

Issued: 21 August 2014

EU Regulation on the Reporting, Analysis and Follow-up of Occurrences in Civil Aviation

This Information Notice contains information that is for guidance and/or awareness.

Recipients are asked to ensure that this Information Notice is copied to all members of their staff who may have an interest in the information (including any 'in-house' or contracted maintenance organisations and relevant outside contractors).

Applicability:	
Aerodromes:	All Aerodrome Licence Holders, Aerodrome Operators and Ground Handling Organisations
Air Traffic:	All ANSPs
Airspace:	All NATMAC Representatives
Airworthiness:	All Airworthiness Organisations
Flight Operations:	All AOC Holders, General Aviation Pilots and Unmanned Aircraft Operators
Licensed/Unlicensed Personnel:	All Licensed and Unlicensed Personnel

1 Introduction

- 1.1 On 20 April 2014 [Regulation \(EU\) No. 376/2014](#) on the reporting, analysis and follow-up of occurrences in civil aviation came into effect. It becomes applicable on 15 November 2015.
- 1.2 This Regulation repeals Directive 2003/42/EC of the European Parliament and of the Council, and Commission Regulations (EC) No. 1321/2007 and (EC) No. 1330/2007. It also amends the aircraft accident investigation [Regulation \(EU\) No. 996/2010](#).
- 1.3 Organisations have until 15 November 2015 to comply with the Regulation.

2 Scope

- 2.1 This Regulation updates legislation for the UK Mandatory Occurrence Reporting Scheme and the UK Air Navigation Order (ANO) will be amended accordingly; however, [CAP 382](#) remains valid until further notice.
- 2.2 It places additional requirements on organisations, competent authorities and the European Aviation Safety Agency (EASA) beyond what is currently contained within existing legislation for both occurrence reporting and internal occurrence reporting systems.

2.3 Specific Items worthy of note include:

- Ground handling organisations are now covered by this Regulation.
- Organisations are to ensure that their safety reporting system is compatible with the European Co-ordination Centre for Accident and Incident Reporting Systems ([ECCAIRS](#)) software and the Accident/Incident Data Reporting (ADREP) taxonomy.
- Organisations shall ensure that the preliminary results of the analysis of a mandatory occurrence report are submitted to the competent authority (CAA) within 30 days and shall report the final results of analysis within three months.

3 Frequently Asked Questions

- 3.1 Frequently Asked Questions can be found in the [Appendix](#) to this Information Notice.

4 Queries

- 4.1 Any queries or requests for further guidance as a result of this communication should be addressed to safety.performance@caa.co.uk.

5 Cancellation

- 5.1 This Information Notice will remain in force until further notice.