

SEMI E132.2-0624

SPECIFICATION FOR PROTOCOL BUFFERS FOR EQUIPMENT CLIENT AUTHENTICATION AND AUTHORIZATION (ECA)

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NOTICE: The section symbol (§) is used to reference a numbered section, paragraph, or header and all subordinate paragraphs, headers, and embedded material (i.e., EXCEPTIONs, lists) therein. The paragraph symbol (¶) is used to reference a particular numbered paragraph and embedded material therein. When duplicated (i.e., §§ and ¶¶) the symbols are used to reference more than one section or paragraph, respectively.

NOTICE: Paragraphs entitled ‘NOTE:’ are not an official part of this Standard or Safety Guideline and are not intended to modify or supersede the official Standard or Safety Guideline. These have been supplied by the global technical committee to enhance the usage of the Standard or Safety Guideline.

NOTICE: This Document contains one or more restricted biased terms originating from an external source. While the biased term is necessary to use and/or comply with this Document, use of such biased term is to be avoided whenever possible in the SEMI Standards Program and SEMI is working to eliminate biased terms from its own Standards and Safety Guidelines.

1 Purpose

1.1 The purpose of this Specification is to provide an implementation mapping of SEMI E132 to gRPC^{® 1} and Protocol Buffers.

2 Scope

2.1 The scope of this Specification is the faithful representation of the messages and services to support SEMI E132 with gRPC and Protocol Buffers. It will not add new concepts to the SEMI E132 model. The only additions made are those needed to render useful Protocol Buffers messages.

NOTICE: SEMI Standards and Safety Guidelines do not purport to address all safety issues associated with their use. It is the responsibility of the users of the Documents to establish appropriate safety and health practices, and determine the applicability of regulatory or other limitations prior to use.

3 Limitations

3.1 None.

4 Referenced Standards and Documents

4.1 SEMI Standards and Safety Guidelines

SEMI E132 — Specification for Equipment Client Authentication and Authorization

SEMI E179 — Specification for Protocol Buffers Common Components

4.2 IETF[®] Documents²

RFC 4122 — A Universally Unique Identifier (UUID) URN Namespace³

RFC 7540 — Hypertext Transfer Protocol Version 2 (HTTP/2)⁴

¹ Trademark is owned by The Linux Foundation[®]

² Internet Engineering Task Force, IETF Administration LLC 1000 N West Street, Suite 1200, Wilmington, DE 19801, USA, <http://www.ietf.org>. IETF trademark is owned by the IETF Trust.

³ <https://tools.ietf.org/html/rfc4122>

⁴ <https://tools.ietf.org/html/rfc7540>

RFC 8018 — PKCS #5: Password-Based Cryptography Specification Version 2.1⁵

RFC 8446 — The Transport Layer Security (TLS) Protocol Version 1.3⁶

4.3 *NIST® Documents*⁷

Federal Information Processing Standards (FIPS) – 180-4 — Secure Hash Standard⁸

4.4 *CNCF® Documents*⁹

Wire format over HTTP2 – gRPC over HTTP2¹⁰

NOTICE: Unless otherwise indicated, all documents cited shall be the latest published versions.

5 Terminology

5.1 *Abbreviations and Acronyms*

5.1.1 *CA* — Certificate Authority

5.1.2 *EDA* — Equipment Data Acquisition

5.1.3 *gRPC* — gRPC Remote Procedure Call

5.1.4 *HMAC* — hash-based message authentication code

5.1.5 *HTTP/2* — Hypertext Transfer Protocol version 2

5.1.6 *PBKDF2* — Password-Based Key Derivation Function 2

5.1.7 *PKCS™*¹¹ — Public-Key Cryptography Standards

5.1.8 *PRF* — pseudorandom function family

5.1.9 *SHA* — Secure Hash Algorithm

5.1.10 *SSL* — Secure Sockets Layer

5.1.11 *TLS* — Transport Layer Security

5.1.12 *TCP/IP* — Transmission Control Protocol/Internet Protocol

5.1.13 *UUID* — Universally Unique Identifier

5.2 *Definitions*

5.2.1 *plaintext* — unencrypted information that is input into a cryptographic algorithm. [SEMI E132]

5.2.2 *SHA-256* — member of the SHA-2 family of hash functions that generates a 246-bit hash value.

6 Resources, Organizations, and Standards

6.1 Important resources and organizations used in the generation and definition of this Standard are found in:

- *Protocol Buffers* — <https://developers.google.com/protocol-buffers/>
- *gRPC* — <https://grpc.io/docs/>

6.2 For an overview of Protocol Buffers and gRPC, refer to:

⁵ <https://tools.ietf.org/html/rfc8018>

⁶ <https://tools.ietf.org/html/rfc8446>

⁷ National Institute of Standards and Technology, 100 Bureau Drive, Stop 3460, Gaithersburg, MD 20899-3460, USA; Telephone: +1.301.975.6478, <http://www.nist.gov>. NIST trademark is owned by National Institute of Standards and Technology.

