

22nd edition, September 2009

*Original*

# O

## Statutes of the UIC

*Statuts de l'UIC*  
*Satzung der UIC*



UNION INTERNATIONALE DES CHEMINS DE FER  
INTERNATIONALER EISENBAHNVERBAND  
INTERNATIONAL UNION OF RAILWAYS



**Documents**

Document Sharing Hub

---

## Leaflet to be classified in Volume:

0 - Statutes and Regulations

## Application:

With effect from 31 March 2009

All members of the International Union of Railways

## Record of updates

|                                     |                                           |
|-------------------------------------|-------------------------------------------|
| <b>17th edition, May 1999</b>       | General Assembly, Berlin, October 1998    |
| <b>19th edition, May 2004</b>       | General Assembly, Paris, 19 November 2003 |
| <b>20th edition, March 2005</b>     | General Assembly, Paris, 25 November 2004 |
| <b>21st edition, February 2007</b>  | General Assembly, Paris, 7 December 2006  |
| <b>22nd edition, September 2009</b> | General Assembly, Paris, 31 March 2009    |

*The person responsible for this leaflet is named in the UIC Code*

# Contents

|                                                             |    |
|-------------------------------------------------------------|----|
| 1 - Purpose, membership and financial resources of UIC..... | 1  |
| 2 - Organisation .....                                      | 6  |
| 3 - Working arrangements .....                              | 17 |

# 1 - Purpose, membership and financial resources of UIC

## Art. 1 - Legal base and purpose of the association

### 1.1 - Name, seat and governing law

The International Union of Railways (UIC) is a non-profit international trade association registered under French law. Its Head Office is situated in France - 16 rue Jean Rey, 75015 Paris.

It is governed by the Act of 1 July 1901 (amended), by the Act of 16 August 1901 and by subsequent texts.

Therefore, the application and interpretation of these Statutes shall be governed by the laws in force in France. In the event of disputes, the French version shall be the reference document.

### 1.2 - The aims of the Association

The aims of UIC shall be to:

- enable international railway cooperation at world level;
- represent and promote the interests of rail transport at world level;
- foster synergy between different world bodies for the development of rail transport.

In pursuit of these aims, UIC shall:

- promote technical cooperation and the exchange of information, knowledge and experience between its Members;
- assist Members in addressing specific technical and operational issues;
- develop the overall coherence of the rail system at world level, with a continued emphasis at pan-European level, by consolidating its interoperability;
- develop strategies and initiatives to improve business performance, and to increase rail transport investment;
- execute and manage projects/activities on non-commercial issues, including research, development and technical efficiency as far as necessary for the topic concerned;
- develop agreements and cooperation at world level with intergovernmental organisations, other trade organisations and other modes of transport;
- develop a comprehensive railway data bank, and identify industry trends;
- draw up common technical positions and recommendations intended for its Members;
- support processes for establishing non-commercial standards and specifications including via the provision of its own input and services to the extent provided for by the applicable law;
- develop activities and projects with regard to innovation and optimisation in rail management at various organisational levels;

- facilitate participation in various UIC activities for those of its Members with financial difficulties and thus contribute to sustainable development across the world via the creation of a Solidarity Fund. The fund shall be supported by Members in accordance with the national law applying to each Member.

### 1.3 - Subsidiary companies

UIC may entrust certain specialised tasks to subsidiary companies it has created. All these decisions must be approved by the General Assembly, at the proposal of the Director-General of Services (DGS).

## Art. 2 - Membership (category, admission, resignation, exclusion)

### 2.1 - Global and regional membership

The UIC membership shall be a yearly membership corresponding with the business year<sup>1</sup>.

By virtue of these Statutes, each Member shall be a Member of the Association (global membership) and, depending on its geographical situation, of one of its Regional entities which are Special Regional Groups (SRGs) as provided for by Article 10.2 a) - [page 17](#) (regional membership). SRGs are established for Africa, Asia, the Middle East, Europe, North America and South America.

Further regional memberships may be granted provided that the applying Member is situated in more than one geographical region, or at the specific request of a Member (multiregional membership).

### 2.2 - Member categories

UIC shall comprise Members belonging to the following categories:

1. **"Active Members"** shall be companies or entities, public or private, meeting both the following conditions:
  - a) They shall be a (passenger and/or freight) railway undertaking ensuring traction and/or a railway infrastructure manager,
  - b) They shall have a volume of railway business in excess of an amount fixed by Internal Regulation R1 approved by the General Assembly.
2. **"Associate Members"** shall be companies or entities, public or private, which do not fulfil the prerequisite set out in Article 2.2.1.b).
3. **"Affiliate Members"** shall be associations (principium), companies or entities, public or private, including institutes whose railway activities relate to urban, suburban or regional services or which conduct activities linked to the rail business.

