

Sampling Inspection System (SIS) Inspection on UK CAA

Instructions: This job aid is for FAA SIS inspections to determine the UK CAA compliance with the MIP. The SIS team must complete this job aid in its entirety in conjunction with MAG Section A (SIS Inspection) & Section C (CAA Responsibilities).

FAA SIS Team Office:	FAA SIS Team Lead:	SIS Inspection Date:
CAA Office Location:		
CAA Management and Organization		
1. CAA organizational structure changes: (review and discuss with CAA management, any significant changes based on latest organizational chart)		
a) Were there significant changes to the CAA resources that could affect the MIP and was there any prior notification given for such changes? Yes ☐ No ☐ Comments:		
b) Were there changes or amendments to the CAA regulations that affected the MIP and/or MAG since the last SIS audit on the CAA? Yes ☐ No ☐ Comments:		
c) Sample the CAA Surveyors workload, has there been a significant increase of work? Yes ☐ No ☐ Comments:		
d) Does CAA have a sufficient number of Surveyors trained and qualified to conduct the inspections under the MIP? Yes No i. Number of CAA Surveyors trained and qualified to the MIP: ii. Number of CAA certificates (Air Operator and AMO) managed by this office iii. Number of 14 CFR part 145 certificates surveilled by this office:		
e) Are there any other matters that require follow-up actions or additional information, or any suggestions on the MAG? Yes ☐ No ☐ Comments:		
Safety Oversight Surveillance and Documentation Review		
2. Sample the 14 CFR part 145 repair station records in CAA office to verify:		

a) Does the CAA have an implemented system to keep and maintain a copy of the 14 CFR part 145 repair station's FAA Supplement in its office? Yes ☐ No ☐ Comments: (FAA Supplement Review)			
b) Are revisions and/or amendments to the FAA Supplement reviewed and approved by the CAA? Yes ☐ No ☐ Comments: (FAA Supplement Review)			
c) Does the FAA Supplement contain all the required contents and information per the example given in MAG Section C, Appendix 1? Yes ☐ No ☐ Comments: (FAA Supplement Review)			
d) Does the Accountable Manager's statement in the FAA Supplement align with the example given in MAG Section C, Appendix 1? Yes ☐ No ☐ Comments: (FAA Supplement Review)			
e) Is the Accountable Manager's statement signed by the current personnel who is identified as being the Accountable Manager for the 14 CFR part 145 repair station on OpSpecs A007? Yes ☐ No ☐ Comments: (FAA Supplement Review)			
f) Does the date on the AMO Approval Certificate (Form 3) reflect the date listed in OpSpecs A003? Yes ☐ No ☐ Comments: (CAA Certificate Review)			
g) Does the 14 CFR part 145 ratings and limitations exceed the AMO ratings and limitations, or the Scope of Work as detailed in the MOE? Yes ☐ No ☐ Comments: (CAA Certificate Review)			
h) Did the CAA audit the repair station for FAA Special Conditions at the main facility, additional fixed locations, and line station in the agreed upon time frame? (Line Station on a sampling basis) Yes ☐ No ☐ Comments:			
i) Does the repair station provide written conformation certifying all involved employees, contractors, and subcontractors have been trained in the transportation of dangerous goods by air with ICAO standards? Yes ☐ No ☐ N/A Comments:			