heating capacity tests shall be conducted at the outdoor-side airflow rate that is inherent in the outdoor-side air circuit, with the exception of any adjustments allowed if using the outdoor air enthalpy test method (see [Annex K](#)).

7.1.3.5 For ducted indoor units, heating capacity tests shall be conducted with the same setting of the damper or exhaust fan as for the cooling capacity test. If cooling capacity tests are conducted for more than one climatic condition (T1, T2, T3) with different flow rates, then the setting giving the highest flow rate shall be used to conduct the heating capacity tests.

7.1.3.6 The heat pump setup used for the H1 test shall be used during the H2 and/or H3 tests, if conducted. There is no minimum required ESP, p_e , when testing at the H2 and H3 test conditions.

7.1.4 Defrost operation

7.1.4.1 Overriding of automatic defrost controls shall be prohibited. The controls may only be overridden when manually initiating a defrost cycle during preconditioning.

7.1.4.2 Any defrost cycle, whether automatically or manually initiated, that occurs while preparing for or conducting a heating capacity test, shall always be automatically terminated by the action of the heat pump's defrost controls.

7.1.4.3 If the heat pump turns the indoor fan off during the defrost cycle, airflow through the indoor coil shall cease.

7.1.5 Test procedure — General

7.1.5.1 The test procedure consists of three periods: a preconditioning period, an equilibrium period and a data collection period. The duration of the data collection period differs depending on whether the heat pump's operation is steady-state or transient. In addition, in the case of transient operation, the data collection period specified when using the indoor air enthalpy method (see [7.1.11.5](#)) is different from the data collection period required if using the calorimeter method (see [7.1.11.6](#)).

7.1.5.2 [Annex P](#) pictorially represents most of the different test sequences which are possible when conducting a heating capacity test.

7.1.6 Preconditioning period

7.1.6.1 The test room reconditioning apparatus and the heat pump under test shall be operated until the test tolerances specified in [9.3](#) are attained for at least 10 min.

7.1.6.2 A defrost cycle may end a preconditioning period. If a defrost cycle does end a preconditioning period, the heat pump shall operate in the heating mode for at least 10 min after defrost termination prior to beginning the equilibrium period.

7.1.6.3 It is recommended that the preconditioning period end with an automatic or manually induced defrost cycle when testing at the H2 and H3 temperature conditions.

7.1.7 Equilibrium period

7.1.7.1 The equilibrium period immediately follows the preconditioning period.

7.1.7.2 A complete equilibrium period is 1 h in duration.

7.1.7.3 Except as specified in [7.1.11.3](#), the heat pump shall operate while meeting the [9.3](#) test tolerances.

7.1.8 Data collection period

7.1.8.1 The data collection period immediately follows the equilibrium period.

7.1.8.2 Data shall be collected as specified for the test method(s) chosen from [9.1](#). If using the calorimeter method, heating capacity shall be calculated as specified in [Annex D](#). If using the indoor air enthalpy method, heating capacity shall be calculated as specified in [Annex E](#). For cases where one of the confirmative test methods of [9.1.3.1](#) is used, heating capacity shall be calculated as specified in the appropriate annex.

7.1.8.3 An integrating electrical power (watt-hour) meter or measuring system shall be used for measuring the electrical energy supplied to the equipment. During defrost cycles and for the first 10 min following a defrost termination, the meter or measuring system shall have a sampling rate of at least every 10 s.

7.1.8.4 Except as specified in [7.1.8.3](#) and [7.1.8.5](#), data shall be sampled at equal intervals that span 30 s or less.

7.1.8.5 During defrost cycles, plus the first 10 min following defrost termination, certain data used in evaluating the integrated heating capacity of the heat pump shall be sampled at equal intervals that span 10 s or less. When using the indoor air enthalpy method, these more frequently sampled data include the change in indoor-side dry-bulb temperature. When using the calorimeter method, these more frequently sampled data include all measurements required to determine the indoor-side capacity.

