

Issued: 17 December 2015

Publication of Regulation (EU) No. 376/2014 on the Reporting, Analysis and Follow-up of Occurrences in Civil Aviation (Updated)

This Information Notice contains information that is for guidance and/or awareness.

Recipients are asked to ensure that this Information Notice is copied to all members of their staff who may have an interest in the information (including any 'in-house' or contracted maintenance organisations and relevant outside contractors).

Applicability:	
Aerodromes:	All Aerodrome Licence Holders All Aerodrome Operators All Ground Handling Organisations
Air Traffic:	All ANSPs
Airspace:	All NATMAC Representatives
Airworthiness:	All Airworthiness Organisations and All Part-M Subpart G Continued Airworthiness Management Organisations
Flight Operations:	All AOC Holders All General Aviation Pilots All Unmanned Aircraft Operators
Licensed/Unlicensed Personnel:	All Licensed and Unlicensed Personnel

1 Introduction

- 1.1 This information notice amends IN-2015/107 published in November 2015 and provides an updated set of FAQs and answers following recent developments and updates from both the European Commission and EASA.
- 1.2 On 20 April 2014 [Regulation \(EU\) No. 376/2014](#) on the reporting, analysis and follow-up of occurrences in civil aviation came into effect. It became applicable on 15 November 2015.
- 1.3 On 29 June 2015 in support of the Regulation, [Implementing Regulation \(EU\) No. 2015/1018](#) was published, laying down a list classifying occurrences in civil aviation to be mandatorily reported.
- 1.4 Regulation 376/2014 repeals Directive 2003/42/EC of the European Parliament and of the Council, and Commission Regulations (EC) No. 1321/2007 and (EC) No. 1330/2007. It also amends the aircraft accident investigation Regulation (EU) No. 996/2010.

2 Scope

- 2.1 This Regulation updates legislation for the UK Mandatory Occurrence Reporting Scheme and the UK Air Navigation Order (ANO) will be amended accordingly. The [European Guidance Material](#) was released on 15 December 2015; CAP 382 is now undergoing its final review in light of the Guidance Material, and will be published in due course.
- 2.2 The Regulation places additional requirements on organisations, competent authorities and EASA beyond what is currently contained within existing legislation for both occurrence reporting and internal occurrence reporting systems.

3 Further Information

- 3.1 The ECCAIRS Portal for reporting occurrences is about to undergo testing by individual member states and expected to become live around the turn of the year.
- 3.2 Frequently Asked Questions (FAQs)
- [Appendix 1](#) contains a set of updated FAQs. These should be the source of reference for information and amend the set of FAQs from the previous IN's on this topic (IN-2015/065 and IN-2015/107).
- 3.3 FAQs and associated answers which have changed or are new are highlighted in blue.

4 Queries

- 4.1 Any queries or requests for further guidance as a result of this communication should be addressed to safety.performance@caa.co.uk.
- 4.2 Specific information on the E5X compliant format should also be addressed to safety.performance@caa.co.uk. Validation of the format must be sought prior to 'going live'.

5 Cancellation

- 5.1 This Information Notice will remain in force until further notice.

Appendix I: Frequently Asked Questions

New / Updated FAQs are highlighted in blue/italics.

Frequently Asked Question, with Answer	Regulation References
<i>From when does the Regulation apply?</i> The Regulation came into effect on 20 April 2014 and became applicable on 15 November 2015.	All
<i>Will Guidance Material be produced?</i> Yes, European Guidance Material has been released. CAP 382: 'Mandatory Occurrence Reporting Scheme' has been amended to reflect the new Regulation but is waiting the final review in light of the newly released Guidance Material before it can be published itself.	All
How has the Regulation been developed? Was there a Notice of Proposed Amendment (NPA)? This Regulation is outside of the EASA rules structure and followed the ordinary legislative procedure of the EU (the European Commission delivered a proposal to the co-legislators: the Council of the EU and the European Parliament). An NPA is not used in this process.	All
Does this Regulation replace / amend other legislation? Yes, this regulation repeals Directive 2003/42/EC of the European Parliament and of the Council and Commission Regulations (EC) No. 1321/2007 and (EC) No. 1330/2007. It also amends the aircraft accident investigation Regulation (EU) No. 996/2010.	All
<i>Should we continue to refer to CAP 382: Mandatory Occurrence Reporting Scheme?</i> CAP 382 has been superseded, reportable occurrences are listed in IR2015/1018. CAP 382 will be re-published once the European Guidance material has been released in the weeks following 15 November 2015. Reporters should continue to report in their current format until either the Portal becomes live or their ECCAIRS Compliant format has been validated.	N/A
<i>Will there be changes to national legislation?</i> Yes, the Air Navigation Order has been amended to reflect the new Regulation. The amended article is 246.	All