

Guidance Document

G1014

REVIEW OF TRANSPORT UNDERTAKING'S SAFETY CERTIFICATION / AUTHORISATION

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1 Purpose

- 1.1 This procedure defines the arrangements by which London Underground reviews Transport Undertakings Safety Certification and Authorisation submissions to comply with regulations 17 (3) and 22 of the Railways and Other Guided Transport Systems (Safety) Regulations.

2 Scope

- 2.1 The regulations require London Underground to undertake a general review and make representations on Safety Certification and Authorisation in order to understand the logic and clarity of the submission. Further a more specific review of the interface arrangements described within the Company Management System is required to ensure London Underground is able to comply with its responsibilities as Infrastructure Manager. Under the regulations London Underground have 28 days to make representations concerning the content of the documentation to the Office of Rail Regulation.

This procedure describes the arrangements to meet these legal requirements. The following principles have been adopted in the design of this procedure and shall be adopted whenever it is implemented:

- Legal obligations will be complied with throughout all stages of the process:
 - All relevant parts of a Safety Certification / Authorisation submission shall be assessed
 - Procedural requirements in the regulations shall be followed
 - Representations are made via E mail, unless specifically requested otherwise by Office of Rail Regulation.
- A suitable selection of competent London Underground and London Underground Group staff shall be involved; and
- Safety Certification / Authorisation reviews shall be carried out efficiently to provide the maximum benefit, for London Underground, the operator and any other affected party, therefore:
 - The outputs of the review shall be used to improve aspects of London Underground's operations where possible – notably as an input to Health, Safety and Environment auditing activity
 - Issues presented to operators will be relevant and easy to understand