

The following information is associated with Network Rail Standard:
[NR/L3/MTC/SE0117](#) Issue 3

Additional Content Access

Click the links below to access non PDF related content associated with this standard.

Word Documents

- [SMF/SE/0468A ISSUE 1](#)
- [SMF/SE/0468B ISSUE 1](#)
- [SMF/SE/0468C ISSUE 1](#)
- [SMF/SE/0468D ISSUE 1](#)
- [SMF/SE/0468E ISSUE 1](#)
- [SMF/SE/0468F ISSUE 1](#)
- [SMF/SE/0468X ISSUE 1](#)

If you have any questions, or need assistance, please contact [IHS Support](#)

Information accessed via the links above is the property of the Copyright holder

IHS Additional Content Page

Ref:	NR/L3/MTC/SE0117
Issue:	3
Date:	3 December 2011
Compliance date:	3 March 2012

Level 3

Planned General Safety Inspections and Site Surveillance

Endorsement and Authorisation

Endorsed by:

Rob O'Halloran

Rob O'Halloran, Working Group Chair

Authorised by:

Justin Page

Justin Page, Steering Group Chair

Accepted for issue by:

Mick McManus

Mick McManus, National Standards Manager

This document is the property of Network Rail. It shall not be reproduced in whole or part nor disclosed to a third party without the written permission of Network Rail.
© Copyright 2011 Network Rail.

Uncontrolled copy once printed from its electronic source.

Published and Issued by Network Rail, Kings Place 90 York Way, London N1 9AG.



Ref:	NR/L3/MTC/SE0117
Issue:	3
Date:	3 December 2011
Compliance date:	3 March 2012

User information

This Network Rail standard may contain requirements that are colour-coded according to the following Red–Amber–Green classification.

Red – Mandatory requirements

- **Requirements** that **shall** be complied with, and achieved under all circumstances.
- Accountability for the efficacy of these requirements lies with the Professional Head/Standard Owner.
- These requirements are monitored for compliance.
- Local non-compliances, discovered through engineering verification visits, shall be reported and monitored through the quarterly Engineering Verification Report.
- Corrective actions shall be enforced where local non-compliances remain open beyond the agreed mitigation plan dates.
- These are presented in a red box and with the word “shall” or a direct instruction.

Amber – Conditional requirements

- **Requirements** that **shall** be complied with unless deviation has been approved.
- Accountability for the efficacy of these requirements lies with the Professional Head/ Standard Owner, or their nominated Delegated Authority.
- These requirements are monitored for compliance.
- Derogations **may** be permitted; which are to be approved by Standard Owner in advance.
- Derogations and Temporary Non-Compliances **may** be granted through the existing Delegated Authority arrangements.
- These are presented with an amber sidebar and with the word “shall” or a direct instruction.

Green – Guidance

- Guidance based on good practice; representing supporting information, based on good practice, to help achieve RED and AMBER requirements.
- This guidance is **not mandatory** and is not monitored for compliance.
- Alternative solutions may be used, which do not need to be formally approved.
- Decisions made by a competent person to use alternative solutions should be backed up by appropriate evidence/documentation.
- This is presented with a dotted green sidebar and with the word “should” (usually in notes).

Ref:	NR/L3/MTC/SE0117
Issue:	3
Date:	3 December 2011
Compliance date:	3 March 2012

Issue record

Issue	Date	Comments
1	December 2006	First Issue
2	June 2008	Amended to latest NR template. Amended roles and responsibilities in line with phase 2A re-organisation
3	December 2011	Enhanced to include Site Surveillance

Compliance

This Network Rail standard is mandatory and shall be complied with by Network Rail and its contractors if applicable from 3 March 2012.

When this standard is implemented, it is permissible for all projects that have formally completed GRIP Stage 3 (Option Selection) to continue to comply with the issue of any relevant Network Rail standards current when GRIP Stage 3 was completed and not to comply with requirements contained herein, unless stipulated otherwise in the scope of this standard

Reference documentation

NR/L2/RMVP/0200	Plant Operations Manual
NR/L2/RMVP/0200/P011	Unobtrusive Monitoring of RRV and RMMM Operations
NR/L2/OHS/019	Safety of People Working On or Near the Line.
NR/L3/CTM/306	Competence Assurance - Assessment in The Line
SMF/SE/0468A	Record of on Site Surveillance – Track Teams
SMF/SE/0468B	Record of on Site Surveillance – Off Track Teams
SMF/SE/0468C	Record of on Site Surveillance – OLE Teams
SMF/SE/0468D	Record of on Site Surveillance – Conductor Rail Maintenance Teams
SMF/SE/0468E	Record of on Site Surveillance – Distribution & Plant Teams
SMF/SE/0468F	Record of on Site Surveillance – Track Warning Systems: LOWS
SMF/SE/0468X	Record of on Site Surveillance – Contractors Work Group
NR/L2/SIG/10027	Surveillance of Signalling Engineering Activities
SMF/SE/0211	Vehicle inspection checklist
SMF/SE/0213	Accommodation inspection checklist
NR 9971	Site Safety Notebook

Ref:	NR/L3/MTC/SE0117
Issue:	3
Date:	3 December 2011
Compliance date:	3 March 2012

Disclaimer

In issuing this document for its stated purpose, Network Rail makes no warranties, express or implied, that compliance with all or any documents it issues is sufficient on its own to confirm safe systems of work or operation. Users are reminded of their own duties under health and safety legislation.

Supply

Copies of documents are available electronically, within Network Rail's organisation. Hard copies of this document may be available to Network Rail people on request to the relevant controlled publication distributor. Other organisations may obtain copies of this document from IHS (Technical Indexes Ltd) tel: 01344 328039.

Ref:	NR/L3/MTC/SE0117
Issue:	3
Date:	3 December 2011
Compliance date:	3 March 2012

Contents

1 Purpose	6
2 Scope	6
3 Roles and responsibilities	7
4 Abbreviations and definitions	8
5 Process	9
Table 1 – Table of Minimum Requirements for Safety Tours, Site Surveillance Checks and Planned General Safety Inspections by post	10

.....