⁸ <https://doi.org/10.6028/NIST.FIPS.180-4>

⁹ Cloud Native Computing Foundation c/o The Linux Foundation®, 548 Market St, PMB 57274 San Francisco, CA 94104-5401, USA; Telephone: +1.415.723.9709. CNCF trademark is owned by The Linux Foundation.

¹⁰ <https://github.com/grpc/grpc/blob/master/doc/PROTOCOL-HTTP2.md>

¹¹ Trademark is owned by RSA Data Security, Inc.

- SEMI E179 — Related Information 1, Technology Overview
- SEMI E179 — Related Information 2, Protocol Buffers and gRPC Implementation Considerations

7 Conventions

7.1 Requirements Identification

7.1.1 The following notation specifies the structure of requirement identifiers.

7.1.1.1 The following requirements prefix format is used at the beginning of requirement text. Refer to Table 1 for the format notation of the requirements prefix: [Esss.ss-RQ-nnnnnn-nn]

7.1.1.2 To mark the end of the requirement text, the following suffix format is used: [/RQ]

7.1.1.3 Requirements in the body text are highlighted with a border and light green background (may appear gray in black and white printouts) as shown below.

[Esss.ss-RQ-nnnnnn-nn] Requirement text. [/RQ]

Table 1 Requirement Identifiers

<i>Format Notation</i>	<i>Purpose</i>
Esss.ss	SEMI Standards Specification identifier. Examples: E87.00, E87.01, E134.00.
RQ	Indicates this is a requirement identifier.
Nnnnnn	Unique five-digit number within this Specification. 90000–99999 are reserved for use by SEMI.
Nn	Two-digit number that indicates version level of the requirement. A value of .00 is used for the first version of a requirement.
/RQ	Indicates the end of a requirement.

7.1.1.4 Note that the requirements in Appendix 1 use the reserved requirement numbers noted in Table 1 because they are repeated in other SEMI Standards that use this convention.

7.1.2 Requirements in tables are delimited in one of two ways.

7.1.2.1 Where the requirement occupies an entire row in the table, the requirement identifier (RequirementID) is placed in column 1. No ‘[/RQ]’ is used to mark the end of the requirements text in this case. The table may also contain rows that are not requirements. In this case, the column 1 cell is left blank.

7.1.2.2 Non-requirements text related to a requirement row may be included in an adjacent row. The relationship between the rows is indicated by a broken line separating the two.

7.1.2.3 Where the requirement occupies only one cell, the text in the cell includes the RequirementID prefix and suffix similar to requirements in the body text.

7.1.2.4 No cell in a table will contain both requirement and non-requirement text.

7.1.2.5 Cells that contain requirements are shaded light green.

7.1.2.6 Table 2 provides an example of requirements in a table. Note that in this example, the same requirement is presented in two alternative formats. In practice, mixing the two approaches in the same table is not typically done.

Table 2 Example Table with Requirements

<i>RequirementID</i>	<i>Statement</i>	<i>Additional Detail</i>
Esss.ss-RQ-nnnnnn-nn	The light shall be the sole blue light.	There shall be no similar color in the light panel.
	The blue light is typically placed at the leftmost position in the light panel.	

RequirementID	Statement	Additional Detail
	[Esss.ss-RQ-nnnnn-nn] The light shall be the sole blue light. There shall be no similar color in the light panel. [/RQ]	The blue light is typically placed at the leftmost position in the light panel.

7.1.3 Only text marked with the RequirementID is a requirement of this Specification.

7.1.3.1 Clarifications, examples, and related recommendations may be provided near a requirement, but are not part of the requirement.

7.1.3.2 Note that the word ‘should’ is used in some non-requirements text, where it denotes a recommendation or a best practice, not a requirement.

7.1.3.3 Note that the words ‘must’ and ‘will’ are used in the Implementation Overview section to illustrate the logical result of requirements specified in the prerequisites and requirements section. Such statements should not be considered requirements.

7.1.4 Parent-child relationships of requirements are noted in Appendix 1, Table A1-1. Where a parent requirement includes conditions or selection criteria, these are passed to their children. For example, if a parent requirement is stated to apply only to photolithography equipment, any child requirements also apply only to such equipment. The condition need not be restated for each child requirement.

7.1.4.1 Compliance to a parent requirement includes compliance to all of its child requirements.

7.1.4.2 Some cells or rows in a table may contain headings that are assigned a RequirementID. These are parent requirements for other requirements in the table—typically for the rows that immediately follow that heading.

7.2 This Standard uses ‘service definition’ to refer to gRPC services defined in the .proto file (such as E132SessionConsumer) and ‘interfaces’ to refer to interfaces defined in SEMI E132 (such as SessionClient and SessionManager).