7.1.8.6 For heat pumps that automatically cycle off the indoor fan during a defrost, the contribution of the net heating delivered and/or the change in indoor-side dry-bulb temperature shall be assigned the value of zero when the indoor fan is off, if using the indoor air enthalpy method. If using the calorimeter test method, the integration of capacity shall continue while the indoor fan is off.

7.1.8.7 For both the indoor air enthalpy and calorimeter test methods, the difference between the dry-bulb temperature of the air leaving and entering the indoor coil shall be measured. For each 5 min interval during the data collection period, an average temperature difference shall be calculated, $\Delta t_i(\tau)$. The average temperature difference for the first 5 min of the data collection period, $\Delta t_i(\tau = 0)$, shall be saved for the purpose of calculating the change, Δt , expressed as a percentage, as given in [Formula \(1\)](#):

$$\% \Delta t = \left[\frac{\Delta t_{i(\tau=0)} - \Delta t_{i(\tau)}}{\Delta t_{i(\tau=0)}} \right] \times 100 \% \quad (1)$$

7.1.9 Test procedure when a defrost cycle (whether automatically or manually initiated) ends the preconditioning period ([7.1.6](#))

7.1.9.1 If the quantity $\% \Delta t$ exceeds 2,5 % during the first 35 min of the data collection period, the heating capacity test shall be designated as a transient test (see [7.1.11](#)). Likewise, if the heat pump initiates a defrost cycle during the equilibrium period or during the first 35 min of the data collection period, the heating capacity test shall be designated as a transient test.

7.1.9.2 If the conditions specified in [7.1.9.1](#) do not occur and the test tolerances given in [9.3](#) are satisfied during both the equilibrium period and the first 35 min of the data collection period, then the heat capacity test shall be designated a steady-state test. Steady-state tests shall be terminated after 35 min of data collection.

7.1.10 Test procedure when a defrost cycle does not end the preconditioning period ([7.1.6](#))

7.1.10.1 If the heat pump initiates a defrost cycle during the equilibrium period or during the first 35 min of the data collection period, the heating capacity test shall be restarted as specified in [7.1.10.3](#).

7.1.10.2 If the quantity $\% \Delta t$ exceeds 2,5 % any time during the first 35 min of the data collection period, the heating capacity test shall be restarted as specified in [7.1.10.3](#). Prior to the restart, a defrost cycle shall occur. This defrost cycle may be manually initiated or delayed until the heat pump initiates an automatic defrost.

7.1.10.3 If either [7.1.10.1](#) or [7.1.10.2](#) apply, then the restart shall begin 10 min after the defrost cycle terminates with a new, 1-h-long equilibrium period. This second attempt shall follow the requirements of [7.1.7](#) and [7.1.8](#), and the test procedure of [7.1.9](#).

7.1.10.4 If the conditions specified in [7.1.10.1](#) or [7.1.10.2](#) do not occur and the test tolerances given in [9.3](#) are satisfied during both the equilibrium period and the first 35 min of the data collection period, then the heat capacity test shall be designated as a steady-state test. Steady-state tests shall be terminated after 35 min of data collection.

7.1.11 Test procedure for transient tests

7.1.11.1 When, in accordance with [7.1.9.1](#), a heating capacity test is designated as a transient test, the adjustments specified in [7.1.11.2](#) to [7.1.11.6](#) shall apply.

7.1.11.2 The outdoor air enthalpy test method shall not be used and its associated outdoor-side measurement apparatus shall be disconnected from the heat pump. In all cases, the normal outdoor-side airflow of the heat pump shall not be disturbed. The use of other confirming test methods is not required.

7.1.11.3 To constitute a valid transient heating capacity test, the test tolerances specified in [Table 8](#) shall be achieved during both the equilibrium period and the data collection period. As noted in [Table 8](#), the test tolerances are specified for two subintervals. Interval H consists of data collected during each heating interval, with the exception of the first 10 min after defrost termination. Interval D consists of data collected during each defrost cycle plus the first 10 min of the subsequent heating interval.

