

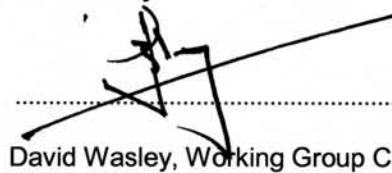
Ref:	NR/L3/CPR/306
Issue:	1
Date:	03 September 2011
Compliance date:	03 September 2011

Level 3

Disposal of redundant railway assets

Endorsement and Authorisation

Endorsed by:



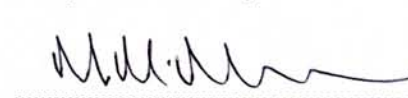
David Wasley, Working Group Chair

Authorised by:



Ian Sexton, Director, Contracts and Procurement

Accepted for issue by:



Mick McManus, National Standards Manager

This document is the property of Network Rail. It shall not be reproduced in whole or part nor disclosed to a third party without the written permission of Network Rail.
© Copyright 2011 Network Rail.

Uncontrolled copy once printed from its electronic source.

Published and Issued by Network Rail, Kings Place, 90 York Way, London. N1 9AG.



Documents

Document Sharing Hub

Ref:	NR/L3/CPR/306
Issue:	1
Date:	03 September 2011
Compliance date:	03 September 2011

Issue record

Issue	Date	Comments
1	September 2011	First issue

Compliance

This Network Rail standard is mandatory and shall be complied with by Network Rail and its contractors if applicable from 03 September 2011.

When this standard is implemented, it is permissible for all projects that have formally completed GRIP Stage 3 (Option Selection) to continue to comply with the issue of any relevant Network Rail standards current when GRIP Stage 3 was completed and not to comply with requirements contained herein, unless stipulated otherwise in the scope of this standard.

Reference documentation

NR/L1/CPR/102	Sourcing and supplier governance policy
Connect	Contracts and Procurement, How we Operate, Governance and Policies, Delegated Authority
	Information Management, National Records Group, The Corporate Archive
	National Delivery Service, Infrastructure Materials, Serviceable and Recycled Materials
	Delegation of Authority Policy
	Disposal of Redundant Railway Assets Policy Statement
	Gateway 1 Category Strategy & Opportunity Analysis
	Gateway 2/3 Contracting Strategy through to Award Recommendation template
	GI (Geospatial Information) Portal
	Health and Safety Policy Statement
Form MR1	Material Recovery Request Form
	Skip Request Form
	Materials for Disposal Form – For Under £500
	BMIS Request for Invoice Form

Available by contacting the Procurement Service Centre, telephone 08456 007772.

Disclaimer

In issuing this document for its stated purpose, Network Rail makes no warranties, express or implied, that compliance with all or any documents it issues is sufficient

Ref:	NR/L3/CPR/306
Issue:	1
Date:	03 September 2011
Compliance date:	03 September 2011

Contents

1 Purpose	5
2 Scope	5
3 Responsibilities	6
4 Definitions	8
5 Procedure	9
5.1 Overview	9
5.2 Schematic	11
5.3 Protected assets	12
5.4 Infrastructure materials	12
5.5 Strategic spares	12
5.6 Valuing redundant railway assets	12
5.7 Surplus or obsolete assets for commercial sale	13
5.8 Scrap assets	13
5.9 Sale of heritage (unprotected) assets	13
5.10 Gifting heritage (unprotected) assets	13
5.11 Exception applicable to Infrastructure Maintenance	13
6 Financial considerations	14
6.1 Invoicing	14
6.2 Original expenditure made in prior control periods	15
6.3 Original expenditure made in current control period	15
6.4 Tax	15
7 Reporting	15
Figure 1 – Disposal of redundant railway assets schematic	11
Figure 2 – Disposal of redundant railway assets schematic for Infrastructure Maintenance	14
Table 1 – RACI model	7

Ref:	NR/L3/CPR/306
Issue:	1
Date:	03 September 2011
Compliance date:	03 September 2011

1 Purpose

The purpose of this standard is to control the disposal of redundant railway assets (including those described as surplus, obsolete or scrap), so that:

- disposals are safe;
- abandonment is minimised;
- infrastructure materials or strategic spares are retained;
- heritage or protected assets are treated appropriately;
- disposals are reviewed and approved to comply with statutory regulations and Network Rail's corporate governance;
- disposals are logged, measured and controlled;
- Network Rail meets its Network Licence obligation to hold records of assets and their condition;
- value opportunities are identified and realised through sale;
- theft is minimised;
- recycling is maximised and landfill is minimised.

2 Scope

This Network Rail standard covers the disposal of redundant railway assets. Examples of such assets include the disposal of redundant infrastructure materials (including, but not limited to rail, switches & crossings, concrete & timber sleepers and cast & base plates), cable, trains, on track machines, station structures or architectural salvage, signs, cranes, re-locatable buildings, signal boxes and their equipment, signalling or telecoms equipment and artefacts or records designated by the Railway Heritage Committee.

The scope excludes:

- the disposal of land or buildings not included above;
- road vehicles;
- information technology assets;
- office furniture or equipment (unless designated by the Railway Heritage Committee);
- intellectual property rights;
- office or industrial waste¹
- materials or goods that belong to contractors.

On implementation of this standard, it is permissible for all projects that have formally completed GRIP Stage 5 (Detailed Design) to continue to comply with the issue of

¹ For waste management, refer to Connect, Guide to Purchasing, I Want to Buy, Waste Management. NDS does not provide a general waste collection service.

Ref:	NR/L3/CPR/306
Issue:	1
Date:	03 September 2011
Compliance date:	03 September 2011

any relevant Network Rail standards current when GRIP Stage 5 was completed and not to comply with requirements contained herein.

3 Responsibilities

The authority to approve the disposal of surplus or redundant material or assets is set out in Network Rail's 'Delegation of Authority Policy'.

The Director, Contracts and Procurement:

- owns the policy for the disposal or redundant railway assets;
- is accountable for the governance of the sale of surplus or obsolete assets and may delegate this authority to NDS personnel in accordance with Contracts and Procurement's delegated authority limits;
- is responsible for the gifting of any redundant railway assets, subject to any protections that the redundant railway assets may enjoy, and may delegate this authority.

Contracts and Procurement's 'Gateway 1 Category Strategies & Opportunity Analyses' and 'Gateway 2/3 Contracting Strategies through to Award Recommendations' must include outline approaches for the efficient, effective and safe disposal of materials or equipment (see NR/L1/CPR/102).

For further details, refer to the RACI (Responsible, Accountable, Consulted, or Informed) model in Table 1.

Where Network Rail employs a contractor to provide works or services, the contractor shall not dispose of infrastructure materials, cable², strategic spares or protected assets. Network Rail is solely responsible for redeploying or disposing of these classes of asset. For all other redundant railway assets arising, where a contractor is responsible for disposal, the contract will make it clear how Network Rail recovers any proceeds or pays for any costs of disposal.

² In the case of Investment Projects SP&C's NR9(S) contracts, disposal of cable that belongs to a contractor is to be recorded using forms CAB-DIS 01 and CAB-DIS 02.