8 General Requirements for Protocol Buffers

8.1 The following is the top-level parent requirement of all others in this Specification.

[E132.02-RQ-00001-00] Compliance to this Specification shall require compliance to all other identified requirements in this Specification, including complementary files. [/RQ]

8.2 Protocol Buffers File

8.2.1 This Specification includes a .proto file, ‘SEMIE132-02-0624.proto’ file, that provides the normative definitions of the types and methods described here.

[E132.02-RQ-00002-00] Implementations shall use the Complementary File ‘SEMIE132-02-0624.proto’ file that accompanies this Specification. The Complementary File is an official part of and accompanies this Specification. The contents of the .proto file constitutes a core part of this Specification. [/RQ]

8.2.2 The .proto file can be compiled into your implementation-specific programming language, generating the appropriate classes for all specified types.

[E132.02-RQ-00003-00] Implementations shall integrate with Protocol Buffers v3.17.0 at a minimum. Implementations may use newer versions of Protocol Buffers if they are compatible with this stated version. [/RQ]

8.2.3 gRPC provides an SDK framework based on the EDA application’s specific development language. Each of the development language-specific gRPC implementation have their own version number. A specific version is not required to comply with this Standard because they all support the gRPC wire format (refer to § 13).

NOTE 1: This Standard specifies a minimum version of Protocol Buffers that needs to be supported to ensure compatibility because Protocol Buffers is used as the gRPC payload.

8.2.4 This Specification also includes Various Materials. These Various Materials are not an official part, but accompany this Specification. Use of these Various Materials is optional and is not required for compliance with this Specification.

8.2.4.1 The file SEMIE132-02-0624-helper.proto is a marked up version of the .proto file for this Specification and is released as Supplemental Material. It has comments prefixed by 'INTERNAL –' that describe how the Protocol Buffers messages map to XML definitions. Most of these items were specified in complementary files for SEMI E132.1. These comments can be helpful to implementers familiar with the XML objects. They are not included in the official .proto file included with the SEMI Specification to keep that document readable.

NOTE 2: Implementers should not use the SEMIE132-02-0624-helper.proto file as its messages are the same as the ones defined in the official .proto file that accompanies this Specification.

8.3 gRPC Connections

8.3.1 EDA Applications with Clients connect to the Equipment Server to perform interface discovery, security administration and session management.

[E132.02-RQ-00004-01] The EDA Application with Clients shall open the gRPC connection to the Equipment Server and make gRPC method calls on the E132InterfaceDiscovery, E132SecurityAdmin and E132SessionManagement service definitions. [/RQ]

8.3.2 Two connection scenarios for sending operational messages are supported.

[E132.02-RQ-00005-01] The Equipment Server shall support both of the following connection scenarios for sending operational messages:

- 1) EDA Application with the Client opens the gRPC connection to the Equipment Server and make gRPC method calls to receive operational messages from the Equipment Server.
- 2) Equipment Server opens the gRPC connection to the EDA Application at the specified endpoint, and the Equipment Server makes gRPC method calls related to sending operational messages. [/RQ]

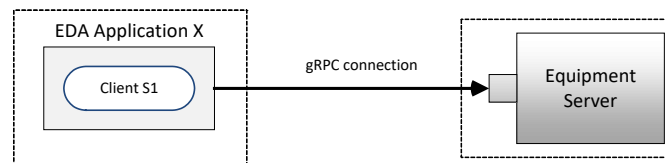


Figure 1
Client Opens gRPC Connection to Equipment Server (No Consumer)