7.1.11.4 The test tolerance parameters in [Table 8](#) shall be sampled throughout the equilibrium and data collection periods. All data collected during each interval, H or D, shall be used to evaluate compliance with the [Table 8](#) test tolerances. Data from two or more H intervals or two or more D intervals shall not be combined and then used in evaluating [Table 8](#) compliance. Compliance is based on evaluating data from each interval separately.

7.1.11.5 If using the indoor air enthalpy method, the data collection period shall be extended until 3 h have elapsed or until the heat pump completes three complete cycles during the period, whichever occurs first. If, at an elapsed time of 3 h, the heat pump is conducting a defrost cycle, the cycle shall be completed before terminating the collection of data. A complete cycle consists of a heating period and a defrost period, from defrost termination to defrost termination.

7.1.11.6 If using the calorimeter method, the data collection period shall be extended until 6 h have elapsed or until the heat pump completes six complete cycles during the period, whichever occurs first. If, at an elapsed time of 6 h, the heat pump is conducting a defrost cycle, the cycle shall be completed before terminating the collection of data. A complete cycle consists of a heating period and a defrost period, from defrost termination to defrost termination.

NOTE Consecutive cycles are repetitive with similar frost and defrost intervals before selecting data used for calculating the integrated capacity and power.

7.1.11.7 Because of the confirming test method requirement of [9.1.3.1](#), the outdoor air enthalpy test apparatus may have to be disconnected from the heat pump, as specified in [7.1.11.2](#), during a heating capacity test. If removal during a test is required, the changeover interval shall not be counted as part of the elapsed time of the equilibrium or data collection periods. The changeover interval shall be defined as starting the instant the heating capacity test is designated a transient test and ending when the test tolerances from [Table 8](#) are first re-established after the outdoor air enthalpy apparatus is disconnected from the heat pump.

Table 8 — Variations allowed in heating capacity tests when using the transient (T) test procedure

Reading	Variation of arithmetical mean values from specified test conditions		Variation of individual readings from specified test conditions	
	Interval H ^a	Interval D ^b	Interval H ^a	Interval D ^b
Temperature of air entering indoor-side:				
— dry-bulb	±0,6 K	±1,5 K	±1,0 K	±2,5 K
— wet-bulb	—	—	—	—
Temperature of air entering outdoor-side:				
— dry-bulb	±0,6 K	±1,5 K	±1,0 K	±5,0 K
— wet-bulb	±0,3 K	±1,0 K	±0,6 K	—
Voltage	—	—	±2 %	±2 %
^a Applies when the heat pump is in the heating mode, except for the first 10 min after termination of a defrost cycle.				
^b Applies during a defrost cycle and during the first 10 min after the termination of a defrost cycle when the heat pump is operating in the heating mode.				

7.1.12 Heating capacity test results

7.1.12.1 The electrical energy supplied to the heat pump during the applicable test period specified in [7.1.8](#) shall be measured along with the corresponding elapsed time, in hours, of the test period.

7.1.12.2 Average heating capacity and average electrical power input shall be calculated in accordance with [10.1.4](#) and [10.1.5](#) using data from the total number of complete cycles that are achieved before data collection is terminated. In the event that the equipment does not undergo a defrost cycle during the data collection period of a transient test, the entire data set shall be used for the calculations.

The test shall be conducted with the equipment functioning at full-load operation, as defined in [3.20](#).

7.2 Maximum heating performance test

7.2.1 General conditions

The conditions given in [Table 9](#) shall be used during the maximum heating performance test. The test shall be conducted with the equipment functioning at full-load operation, as defined in [3.20](#). All indoor units and compressors shall be functioning during this test.

The test voltages in [Table 9](#) shall be maintained at the specified percentages under running conditions.

The determination of heating capacity and electrical power input is not required for this performance test.

7.2.2 Temperature conditions

The temperature conditions given in [Table 9](#) shall be used during these tests, unless the manufacturer specifies higher temperature conditions in the manufacturer's equipment specification sheets.