The membership category granted to a Member shall apply to both its global and (multi)regional membership.

The General Assembly may derogate from the rules governing membership categories pursuant to the stipulations of Internal Regulation R1.

- 
1. Special conditions of payment for certain members, dealt with in IR 1

## 2.3 - Admission

### 1. General membership

An application for admission shall be directed in writing to the DGS.  
The General Assembly shall decide on the admission of a new Member.

### 2. Provisional membership

Provisional membership may be granted by the SRG concerned, pending a final decision by the next General Assembly.

### 3. Multiregional Membership

An application for multiregional membership shall be directed in writing to the Regional Executive Director (RED) responsible for the SRG the Member wishes to join in addition to its initial SRG, and shall be decided on and approved by the SRG Assembly concerned.

## 2.4 - Resignation / Exclusion

A Member's withdrawal from UIC shall take effect on 31 December of each year.

A notice of resignation shall be given in writing to the DGS not later than three months beforehand. Resignation automatically applies also to all (multi)regional memberships.

A notice of resignation from a multiregional membership shall be directed in writing to the RED of the SRG concerned. However, the initial regional membership must be maintained as long as the global membership endures.

The resignation shall take effect at such date as the resigning Member shall have fulfilled all its financial obligations originating during the time of membership. It shall, however, not be bound by any new commitments of UIC beyond the date of the notice of resignation.

At the request of a SRG or the DGS, the General Assembly shall decide on the exclusion of a Member for cause (Fr: "motif grave"), to be identified in the request.

All UIC Members must be formally notified.

## Art. 3 - Financial Issues (business year, budget, costs, fees, other financial resources)

### 3.1 - Costs

#### a) The operating costs of UIC HQ shall include:

- all expenditure on activities guaranteeing the operational functioning of the HQ Services (Finance & Administration, Legal, Communication, Human Resources, Auditing, Information Technology, etc.), including the costs of DGS and two REDs (Europe and non-Europe);
- the permanent running costs of the technical departments;
- the costs of:
  - running the Forums,
  - running the Platforms,
  - the plenary meetings and steering body meetings of Permanent Groups, excluding costs to be allocated to projects or SRGs.

**b) The operating costs of a SRG shall include:**

- all expenditure guaranteeing the annual operational activity of that SRG,
- that part of the UIC HQ operating costs bought by the SRG concerned to achieve its technical objectives, in accordance with Article 3.1.a) - [page 3](#),
- the costs of the specific working bodies of that SRG (costs of their plenary meetings and of the meetings of their steering bodies only),

on the condition that (shares of) these costs are not to be allocated to a project or another SRG.

**c) Project Costs** shall include all direct expenditure relating to a defined project as specified in the relevant project sheet.

### **3.2 - Fees and contributions**

The **Base Fee** shall be calculated for each Member in accordance with the Member's category. It is an annual fee and shall be paid once per year, for each year of membership commenced.

The Base Fees of all UIC Members shall cover the operating costs of UIC HQ with the exception of those (shares of the) HQ costs to be allocated to the SRG(s) (as specified in Article 3.1 a) - [page 3](#)).

The **Regional Fee** shall be calculated for each Member in accordance with the Member's category (for multiregional memberships, each regional membership shall be dealt with separately). It is an annual fee and shall be paid once per year, for each year of membership commenced.

The Regional Fees of all the Members of a SRG shall cover the operating costs of that SRG (as specified in Article 3.1.b).

The **Project Contribution** of a Member participating in a project shall be calculated in accordance with the Member's number of votes. The conditions of payment shall be specified in the project sheet of each project.

The project contributions of all project participants shall cover the complete costs of the project concerned as specified by the related project sheet.

All Members are supposed to finance projects in accordance with the provisions of Article 11.3 - [page 19](#).

### **3.3 - Other financial resources**

Income from the management of UIC assets and revenues received in exchange for the sale of products and services (which shall not compete with Members' activities) for the benefit of Members or third parties shall be included in the UIC HQ budget.

Additional resources obtained by a SRG from governments, organisations, institutions and other bodies committed to the development of rail transport in the region concerned shall be allocated by UIC HQ to the respective budget of that SRG.