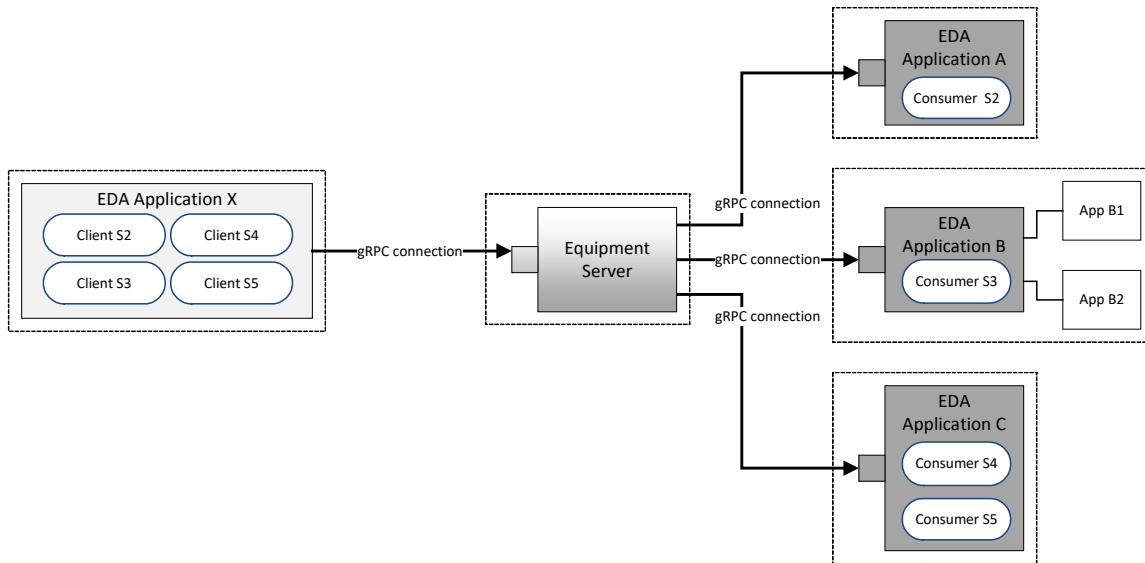


Figure 2
Equipment Server Opens gRPC Connections to Consumer

8.3.2.1 An implementation may choose to have the Equipment Server open a gRPC connection to the Consumer running in the same software package as the Client. This is simply a variation of the second connection scenario (Equipment Server opens gRPC connection to the Consumer).

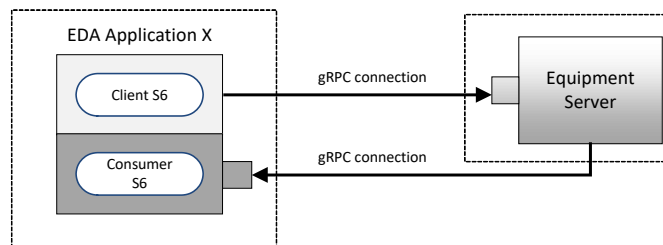


Figure 3
Equipment Server Opens gRPC Connection to Consumer – Variation 1

8.3.2.2 The Equipment Server can send notification messages.

[E132.02-RQ-00006-01] The Equipment Server shall send notification messages using either the E132SessionConsumer service definition if the Equipment Server opened the gRPC connection to the EDA Application, or the E132SessionManagement service definition if the Client opened the gRPC connection to the Equipment Server. [/RQ]

8.3.2.3 For security reasons, some equipment users may prefer to only support the scenario where EDA Applications connect to the Equipment Server, and not allow the Equipment Server to open connections to other machines. (They do not want to expose a gRPC port on EDA Application machines, and they do not want to open up ports on the firewall as it increases risk). In this situation, the Equipment Server is prevented from opening connections to other machines.

8.3.2.3.1 Similarly, some equipment users may prefer to only allow Equipment Server to open connection to other machines using secure communications. Others may prefer to allow both secure and not secure communications to other machines. (They want to use secure communications for sensitive data, and not secure communications for data that does not require the same level of security.)

[E132.02-RQ-00007-01] The Equipment Server shall support the ability to specify the Equipment Server's ability to open connections with Consumers. (Both secure and not secure communications, secure communications only, not allowed.) [RQ]

8.3.2.4 The Client can specify endpoint information as part of the EstablishSession() call (including if secure communication is used).

- If operationalRecipient parameter is Consumer, the Equipment Server should open a gRPC connection to the Consumer via the endpoint specified by the endpoint parameter.
- If operationalRecipient parameter is Client, the Equipment Server does not open any gRPC connections. The Equipment Server sends operational messages over the gRPC connection already opened by the Client.
- If operationalRecipient parameter is None, the Equipment Server does not open any gRPC connections. The Equipment Server does not send any operational messages for this session.

[E132.02-RQ-00008-01] The Equipment Server shall reject an EstablishSession() operation with an invalid endpoint error when the operation specifies endpoint information for the Consumer that conflicts with the equipment's configuration:

- * The equipment is configured not to allow the Equipment Server to open communications with Consumers.
- * The equipment is configured to allow the Equipment Server to only open secure communications with Consumers, and the EstablishSession() operation's endpoint information is configured to use not secure communications. [RQ]

8.3.3 Configuration changes related to the ability for the Equipment Server to establish communications with the Consumer do not impact active sessions.

[E132.02-RQ-00009-01] Changing the configuration to specify the Equipment Server's ability to open connections with Consumers shall not change any pre-existing sessions in the ESTABLISHED state as defined in the SEMI E132 Session State Model. [RQ]

NOTE 3: Persisted sessions configured with endpoint information will establish communications with the Consumer when it transitions from the Frozen to Established state (per SEMI E132 Session State Model), regardless of how the Equipment Server is configured to open connections with Consumers. After changing the Equipment Server's configuration, the equipment user should close these sessions if they do not want the Equipment Server to open communications to those Consumers.

