

APPLICATION FOR CERTIFICATION OR VALIDATION APPROVAL

This form is applicable to applications for Type Certificates (TC), Restricted Type Certificates (RTC), Supplemental Type Certificates (STC), Statements of Technical Satisfaction (SOTS), Major Changes/Repairs, Minor Changes/Repairs, MRBR, UKTSOA, Validations, and Transfer of Certificates within UK.

For best results please download the form to your computer and open it in Adobe Acrobat.

Please complete this form online or in BLOCK CAPITALS using black or dark blue ink. For instructions on how to fill the form see Section 0. For submission instructions see Section 10.



FALSE REPRESENTATION STATEMENT

It is an offence under the United Kingdom Air Navigation Order to make, with intent to deceive, any false representation for the purpose of procuring the grant, issue, renewal or variation of any certificate, license, approval, permission or other document. This offence is punishable by a fine, and on conviction on indictment with an unlimited fine or imprisonment or both.

0. Application Form Instructions

Applicants must fill in Sections 1, 8, 9 and payment authorisation (Form FCS1500) included in this form for all applications.

In addition, applicants must fill in Section 2 AND only the relevant section (3/4/5/6/7) for the project. Only one application per form, e.g. domestic certification or foreign approval validation.

The following breakdown is included to help applicants navigate and fill in the form.

1 Applicant's Details

2 Products and Articles Application Details

3 Validation of Third Country Approvals

4 CAA Certification Support for Validation

5 Application for Transfer of Certificate

6 Transfer of Certificates within UK

7 Application for Approval of Maintenance Review Board Report (MRBR), Manufacturer Recommended Maintenance Program, Supplement to MRBR and revisions thereto

1. Applicant's Details *(The Applicant is the person responsible for payment of CAA charges)*

NOTE: Complete either 1a) for applications submitted by individual(s) OR 1b) for applications submitted by companies.

1a) This application will be considered in respect of and, if appropriate, granted or issued to, the applicant(s) named below.

Individual (including sole traders and partnerships)

Title: Forename: Surname:
Address:
Country: Postcode:
Telephone: Fax:
E-mail: Mobile Telephone:
Trading Name: (if applicable)
Website address:

In the case of a partnership, please complete details of all partners. Continued on a separate sheet (if necessary).

1b) This application will be considered in respect of and, if appropriate, granted to, the Company Name as registered under the Company Number provided on this form.

Company

Registered Company Name (in full):
Registered Company Number:
Country of Company Registration:
Registered Office Address:
Postcode: E-mail:
Telephone: Fax:
Trading Name: (if applicable)
Trading Address (primary site):
Country: Postcode:
Website address:

Authorised Representative of Company

This application is to be signed by either a Director or Company Secretary or a person authorised by the Board to act on behalf of the Company.

Title: Forename: Surname:

Position in Company:

Telephone No: E-mail:

If you are not a Director or Company Secretary and have been authorised to sign the application form on behalf of the Company, proof of that authority must be provided with the completed application form.

2. Products and Articles Application Details

NOTE: Complete 2a) AND either 2b) for applications for new products/articles OR 2c) for applications for changes to existing products/articles AND, IF APPLICABLE, 2c).

2a) Is the design subject to Commission Regulation (EU) No. 748/2012 as retained (and amended in UK domestic law) under the European Union (Withdrawal) Act 2018?

Part 21 ☐ Non-Part 21 (Annex I) ☐

2b) New Products or Articles

NOTE: Please tick the only one applicable.

Type Certificate (TC) ☐ Restricted Type Certificate (RTC) ☐

UK Technical Standard Order Authorisation (UKTSOA) ☐

Maintenance Review Board Report (MRBR) ☐

Product/Article Type: Product/Article Model:

Description:

Restrictions (of RTC) (if applicable):

2c) Change to existing Products or Articles

NOTE: Please tick the only one applicable.

Major Change ☐ Major Repair ☐ Supplemental Type Certificate ☐ Statement of Technical Satisfaction (SOTS) ☐

Minor Change ☐ Minor Repair ☐ UK Technical Standard Order Authorisation (UKTSOA) ☐

Maintenance Review Board Report (MRBR) ☐

Other (please specify):

Product/Article Type: Product/Article Model:

TCDS/(UK)TSOA Ref. (if applicable):

Aircraft Registration (if applicable):

Aircraft Serial Number (if applicable):

Change Title:

Description:

Change No.:

Justification (including whether the change is intended for military application/SOTS):

2d) Organisation's approvals details (if applicable)

Design Organisation: DOA Ref:

Production Organisation: POA Ref:

3. Validation of Third Country Approvals

NOTE: Complete 3 AND either 3a) for sequential validations OR 3b) for concurrent validations AND, IF APPLICABLE, either 3c) for ANAC or TCCA Major Level 1 design change classification OR 3d) for other CA validation classifications

For applicants seeking validation in the UK when the CAA is the Validating Authority (VA) for designs certificated by a Foreign Certifying Authority (CA). Prior to completing this section, refer to the details of the Implementation Procedures that support the applicable Bilateral Aviation Safety Agreement (BASA) between the UK and the Third Country or the Working Arrangement (WA) or Memorandum of Understanding (MoU) between the Third Country Authority and the UK CAA.

Foreign Certifying Authority (CA):

3a) For sequential validations	
CA's Design Approval Reference:	
Approval/Project Title/Description:	
Date of CA approval:	
3b) For concurrent validations	
CA's Design Project Reference:	
Project Title/Description:	
Date of application to CA:	
3c) For ANAC or TCCA Major Level 1 design change classifications	
Please specify the reason for the classification:	
.....	
3d) For other CA validation classifications, select one of the following	
Eligible for Streamlined Validation	☐
Subject to Technical Validation	☐
Please specify the reason for the classification:	

4. CAA Certification Support for Validation	
NOTE: Complete 4 AND either 4a) for sequential validation when the UK Certificate has already been issued OR 4b) for concurrent validation (i.e. where the UK Certificate or Approval has not yet been issued) AND, IF APPLICABLE, either 4c) for ANAC or TCCA Major Level 1 design change classification OR 4d) for other VA classifications.	
For a UK applicant seeking assistance from the CAA as the Certifying Authority (CA) for a validation by a Foreign Validating Authority (VA) - also referred to as Certification Support for Validation (CSV).	
Prior to completing this section, refer to the details of the Implementation Procedures that support the applicable Bilateral Aviation Safety Agreement (BASA) between the UK and the Third Country or the Working Arrangement (WA) or Memorandum of Understanding (MoU) between the Third Country Authority (TCA) and the UK CAA.	
Foreign Validating Authority (VA):	
4a) For sequential validation when the UK Certificate has already been issued or the approval has been accepted	
UK Certificate/ Approval No: Date of issue:	
Approval/Project Title/Description:	
Copy of the CAA approval certificate attached? Yes ☐ No ☐	
4b) For concurrent validation (i.e. where the UK Certificate or Approval has not yet been issued)	
UK Task/Project No:	
Approval/Project Title/Description:	
.....	
Date of Application to CAA: Responsible UK CAA PCM (if known):	
4c) For ANAC or TCCA Major Level 1 design change classification	
Please specify the reason for the classification:	