Ref:	**NR/L2/SIG/30099/005**
Issue:	1
Date:	02/06/2012
Compliance date:	01/12/2012

Module

Mechanical Locking: Process & Management

Endorsement and Authorisation

For endorsement, authorisation and issue record of this document, please NR/L2/SIG/30099

This document is the property of Network Rail. It shall not be reproduced in whole or part nor disclosed to a third party without the written permission of Network Rail.
© Copyright 2012 Network Rail.

Uncontrolled copy once printed from its electronic source.

Published and Issued by Network Rail, Kings Place, 90 York Way, London. N1 9AG.

Copyright Network Rail
Provided by IHS under license with Network Rail
No reproduction or networking permitted without license from IHS
Full document details: NR NR-L2-SIG-30099-005 ISSUE 2012

Licensee=Weston Williamson/5989497001, User=sam, albett
Not for Resale, 03/27/2016 19:46:38 MDT

User information

This Network Rail standard contains colour-coding according to the following Red–Amber–Green classification.

Red requirements – *No deviations, could stop the railway*

- **Red requirements shall** always be complied with and achieved.
- Red requirements shall be presented in a red box with the word "shall" or expressed as a direct instruction.
- Accountability for the efficacy of red requirements lies with the Professional Head/Standard Owner.
- Red requirements are monitored for compliance.
- Corrective actions shall be enforced if deviations are discovered through functional checks (e.g. engineering verification visits, audit or Operations Self-Assurance).

Amber requirements – *Controlled deviations, approved risk analysis and mitigation*

- **Amber requirements shall** be complied with unless deviation has been approved in advance.
- Amber requirements shall be presented with an amber sidebar and with the word "shall" or expressed as a direct instruction.
- Accountability for the efficacy of these requirements lies with the Professional Head/Standard Owner, or their nominated Delegated Authority.
- Amber requirements are monitored for compliance.
- Deviations **may** be permitted. Deviations are approved by the Standard Owner or through existing Delegated Authority arrangements.
- Corrective actions shall be enforced if **non-approved** deviations are discovered through functional checks (e.g. engineering verification visits, audit or Operations Self-Assurance).

Green – *Guidance*

- Guidance is based on good practice. Guidance represents supporting information to help achieve Red and Amber requirements.
- Guidance shall be presented with a dotted green sidebar and with the word "should" (usually in notes) or as a direct instruction.
- Guidance is **not mandatory** and is not monitored for compliance.
- Alternative solutions may be used. Alternative solutions do not need to be formally approved.
- Decisions made by a competent person to use alternative solutions should be backed up by appropriate evidence or documentation.

Documents
Document Sharing Hub

Copyright Network Rail
Provided by IHS under license with Network Rail
No reproduction or networking permitted without license from IHS
Full document details: NR NR-L2-SIG-30099-005 ISSUE 2012

Licensee=Weston Williamson/5989497001, User=sam, albett
Not for Resale, 03/27/2016 19:46:38 MDT

Issue record

Issue	Date	Comments
1	02/06/2012	Incorporation of NR/L3/SIG/SG0190 (2) in handbook

Compliance

This Network Rail standard is mandatory and shall be complied with by Network Rail and its contractors if applicable from 01/12/2012.

When this standard is implemented, it is permissible for all projects that have formally completed GRIP Stage 3 (Option Selection) to continue to comply with the issue of any relevant Network Rail standards current when GRIP Stage 3 was completed and not to comply with requirements contained herein, unless stipulated otherwise in the scope of this standard.

Disclaimer

In issuing this document for its stated purpose, Network Rail makes no warranties, express or implied, that compliance with all or any documents it issues is sufficient on its own to ensure safe systems of work or operation. Users are reminded of their own duties under health and safety legislation.

Supply

Copies of documents are available electronically, within Network Rail's organisation. Hard copies of this document may be available to Network Rail people on request to the relevant controlled publication distributor. Other organisations may obtain copies of this document from IHS. Tel: 01344 328039.

Documents
Document Sharing Hub

Copyright Network Rail
Provided by IHS under license with Network Rail
No reproduction or networking permitted without license from IHS
Full document details: NR NR-L2-SIG-30099-005 ISSUE 2012

Licensee=Weston Williamson/5989497001, User=sam, albett
Not for Resale, 03/27/2016 19:46:38 MDT