9 SEMI E132 Authentication to Secure Communications Mapping

9.1 *Secure Socket Layers (SSL)/Transport Layer Security (TLS) Overview* — This section describes the use of SSL/TLS security protocol and supporting technology to implement the authentication scheme described in SEMI E132. SSL and TLS are both cryptographic protocols that provide authentication and data encryption between components operating over a network. SSL is the predecessor to TLS and has been deprecated by the IETF. SSL/TLS is a common phrase until more people become familiar with TLS. SSL/TLS is application protocol independent and transparently supports any higher layered transport protocols such as gRPC with Protocol Buffers.

9.1.1 gRPC has SSL/TLS integration built in. Refer to Related Information 2, § R2-3 SSL/TLS with gRPC for more information.

9.2 *Public Certificates Management*

9.2.1 Public key certificates are required to support secure communications. They are used to establish identities for the equipment and application software as part of the authentication process. Certificates are exchanged as part of the SSL/TLS handshake. This is done as part of the gRPC infrastructure when the software application establishes a connection to a secure port.

9.2.2 If secure communication is required, certificates will need to be installed on the Equipment Server and EDA Application(s). Certificate generation, distribution, maintenance and renewal are the responsibility of the equipment user (i.e., factory). Equipment suppliers are not required to provide certificates or Certificate Authority (CA) implementations. Refer to Related Information 2, § R2-2 Public Key Certificates for more information.

[E132.02-RQ-00010-00] If secure communications are used, the equipment shall reject all SEMI E132 EstablishSession requests if the Client does not have a valid ACLEntry defined, even if the EDA Application possesses a valid certificate issued by a trusted CA. [/RQ]

9.3 *Specifying Secure Communications*

9.3.1 There may be some integration, development, and/or test environments in which it is not practical or feasible to install equipment and/or client certificates in order to communicate with the equipment. As a practical consideration for such environments only, the equipment should support specifying if secure communication is to be used for the SEMI E132 functionality interface.

NOTE 4: This Specification does not impose any requirements that it is necessary for the Client or Equipment Server to support secure communication. It is strongly recommended. Refer to ¶ 9.3.3.

9.3.1.1 Some equipment users may prefer to restrict Clients to connect to the Equipment Server using only secure communications to protect the transmitted data. Refer to ¶ 9.3.3.

9.3.1.2 When the Equipment Server is configured to restrict Clients to connect using only secure communications, any attempts by a Client to connect using not secure communications are rejected.

[E132.02-RQ-00011-01] The equipment shall specify how Clients are allowed to connect to the Equipment Server (not secure communications only, both secure and not secure communications, secure communications only). [/RQ]

[E132.02-RQ-00012-00] If an EDA Application with Clients connects to the Equipment Server with secure communications, the Equipment Server shall require mutual client-server authentication for operations supported by the Equipment Server on that connection via SSL/TLS. [/RQ]

9.3.2 Mutual client-server authentication refers to both parties authenticating each other at the same time as part of the initial handshake—the server sends its certificate so the client can verify the server is who they claim to be. The client sends its certificate to the server so it can verify the client is who they claim to be. This is also known as Mutual TLS authentication (mTLS).

9.3.3 Users should be aware that connecting to the equipment over not secure communications opens up complete and unrestricted access to SEMI E132 data for any software application with network access to the equipment. Authenticating the calling component is only supported with secure communications.

[E132.02-RQ-00013-01] Changing the Equipment Server's configuration on how Clients are allowed to connect to the Equipment Server shall not change the connection type of any pre-existing sessions in the ESTABLISHED state as defined in the SEMI E132 Session State Model. [/RQ]

NOTE 5: After changing how Clients are allowed to connect to the Equipment Server, the equipment user should close any pre-existing Client connections that are not consistent with the new settings and connect again.

9.3.4 SEMI E132's InterfaceDiscovery interface reports connection details for SEMI E132's SecurityAdmin and SessionManager interfaces (i.e., through the SessionGroup class' securityAdmin and sessionManager associations).

9.3.4.1 Only one set of connection details is reported through the InterfaceDiscovery.GetInterfaces() operation for each SessionGroup.

9.3.4.1.1 The Equipment Server can support both secure communications and not secure communications through InterfaceDiscovery. This Specification does not state a requirement which connection detail is reported through the interface. The Equipment Server's preferred connection method (secure or not secured) is reported through the InterfaceDiscovery's SecurityAdmin and SessionManager interfaces.

9.3.4.1.2 The same configuration that controls how Clients are allowed to connect to the Equipment Server determines if SEMI E132 Interface Discovery is available through secure communications and not secure communications.

9.3.5 If the equipment supports secure communications, it may choose to support both a secure and not secured port at the same time (each with a unique port number). Some software applications may want their data transmitted securely, and some may accept the trade-offs to transmit the data over a not secure connection.

