

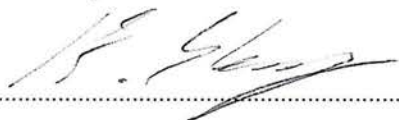
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Level 2

Safety Validation of Organisational Change

Endorsement and Authorisation

Endorsed by:



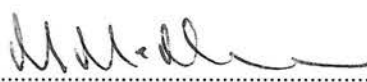
Ben Shirley, Working Group Chair

Authorised by:



Brian Tomlinson, Steering Group Chair

Accepted for issue by:



Mick McManus, National Standards Manager

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Issue record

Issue	Date	Comments
1	July 1994	Original document published as RT/D/P/002.
2	December 1997	Updated to reflect changes in SMS and post titles. Minor text reformatting.
3	October 2000	Emergency issue.
4	April 2001	Amendment following issue of the Railways (Safety Case) Regulations 2000.
5	April 2002	Amendment following the triennial review of RRSC.
6	October 2003	Amendment following takeover of Railtrack PLC by Network Rail with minor alterations to improve the text.
7	April 2004	Amended to support functional reorganisation implemented in Spring 2004.
8	June 2005	Amended to remove irrelevant clauses, update references to risk assessment, reflect the interface of safety validation with the Organisational Change Board, and to expand upon and clarify responsibilities
9	March 2009	Update to NR/CS/RSC/020. Amended following issue of the Railways and Other Guided Transport Systems (Safety) Regulations 2006, and to include references to 'GRIP for change', the standards management process, and the management processes for changes to the infrastructure, rail vehicles, plant and equipment.
10	September 2015	Updated to reflect good practice in the application of the Common Safety Method on Risk Evaluation and Assessment (CSM RA).

Compliance

This Network Rail standard is mandatory and shall be complied with by Network Rail and its contractors if applicable from 05 December 2015.

When this standard is implemented, it is permissible for all projects that have formally completed GRIP Stage 3 (Option Selection) to continue to comply with the issue of any relevant Network Rail standards current when GRIP Stage 3 was completed and not to comply with requirements contained herein, unless stipulated otherwise in the scope of this standard.

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Reference documentation

ROGS	The Railways and Other Guided Transport Systems (Safety) Regulations 2006 as amended.
HSMS	Network Rail's Health & Safety Management System
NR/L2/RSE/100	Network Rail Assurance Panel processes
NR/L2/RSE/100/02	Application of the Common Safety Method for Risk Evaluation and Assessment.
ORR Guidance	ORR Guidance on Common Safety Method for risk evaluation and assessment
ISO 17020	BS EN ISO/IEC 17020:2012, Conformity assessment – Requirements for the operation of various types of bodies performing inspection
EU 402/2013	European Commission Regulation on the Common Safety Method for Risk Evaluation and Assessment (CSM RA)

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1 Purpose

To provide assurance that proposals for organisational change and any consequential changes to Network Rail's Health & Safety Management System are reviewed, so that prior to implementation of change:

- a) health and safety risks associated with the change are identified, assessed and controlled so far as is reasonably practicable;
- b) non-'substantial' changes to Network Rail's Health & Safety Management System are identified and revisions made;
- c) 'substantial' changes to Network Rail's Health & Safety Management System are identified and accepted by the Office of Rail Regulation;
- d) independent assessment is conducted on all Significant organisational changes which may impact on the safety of the mainline railway system, in line with the requirements of the Common Safety Method on Risk Evaluation and Assessment.

2 Scope

This standard specifies how to safety validate all organisational changes within Network Rail (as determined in this Standard).

This standard is applicable to any Function, Route, Region, major programme or equivalent, within Network Rail, that is implementing an organisational change. For the purposes of this standard "organisational change" within Network Rail is considered to be any change to:

- a) the management or organising of people
- b) processes and working arrangement changes that affect the organisation.

This standard also specifies how Network Rail discharges the Independent Assessment Body requirements under the Commission Implementing Regulation (EU) 402/2013 - the Regulation on a common safety method for risk evaluation and assessment (CSM RA) for Significant organisational changes.

The application of the CSM RA regulations to technical and operational changes are not within the scope of this standard. This is covered by NR/L2/RSE/100/02 - Application of the Common Safety Method for Risk Evaluation and Assessment.

Where the organisation change affects non mainline railway, CSM RA does not apply; however, requirements of Safety Verification shall still apply. The safety validation process shall be used for organisation changes of the non mainline railway system and the mainline railway system.