Table 9 — Maximum heating test conditions

Parameter	Standard test conditions
Temperature of air entering indoor-side: — dry-bulb	27 °C
Temperature of air entering outdoor-side: — dry-bulb — wet-bulb	24 °C 18 °C
Test frequency ^a	Rated frequency
Test voltage	a) 90 % and 110 % of rated voltage for equipment with a single nameplate voltage rating b) 90 % of the lower rated voltage and 110 % of the higher rated voltage for equipment with a dual or extended nameplate voltage rating
^a Equipment with dual-rated frequencies shall be tested at each frequency.	

7.2.3 Airflow conditions

The maximum heating test shall be conducted using the indoor-side fan speed setting determined in [5.2](#) and [Annex A](#), except as required in [7.2.4.1](#).

7.2.4 Test conditions

7.2.4.1 Preconditions

The controls of the equipment shall be set for maximum heating. All ventilating air dampers and exhaust air dampers, if provided, shall be closed.

7.2.4.2 Duration of test

The equipment shall be operated for 1 h after the specified air temperatures have been attained from [Table 9](#) and [Table 13](#). The equipment shall be permitted to stop and start under the control of an automatic limit device, if provided.

7.2.5 Performance requirements

The equipment shall operate under the conditions specified in [Table 9](#) and [7.2.4.2](#), without indication of damage. The equipment shall be permitted to stop and start under the control of an automatic limit device, if provided. After the interruption of operation, the equipment shall resume operation within 30 min. See [Figure 2](#).

7.3 Minimum heating performance test

7.3.1 General conditions

The conditions given in [Table 10](#) shall be used for this test. The test shall be conducted with the equipment functioning at full-load operation, as defined in [3.20](#). The voltage shall be maintained at the specified value under running conditions. All indoor units and compressors shall be functioning during this test. The determination of the heating capacity and electrical power input is not required for this performance test. This test is only applicable to units including a rating to region H3.

7.3.2 Temperature conditions

The temperature conditions shall be as given in [Table 10](#), unless the manufacturer specifies lower conditions in the manufacturer's equipment specification sheets.

7.3.3 Airflow conditions

The controls of the equipment shall be set for maximum heating. All ventilating air dampers and exhaust air dampers, if provided, shall be closed.

Table 10 — Minimum heating test conditions

Parameter	Standard test conditions
Temperature of air entering indoor-side: — dry-bulb	20 °C
Temperature of air entering outdoor-side ^a : — dry-bulb — wet-bulb	–7 °C –8 °C
Test frequency ^b	Rated frequency
Test voltage ^c	See Table 3
^a If the equipment can be operated under the H3 temperature condition, –7 °C dry-bulb and –8 °C wet-bulb shall be used in lieu of –5 °C/–6 °C. ^b Equipment with dual-rated frequencies shall be tested at each frequency. ^c Equipment with dual-rated voltage shall be tested at the higher voltage. This requirement for testing at a single voltage shall supersede.	

7.3.4 Test conditions

7.3.4.1 Preconditions

The equipment shall be operated for a sufficient period of time to reach stable operating conditions as specified in [Table 10](#).

7.3.4.2 Duration of test

After the equipment has reached stable operating conditions ([Tables 10](#) and [14](#)), these conditions shall be maintained for 1 h.

7.3.5 Performance requirements

The equipment shall operate throughout the test without activation of any manual reset device. The equipment shall be permitted to stop and start under the control of an automatic limit device, if provided.

The equipment shall operate under the conditions specified in [Table 10](#) and [7.3.4.2](#), without indication of damage.

7.4 Automatic defrost performance test

7.4.1 General conditions

This test is not required if provision is made to ensure that cool air (less than 18 °C) is not blown into the conditioned space during defrost. The test shall be conducted with the equipment functioning at full capacity, as defined in [3.2](#), except as required in [7.4.3](#). The conditions for test frequency and test voltage given in [Table 7](#) shall be used during the automatic defrost test. The determination of heating capacity and electrical power input is not required for this performance test.