[E132.02-RQ-00014-01] If a Client calls EstablishSession with an endpoint specifying a secure connection to the Consumer, the equipment shall establish the gRPC connection to that specified endpoint over a secure connection with mutual client-server authentication (with SSL/TLS). [/RQ]

9.3.6 Persisted session configuration includes if the connection to the specified endpoint for the Consumer is secure or not.

[E132.02-RQ-00015-01] Persisted sessions will remember if the connection to the specified endpoint for the Consumer is secure (as specified in the original EstablishSession() operation). When transitioning from FROZEN to ESTABLISHED as defined in the SEMI E132 Session State Model, if the equipment is to establish the gRPC connection, it shall establish it the same way, regardless of the equipment's current configuration to specify secure communications to the Consumer. [/RQ]

NOTE 6: After changing the Equipment Server's ability to use secure communications, if the equipment user does not want persisted sessions to continue to use secure communications, the session should be closed and established again without secure endpoint configuration.

9.3.7 If the equipment attempts to resume a persistent session from the Frozen state and the connection type cannot be supported by the Consumer, the Equipment Server treats it as a SEMI E132 communication error (refer to § 10.15). Examples include:

- The persisted session is using an endpoint that does not accept the gRPC connection.
- The persisted session is using a secure connection and the EDA Application no longer supports it.

10 Mapping of SEMI E132 to Protocol Buffers

10.1 Interactions with SEMI E179

10.1.1 This Standard supports SEMI E179 for common Protocol Buffers elements that are not specific to a single standard and are available to multiple standards.

[E132.02-RQ-00016-00] SEMI E132.2 implementations shall use SEMI E179 for common Protocol Buffer components. [/RQ]

10.2 SecurityAdmin Interface

10.2.1 Establishing an ACLEntry for the Security Admin — An ACLEntry defining the Security Admin is available at time of equipment installation.

[E132.02-RQ-00017-00] The equipment shall define exactly one ACL entry for the Security Admin and it shall grant the reserved privilege 'urn:semi-org.auth:securityAdminPrivileges' as defined by SEMI E132. [/RQ]

10.3 Equipment Identifier

10.3.1 The equipment identifier (EquipmentId) is defined in SEMI E132. The value of the EquipmentId is determined by the equipment. One Equipment Server can support one or more equipment identifiers, each representing an independent set of metadata, ACL entry, set of sessions, etc.

10.3.2 The equipment identifier is required for some operations (e.g., EstablishSession) and is defined as part of the operation's parameter list. The equipment identifier is allowed for other operations but is not mandatory.

10.3.2.1 When the equipment identifier is not explicitly defined in the gRPC operation, gRPC's metadata infrastructure is used to provide the EquipmentId for gRPC operations. This provides the most transparent way of sending the equipment identifier without affecting the input/output parameters of the individual gRPC operations. gRPC metadata take name-value pairs and stores them in the HTTP/2 header data.

10.3.2.2 Some implementations may choose to send the equipment identifier in the gRPC metadata so it is logged with the gRPC operation.

NOTE 7: For more information about gRPC's metadata infrastructure, refer to SEMI E179, Related Information 2, Protocol Buffers and gRPC Implementation Considerations.

[E132.02-RQ-00032-00] When sending the EquipmentId for SEMI E132 SecurityAdmin, SessionManager and SessionClient operations implemented as gRPC methods and the EquipmentId information is not explicitly included in the Protocol Buffer message, the EquipmentId is sent with gRPC metadata.
The key for the EquipmentId name-value pair shall be 'equipmentid'
The value for the EquipmentId name-value pair shall be the EquipmentId and be a string data type. [/RQ]

10.3.2.3 If the equipment identifier is included in the gRPC metadata and it is unrecognized, the gRPC operation fails. Refer to § 10.7.6.

10.4 *Session Identifier* and Client Identifier

10.4.1 The session identifier (SessionId) is defined in SEMI E132 and is generated by the equipment during the EstablishSession operation. It is a UUID that is guaranteed unique across space and time (refer to RFC 4122 for specific details on syntax and format of a UUID).

10.4.2 In Protocol Buffers messages, the SessionId is represented as a string data type, as it is more easily understood in its human-readable form.

10.4.3 The session identifier is specified in all requests to the Equipment Server over a SEMI E132 authenticated session. gRPC's metadata infrastructure is used to pass the SessionId for gRPC methods. This provides the most transparent way of sending the session identifier without affecting the input/output parameters of the individual unary methods. gRPC metadata take name-value pairs and stores them in the HTTP/2 header data.

NOTE 8: For more information about gRPC's metadata infrastructure, refer to SEMI E179 Related Information 2, Protocol Buffers and gRPC Implementation Considerations.

10.4.3.1 The session identifier is not specified for the SessionManager.GetEquipmentInformation and SessionManager.EstablishSession operations because the session has not yet been established when these operations are performed.

[E132.02-RQ-00018-00] SEMI E132 SecurityAdmin, SessionManager and SessionClient operations that are implemented as gRPC methods (except for SessionManager.GetEquipmentInformation and SessionManager.EstablishSession) shall specify the SessionId with the gRPC metadata infrastructure. [/RQ]

10.4.4 To help protect the SessionId (which may be sent over a not secured connection), it is hashed with the SHA-256 hash algorithm (as specified in the Secure Hash Standard). The value of SessionId when it is hashed is based on Base64 Binary type. The SessionId is first converted to UTF-8 prior to applying the hash, and the hashed value is stored in a string data type.

[E132.02-RQ-00020-01] When sending SessionId information with gRPC metadata,
The key for the SessionId name-value pair shall be 'sessionidhash'
The value for the SessionId name-value pair shall be the SessionId hashed with SHA-256 and be a string data type. [/RQ]

10.4.4.1 The EstablishSession() operation returns the session identifier created for the session in plaintext through the sessionId output parameter, not through the gRPC metadata.

10.4.5 The client identifier (ClientId) is defined in SEMI E132 and is specified by the Client during the EstablishSession() operation to identify the ACLEntry to authenticate. The EstablishSession() operation sends the client identifier in plaintext through the clientId input parameter.

10.4.6 The Consumer may be in a separate software application from the corresponding Client. It will require the ClientId so that it can respond to SessionPing messages from the Equipment Server properly. To help protect the ClientId in outgoing messages from the Equipment Server (which may be sent over a not secured connection), it is hashed with the SHA-256 hash algorithm (as specified in the Secure Hash Standard). The value of ClientId when it is hashed is based on Base64 Binary type. The ClientId is first converted to UTF-8 prior to applying the hash, and the hashed value is stored in a string data type.

[E132.02-RQ-00021-01] When sending ClientId information with gRPC metadata, The key for the ClientId name-value pair shall be ‘clientidhash’
The value for the ClientId name-value pair shall be the ClientId hashed with SHA-256 and be a string data type.
[/RQ]

NOTE 9: The mechanism of transferring the ClientId information between the Client and Consumer so the Consumer can use it properly is an implementation detail.

10.4.7 Similarly, ID values (SessionId and ClientId) associated with messages sent from the Equipment Server through the SEMI E132 SessionClient interface are hashed with SHA-256 hash algorithm and stored in a string data type.

10.4.7.1 Table 3 outlines the SEMI E132 Protocol Buffers messages and fields that contain hashed ID values.

Table 3 Hashed ID Value Mapping to Protocol Buffer Message Fields

<i>ID</i>	<i>SEMI E132 Protocol Buffers Message and Field to Store Hashed Value</i>	<i>Comments</i>
ClientId	SessionPingAnswerType.IdHash	Used in response to SessionPing sent by the Equipment Server.
SessionId	SessionClosedNotificationType.SessionIdHash	Used in SessionClosed notification message.
SessionId	AclPasswordChangedNotificationType.SessionIdHash	Used in AclPasswordChanged notification message.

[E132.02-RQ-00022-01] ID values SessionId and ClientId specified in Protocol Buffer messages corresponding to the SEMI E132 SessionClient operations shall be hashed with SHA-256 and stored in the Protocol Buffers fields specified in Table 3. [/RQ]

10.5 SEMI E132 Operation Errors

10.5.1 *Common Error* — Table 4 below provides a mapping of the SEMI E132 defined errors to its common error code. The SEMI E132 mapped error codes below are in addition to any common error codes defined in SEMI E179, and any errors defined by the equipment supplier. These error codes are reported with the SEMI E179’s ErrorType structure. Errors during the authentication message exchange are as defined by the secure communication protocol.

[E132.02-RQ-00023-00] SEMI E132 error codes shall be denoted in the ErrorType structure by defining the ‘source’ name of ‘urn:semi-org:E132’. [/RQ]

Table 4 SEMI E132 Error Codes

<i>SEMI E132 Error</i>	<i>Common Error Code</i>
Operation not authorized	6000
Duplicate ACL entry found	6001
Unrecognized role	6002
Unrecognized privilege	6003
ACL entry not found	6004
Unrecognized session	6005
Max session limit exceeded	6006
Unrecognized equipment capability	6007
Duplicate equipment capability request	6008
Invalid version request	6009
Deleting role with principals assigned	6010
Unrecognized client	6011

<i>SEMI E132 Error</i>	<i>Common Error Code</i>
The specified endpoint is invalid	6012
Invalid new SessionPing setting(s)	6013
Specified ACL entry already has active session	6014
Operational message recipient not ready	6015
SessionId already in use	6016
Specified notificationRecipients configuration is invalid	6017
An instance of this operation is already executing	6018
ACL password is not valid	6019
Invalid new maxSessions setting	6020
Authentication failure	6021
Unrecognized equipment identifier	6022
ChallengeToken expired	6023
Already an established session with SecurityAdmin privilege	6024

10.5.2 *Custom Errors* — Custom errors structures defined in the ‘SEMI E132-02-0624.proto’ file (such as UnauthorizedOperationError) do not need the source information explicitly specified because they are specific to SEMI E132.

10.5.3 *Unauthorized Operation Error* — Many operations may return an operation not authorized error (common error code 6000). For this error, SEMI E132 defines a specific UnauthorizedOperationError structure to provide additional context information such as a list of all the privileges that grant authorization for the requested operation.

10.6 *Using SEMI E179 Common Errors* — SEMI E179 defines common error codes. Implementations may return these error codes if they are applicable and the primary standard does not explicitly define the error condition. These error codes are reported with the SEMI E179’s ErrorType structure and the error source is listed as SEMI E132.

10.6.1 Table 5 below provides a mapping of the SEMI E132 defined errors to specific SEMI E179 common error codes.

Table 5 SEMI E132 Error Codes Mapped to SEMI E179 Common Error Codes

<i>SEMI E132 Error</i>	<i>SEMI E179 Error Code</i>
System not ready	5004
System shutting down	5005
Specified configuration is invalid	5003

[E132.02-RQ-00024-00] If SEMI E179 error codes are reported by the SEMI E132.2 implementation, ‘source’ name of the error shall be ‘urn:semi-org:E132’. [/RQ]

10.7 SEMI E132 Exceptions

10.7.1 When working with a streaming gRPC method (e.g., E132SessionManagement.SessionUsageStream()), there is no message response that can indicate that there is an error with the method invocation. (e.g., sessionidhash specified in the gRPC metadata is unrecognized or missing when it is supposed to be provided).

10.7.1.1 In this situation, the gRPC method throws an RPC exception with a specific gRPC StatusCode and uses trailer gRPC metadata infrastructure to provide the error information. In the trailer gRPC metadata, the MetaData.Key is lower-case and the MetaData.Value is a string data type.

NOTE 10: For more information about how to throw gRPC exceptions, refer to SEMI E179, Related Information 2, Protocol Buffers and gRPC Implementation Considerations.

10.7.2 This Standard defines specific gRPC exceptions to throw for SEMI E132 error conditions. The trailer gRPC metadata reports the sessionidhash information in the same format it was received from the header gRPC metadata.

10.7.2.1 If the sessionidhash information is missing from the header gRPC metadata when it is supposed to be present, the value for the corresponding sessionidhash entry in the trailer gRPC metadata is an empty string.

10.7.3 *Unrecognized Session Exception*

10.7.3.1 If the header gRPC metadata in a streaming gRPC method does not specify a valid sessionidhash, the implementing code cannot process it and throws an exception.

10.7.3.1.1 The trailer gRPC metadata reports the sessionidhash information in the same format it was received from the header gRPC metadata.

Table 6 gRPC Exception Details for Unrecognized Session Error Condition

<i>gRPC Status Code</i>	<i>Status Code.Invalid_Argument</i>	
<i>Trailer gRPC Metadata</i>		
	<i>Metadata.Key</i>	<i>Metadata.Value</i>
	sessionidhash	Unrecognized hashed session identifier specified
	source	UnrecognizedSessionError's Source value
	code	UnrecognizedSessionError's Code value
	description	UnrecognizedSessionError's Description value
	extension	UnrecognizedSessionError's Extension value

[E132.02-RQ-00025-00] If a streaming gRPC method call is missing the SessionId or specifies an unrecognized SessionId in the gRPC metadata, the implementing code throws an RPC Exception with the gRPC Status Code and trailer gRPC Metadata as specified in Table 6. [/RQ]

10.7.4 *SessionId Already In Use Exception*

10.7.4.1 There can only be one instance of a streaming gRPC method call per SessionId. This helps ensure there is only one Client using the SessionId and at most one Consumer, and that there are not two different software applications trying to get the same streaming messages for the same SessionId. If the header gRPC metadata in a streaming gRPC method specifies a SessionId that is already associated with an instance of the same streaming gRPC method, the implementing code cannot process it and throws an exception.

10.7.4.1.1 The trailer gRPC metadata reports the sessionidhash information in the same format it was received from the header gRPC metadata.

Table 7 gRPC Exception Details for SessionId Already In Use Error Condition

<i>gRPC Status Code</i>	<i>Status Code.Invalid_Argument</i>	
<i>Trailer gRPC Metadata</i>		
	<i>Metadata.Key</i>	<i>Metadata.Value</i>
	sessionidhash	Hashed session identifier already in use
	source	SessionIdAlreadyInUseError's Source value
	code	SessionIdAlreadyInUseError's Code value
	description	SessionIdAlreadyInUseError's Description value
	extension	SessionIdAlreadyInUseError's Extension value